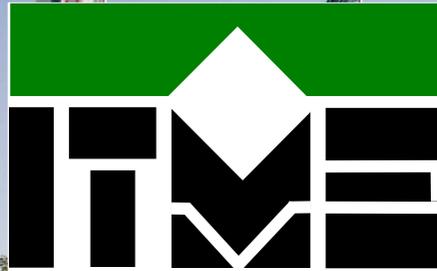


Institute of Electronic Materials Technology

Dorota.Pawlak
@itme.edu.pl



Dorota A. Pawlak

Manufacturing of metamaterials

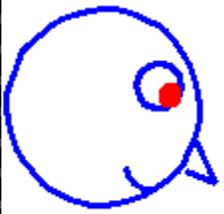


UNIA EUROPEJSKA
EUROPEJSKI FUNDUSZ
ROZWOJU REGIONALNEGO

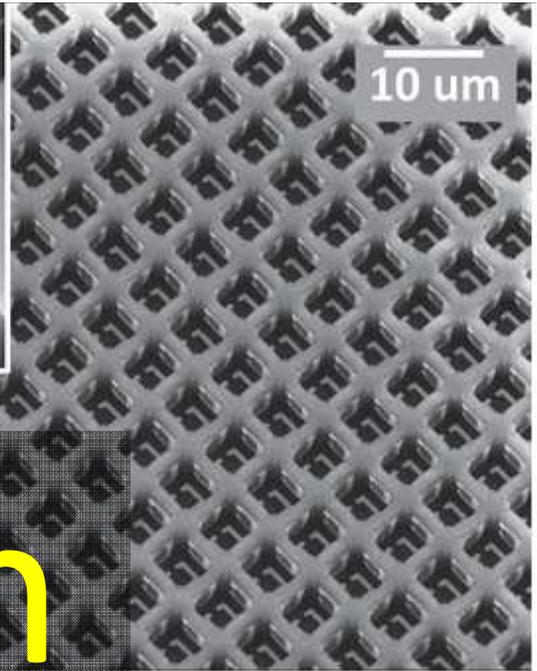
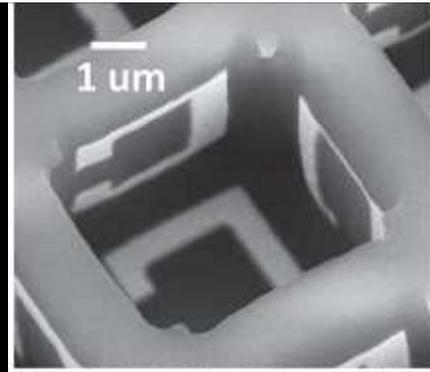
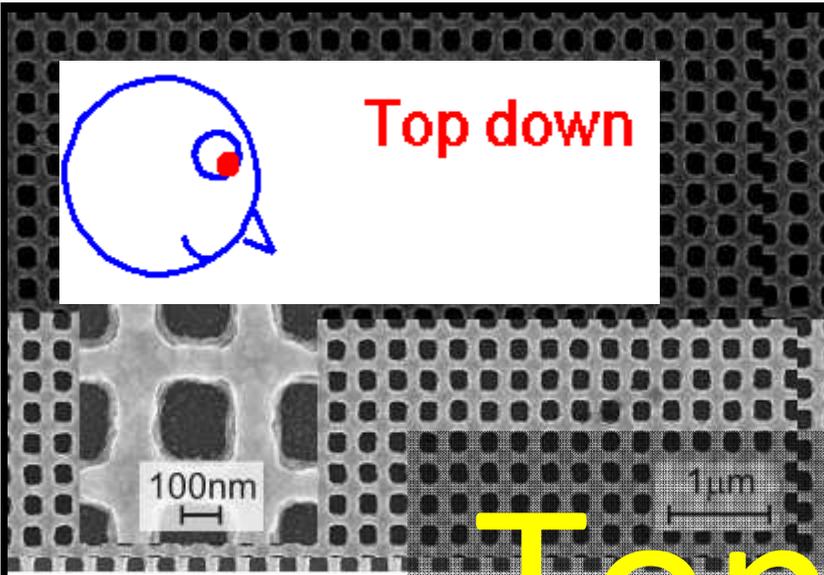


www.itme.edu.pl



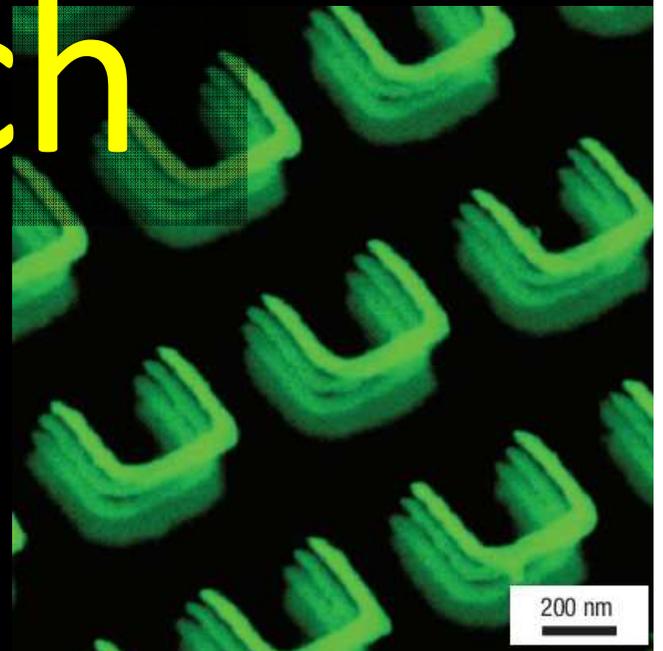
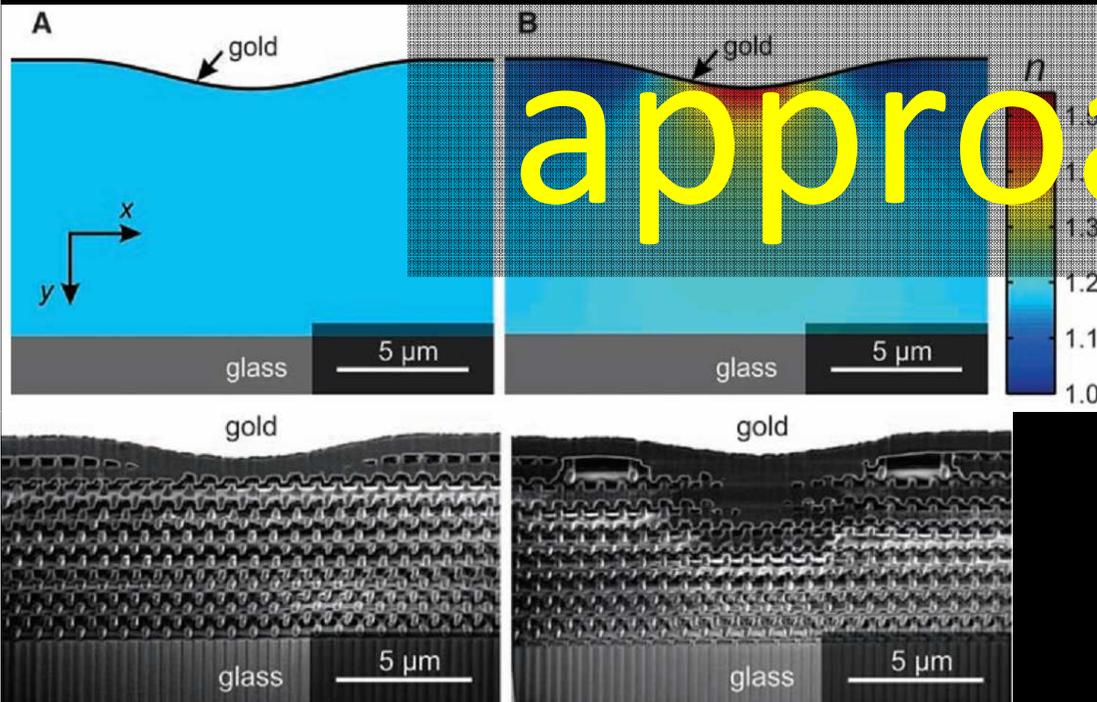


Top down



Top-down

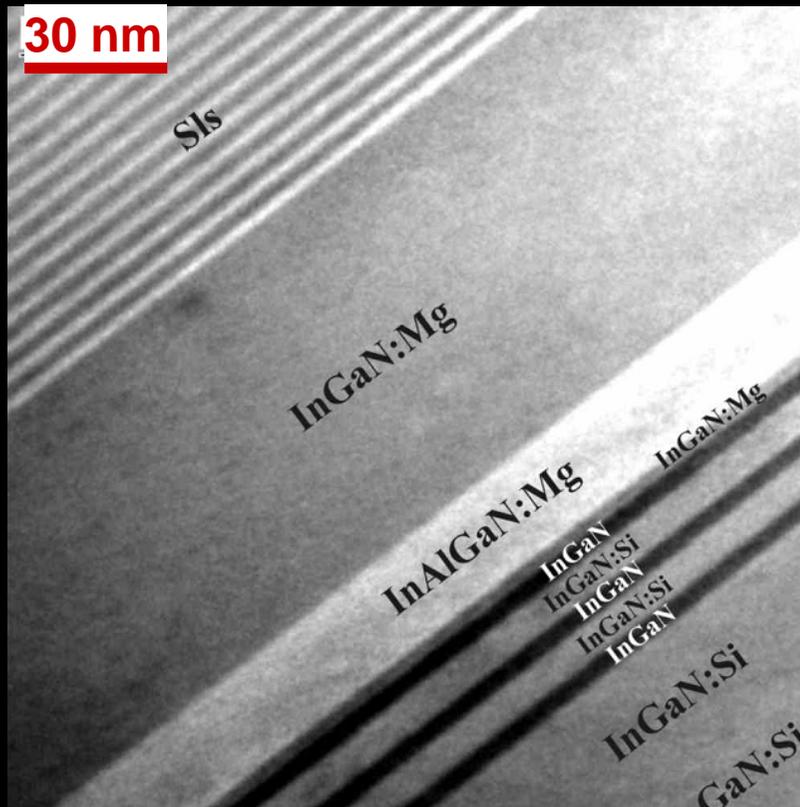
approach



Why can't we use common nanofabrication techniques for metals?

MOCVD – substrate is exposed to one or more volatile metalloorganic precursors, which react and/or decompose on the substrate surface to produce thin film

MOCVD (Metal-Organic Chemical Vapour Deposition)



TEM image of GaN/InGaN blue laser diode fabricated by MOCVD

Photolithography



INTEL Ivy Bridge Central Processing Unit (chip fabricated by photolithography - 22 nm technology; 1,4 billion of transistors)
<http://www.infocast.pl/nowe-procesory-serii-ivy-bridge-3586>

Why can't we use common nanofabrication techniques for metals?

- ✓ Gold and silver are mostly used materials in plasmonics/metamaterial nanofabrication

Problems with metals in MOCVD/evaporation/sputtering

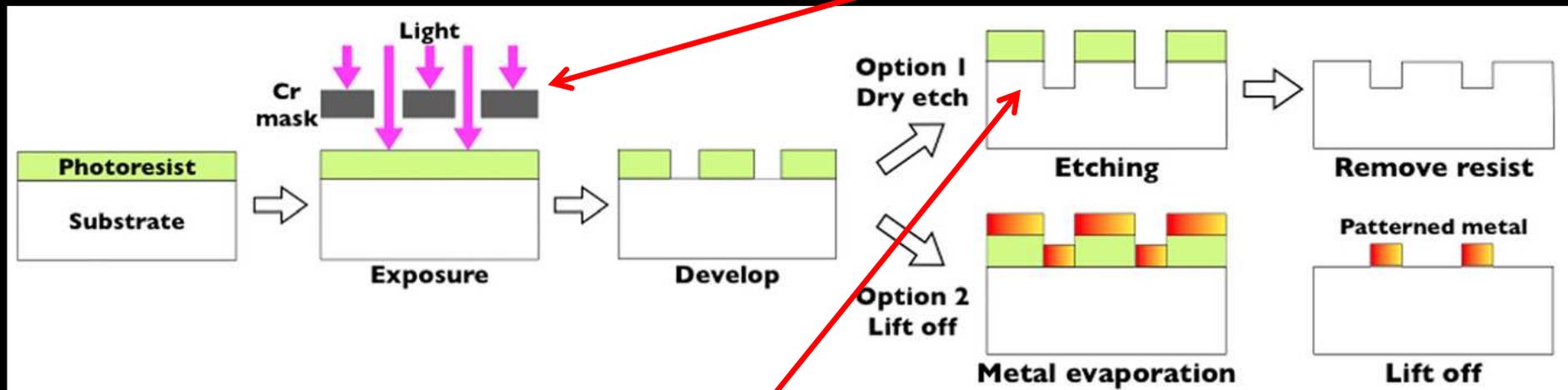
- MOCVD films - degraded optical properties due to inherent contaminants from the precursor materials
- evaporated or sputtered metal films - polycrystalline
→ surface roughness and grain-boundary SPP scattering
- silver films under 30 nm - discontinuous

Why can't we use common nanofabrication techniques for metals?

- ✓ Gold and silver are mostly used materials in plasmonics/metamaterial nanofabrication

\$\$\$ expensive photomask (good only for mass-scale fabrication)
very expensive state-of-the-art lithography equipment

In case of classic photolithography

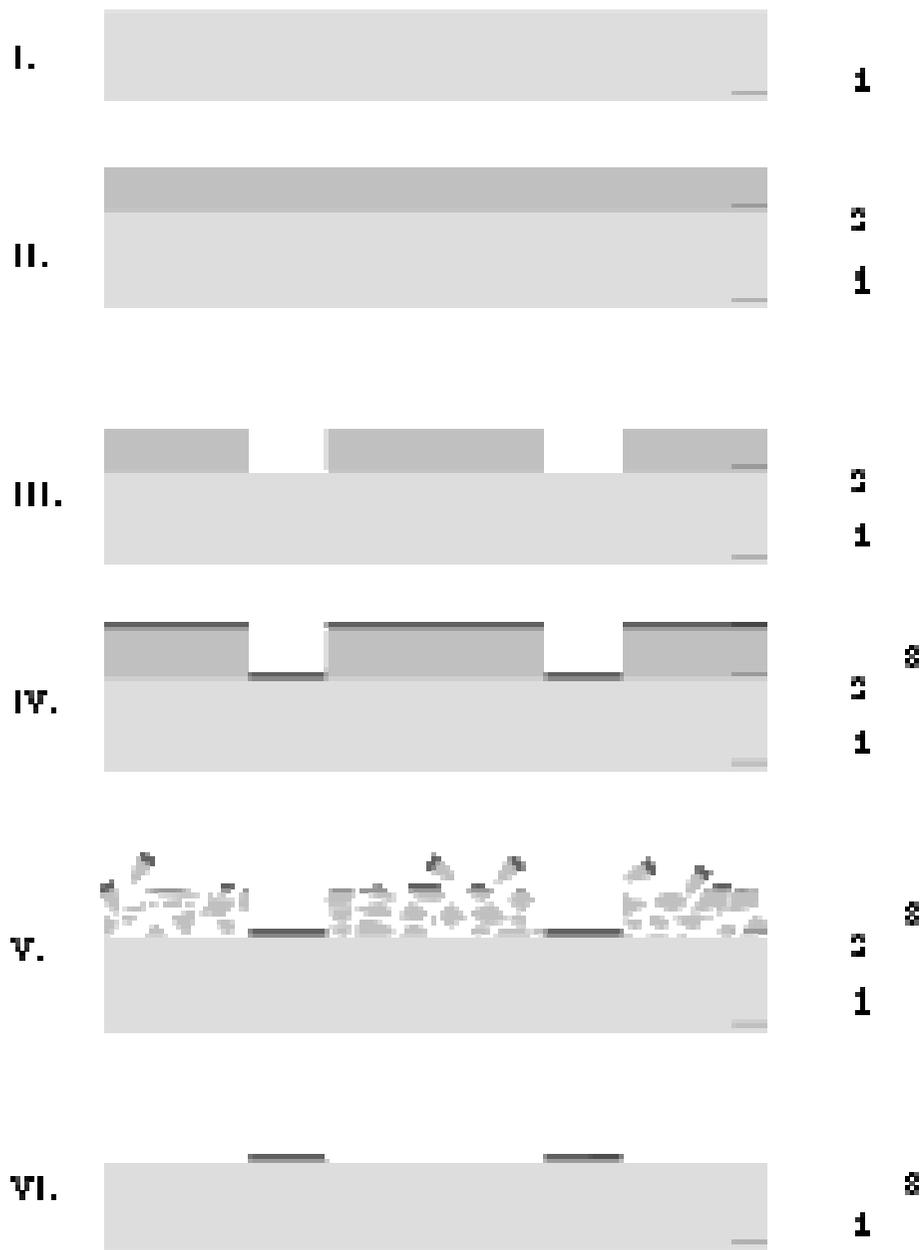


gold and silver cannot be easily plasma etched
because their etch by-products are non-volatile thus
ion beam milling is required

+ strongly limited spatial resolution => UV light

N C Lindquist et al. Rep. Prog. Phys. 75, 036501 (2012)

To avoid etching: lift off



I. Preparation of the substrate

II. Deposition of the resist

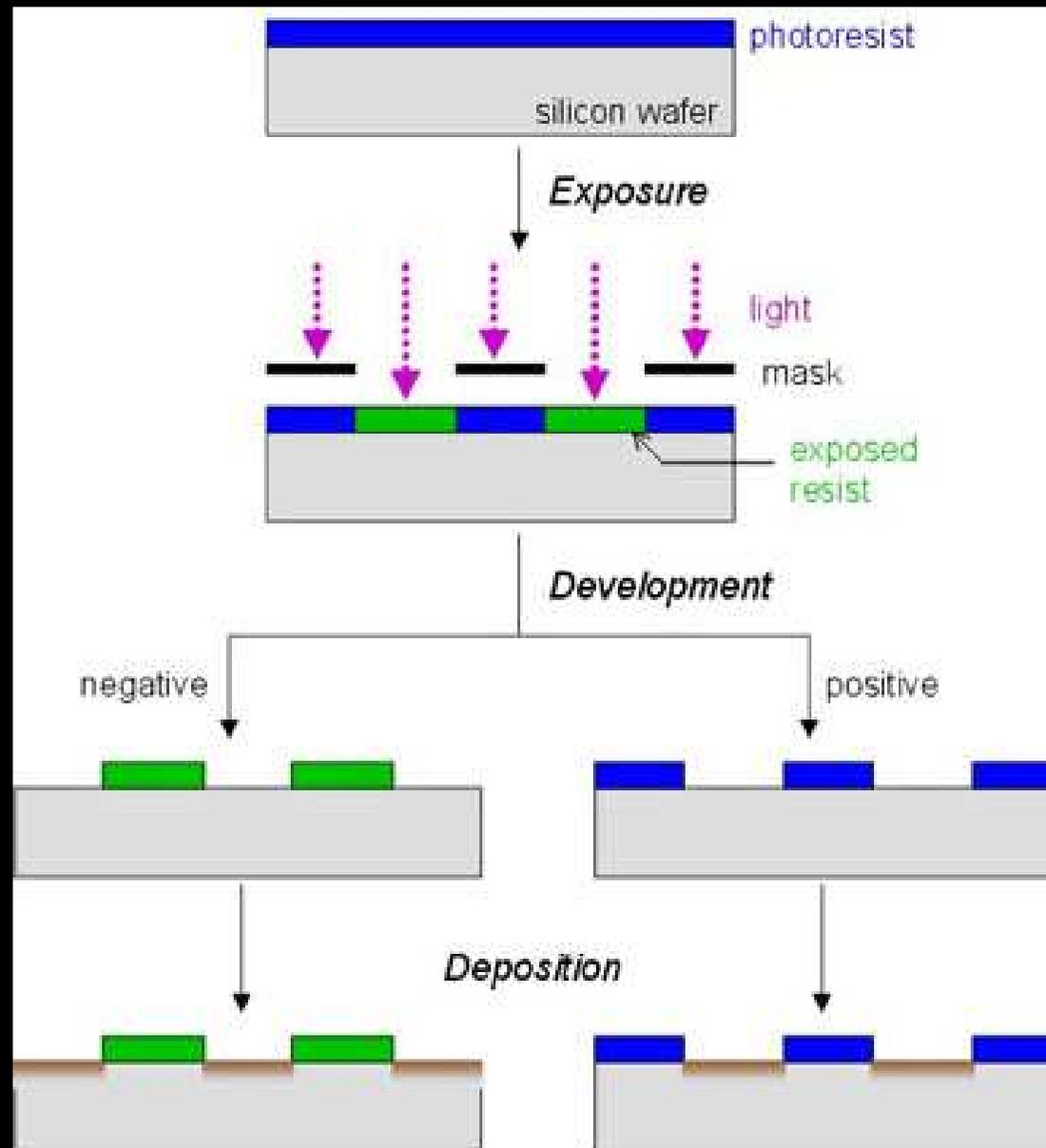
III. Resist patterning

IV. Deposition of the target material

V. Washing out the resist together with the target material on its surface

VI. Final pattern

Lithography - negative and positive resist



Metal film deposition

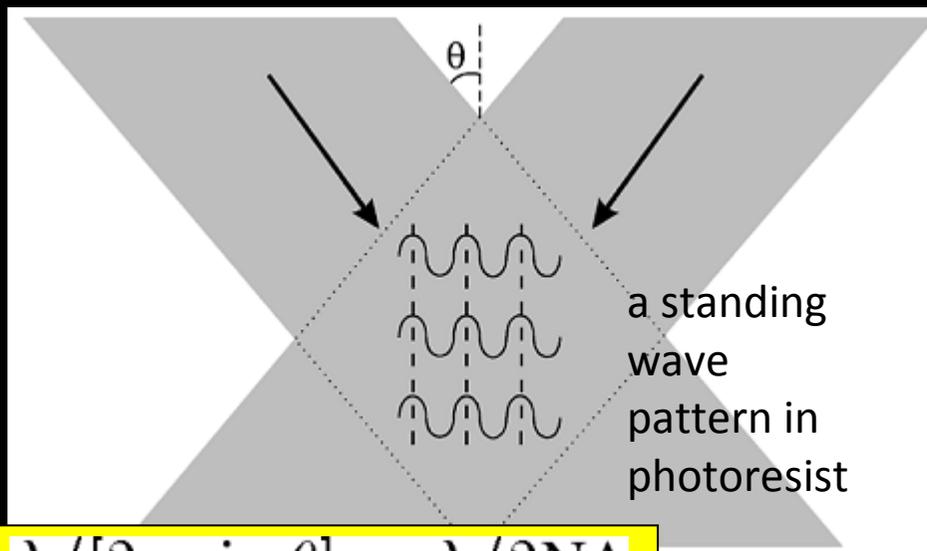
Electron beam evaporation
(electron beam physical vapor deposition)

- ✓ uses electron beam to evaporate target material onto the substrate
- ✓ layers produced from 1 nm/minute to few micrometers/minute with precise thickness control
- ✓ commonly used in lithography techniques

Interferometric photolithography (IL) for maskless and large area patterning

\$\$\$ expensive photomask (good only for mass-scale fabrication)

Two (or more) laser beams

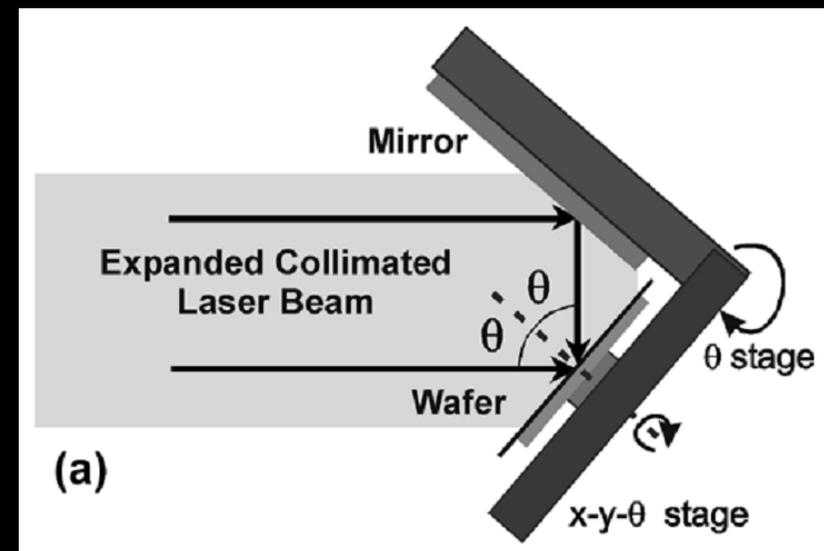


$$\lambda / [2n \sin \theta] = \lambda / 2NA$$

Period of the interference pattern

Advantages:

- large-area of periodic nanostructures patterning
- maskless

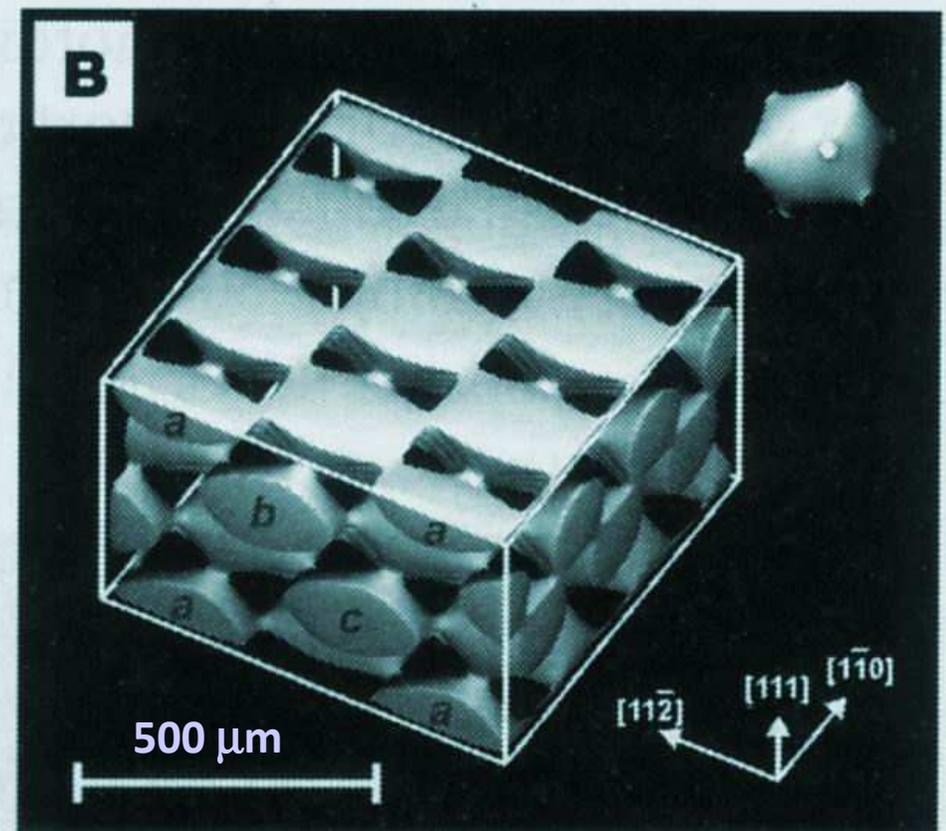
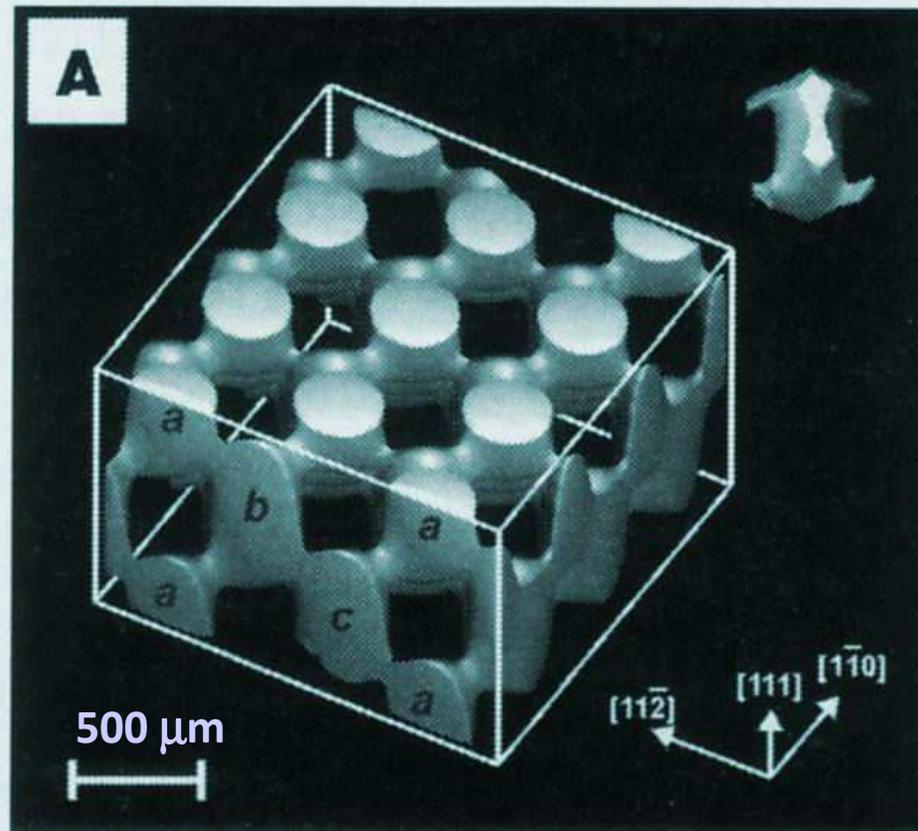
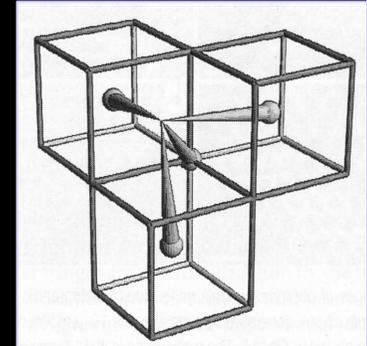


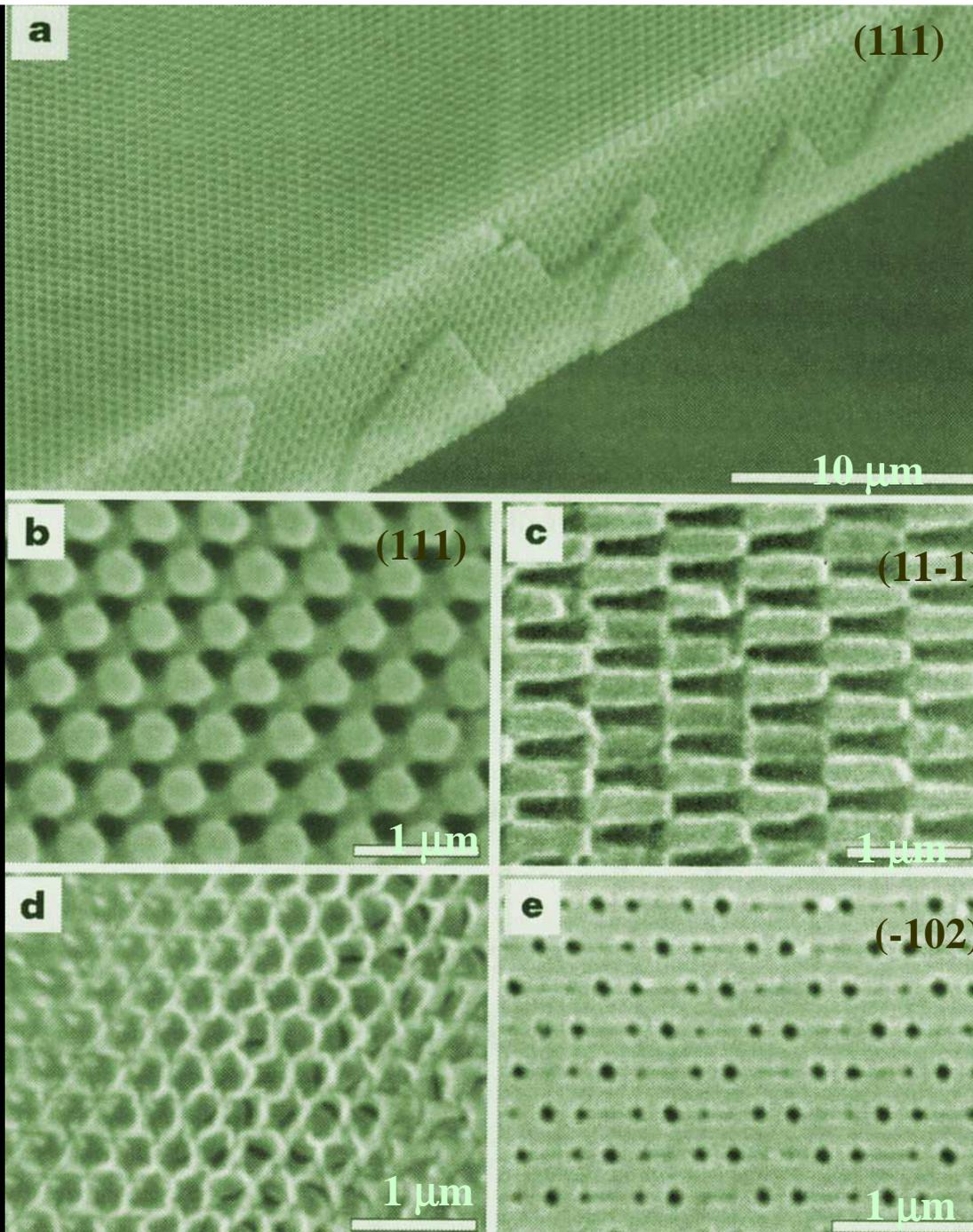
Disadvantages:

- limited spatial resolution
(e.g 34 nm for 193 nm ArF excimer laser)

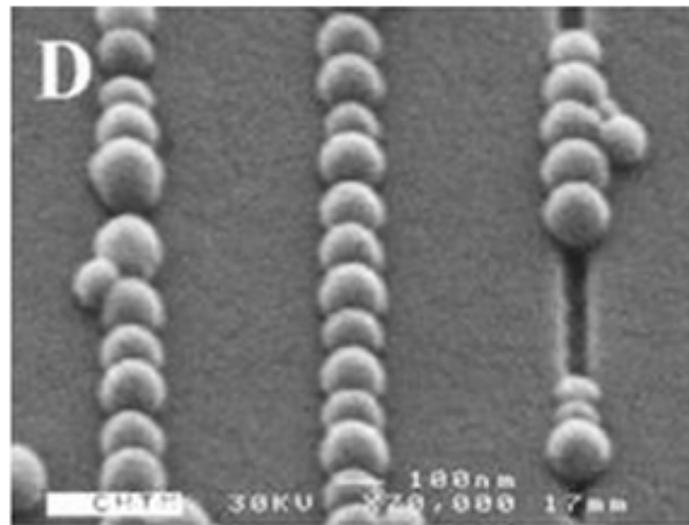
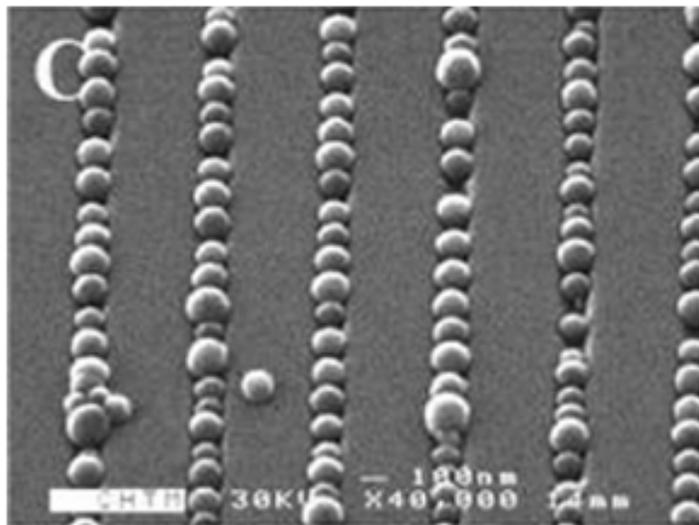
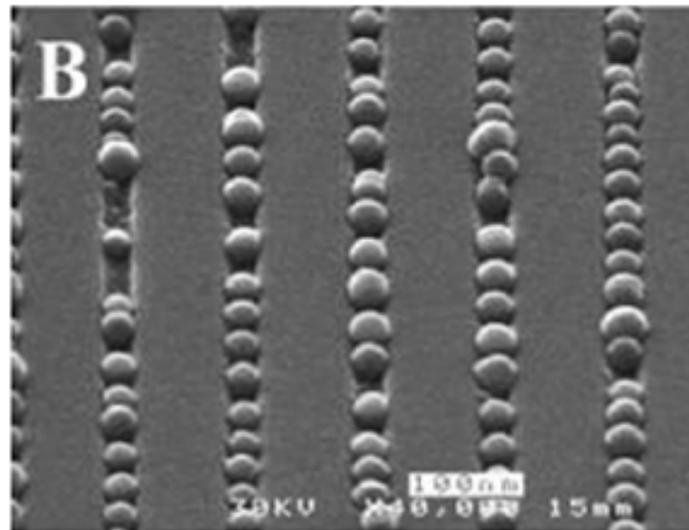
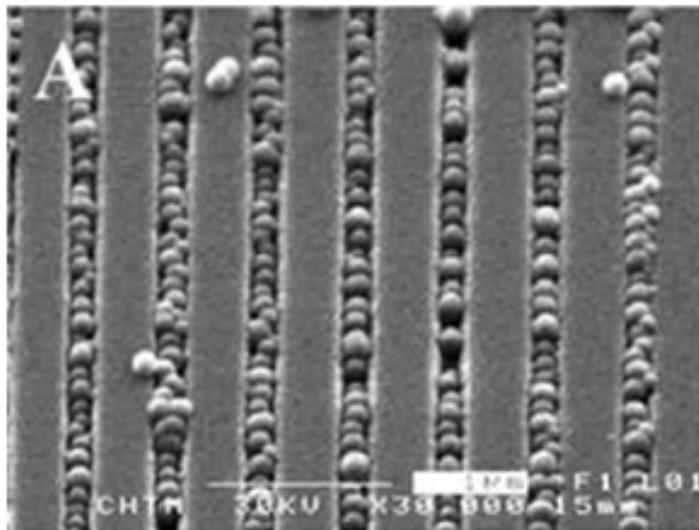
HOLOGRAPHIC LITHOGRAPHY-

by interference of four non-coplanar laser beams in a film of photoresist



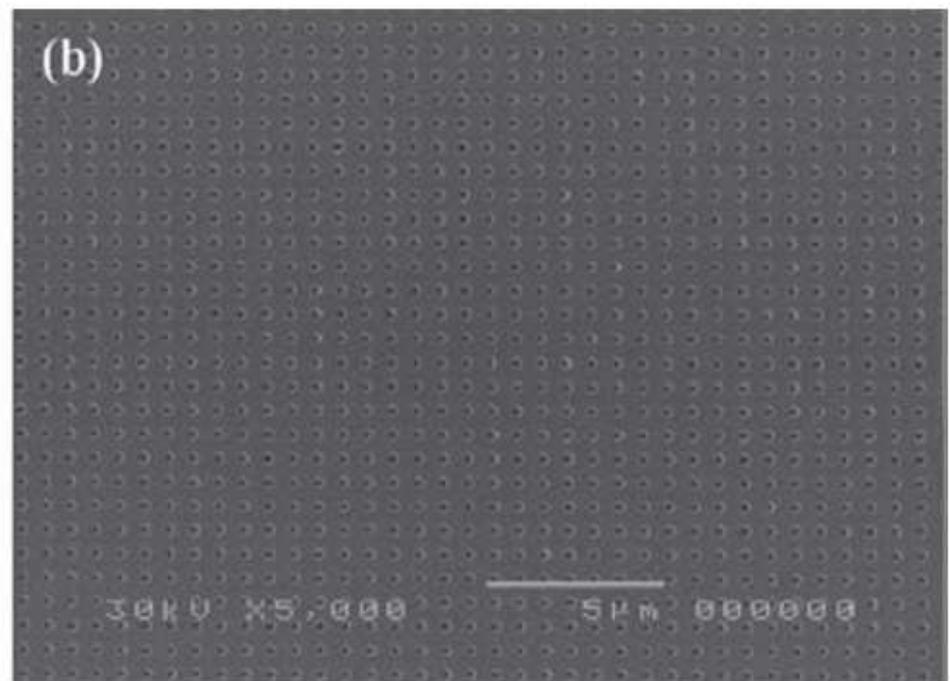
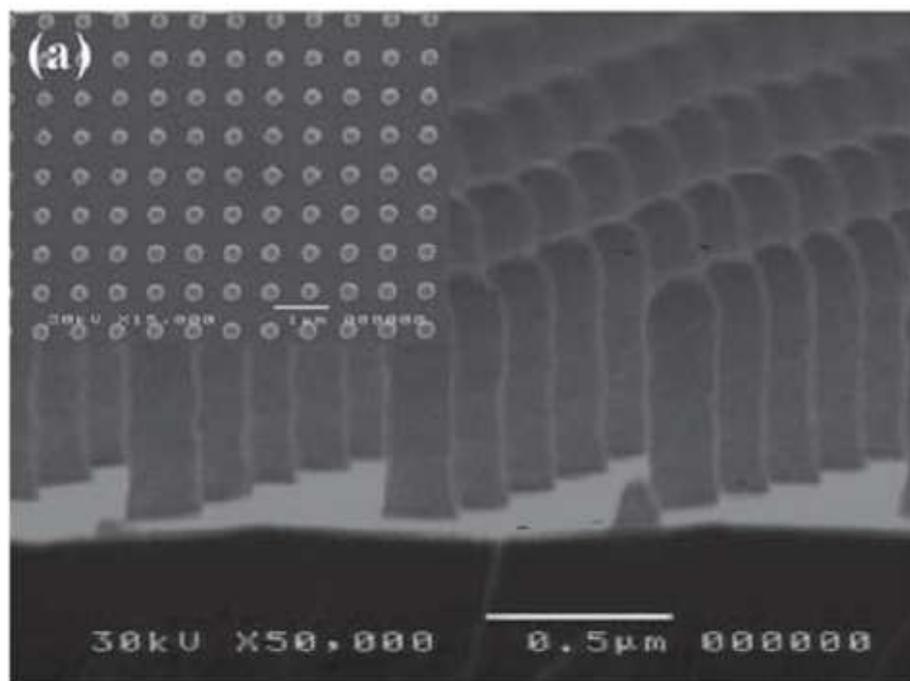
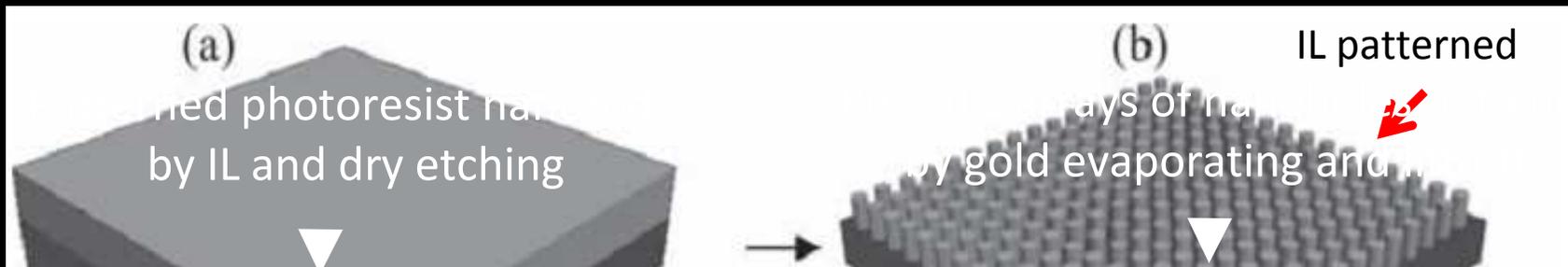


Interferometric photolithography (IL) combined with other techniques

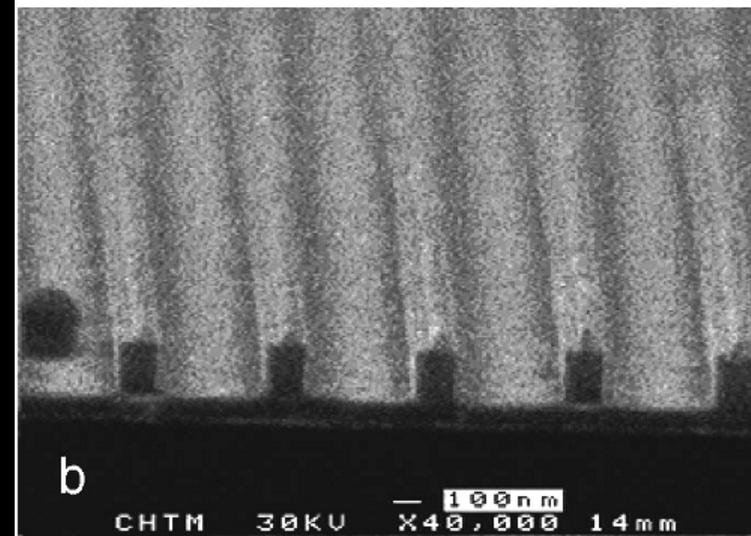
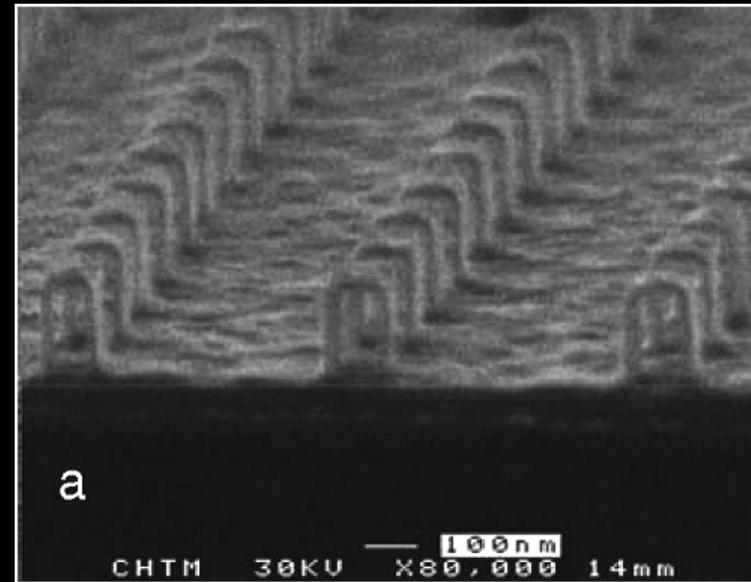
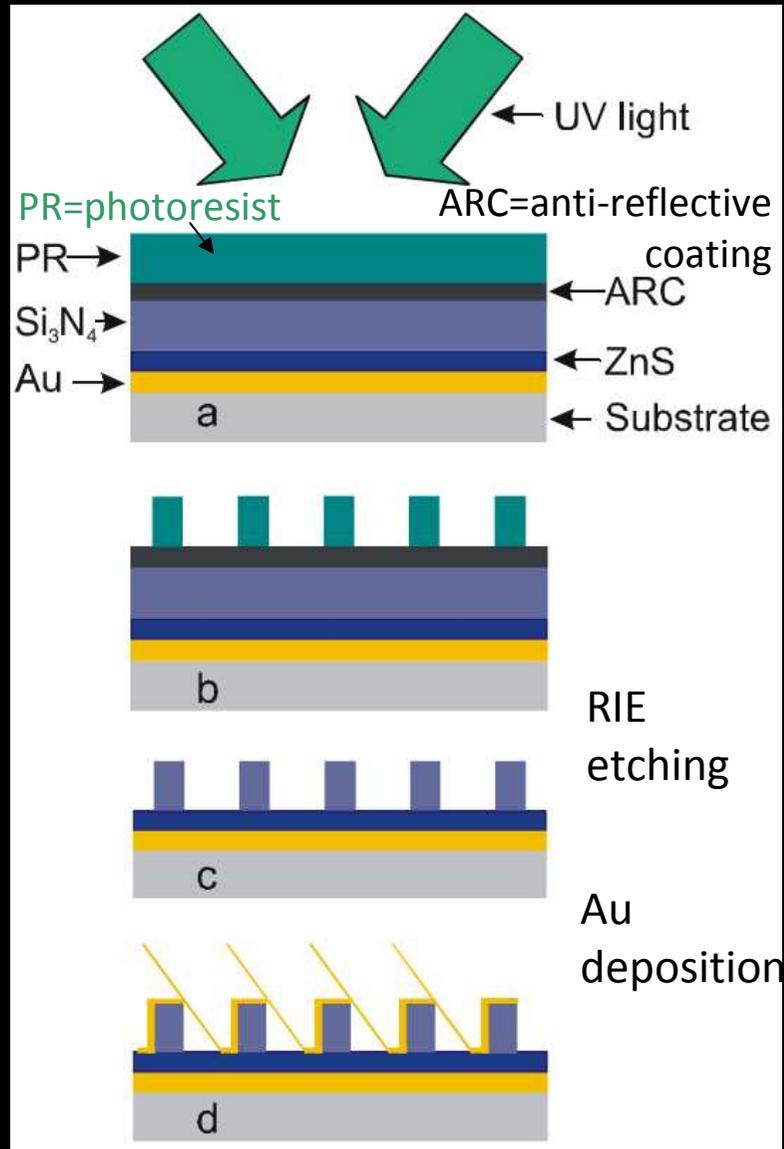


Self-
assembled
arrays of SiO₂
nanoparticles
spin-coated
on IL
patterned
SiO₂ surface

Interferometric photolithography (IL)

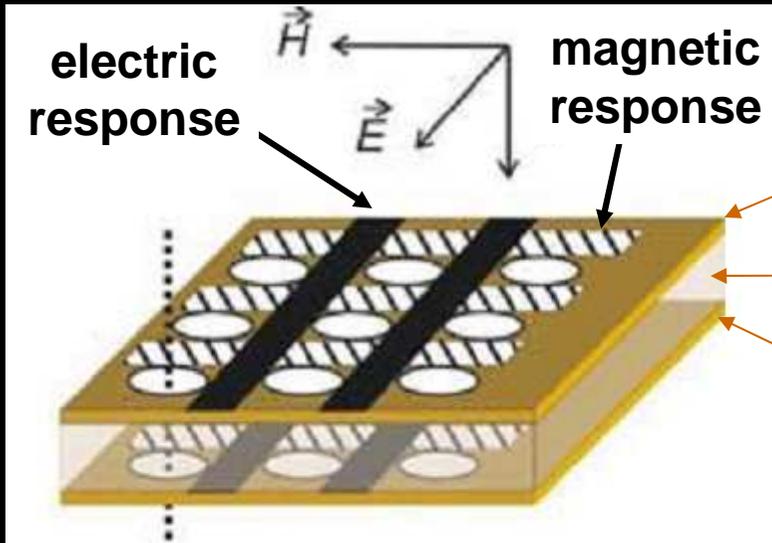


Interferometric photolithography (IL) meets negative permeability ...

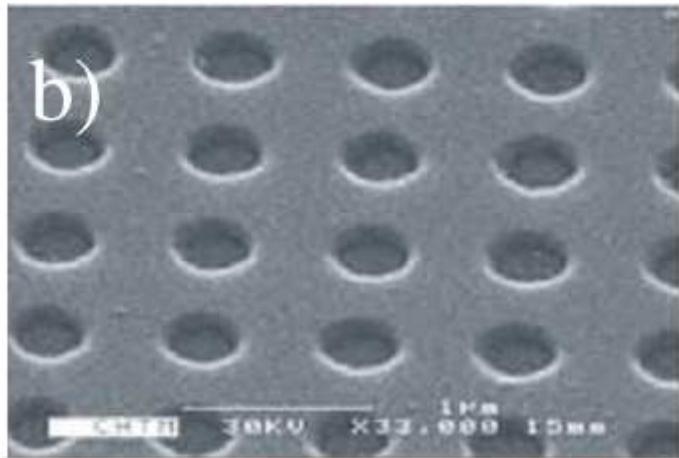


S. Zhang ... S.R.J. Brueck et al. PRL 94, 037402 (2005)

and negative refractive index...

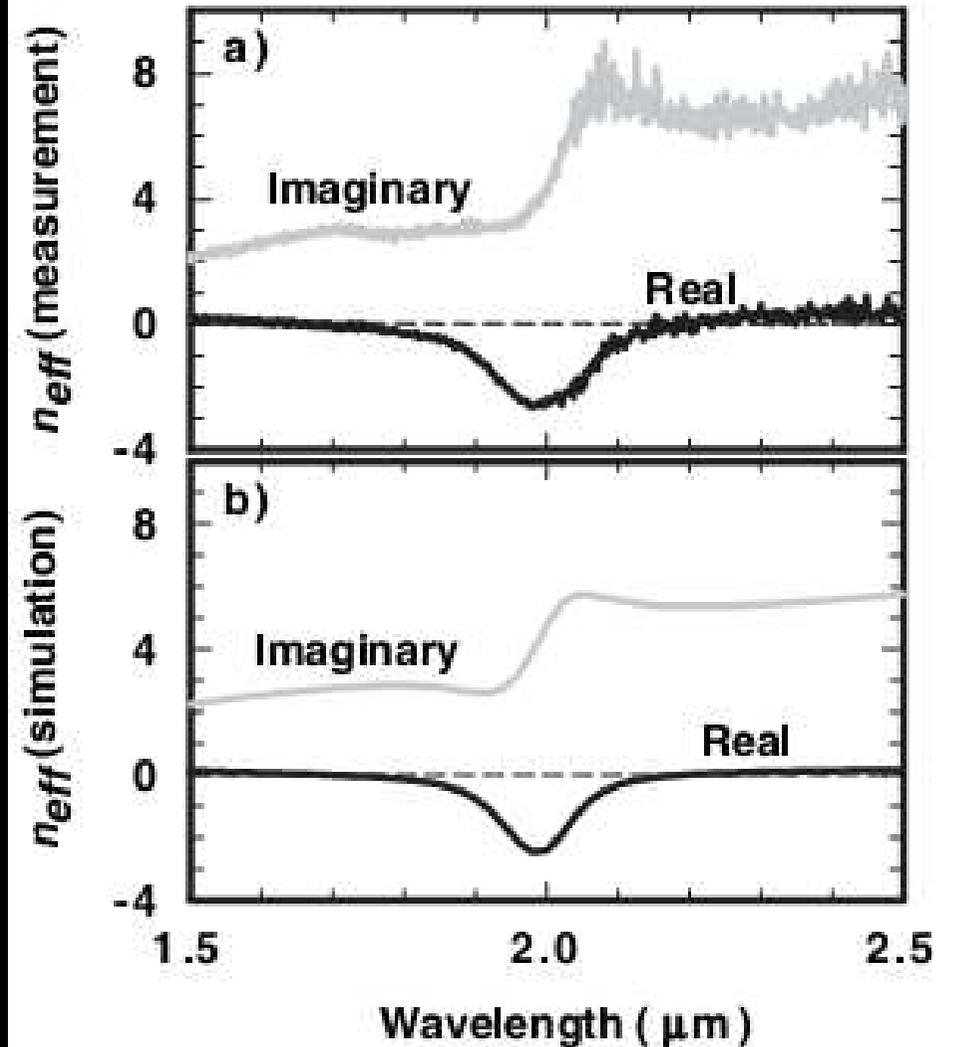


Au
 Al_2O_3
Au



Fishnet-like structure

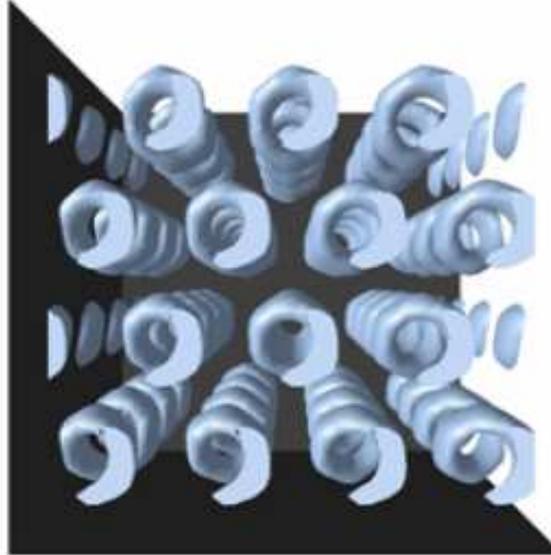
The overall sample size was 1cm^2



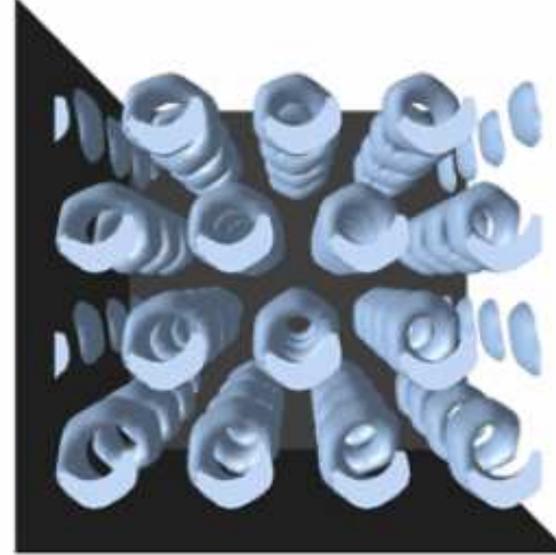
S. Zhang et al. PRL 95, 137404 (2005)

Intensity contour surfaces

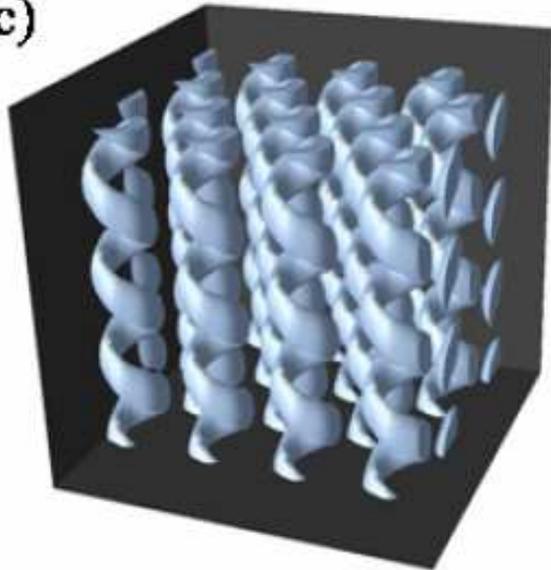
(a)



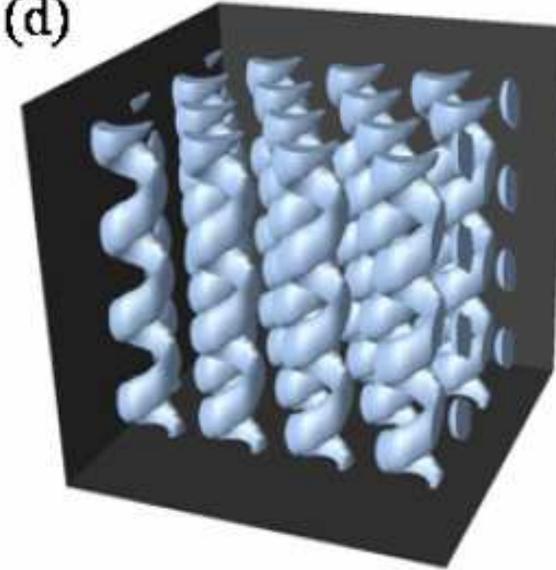
(b)



(c)



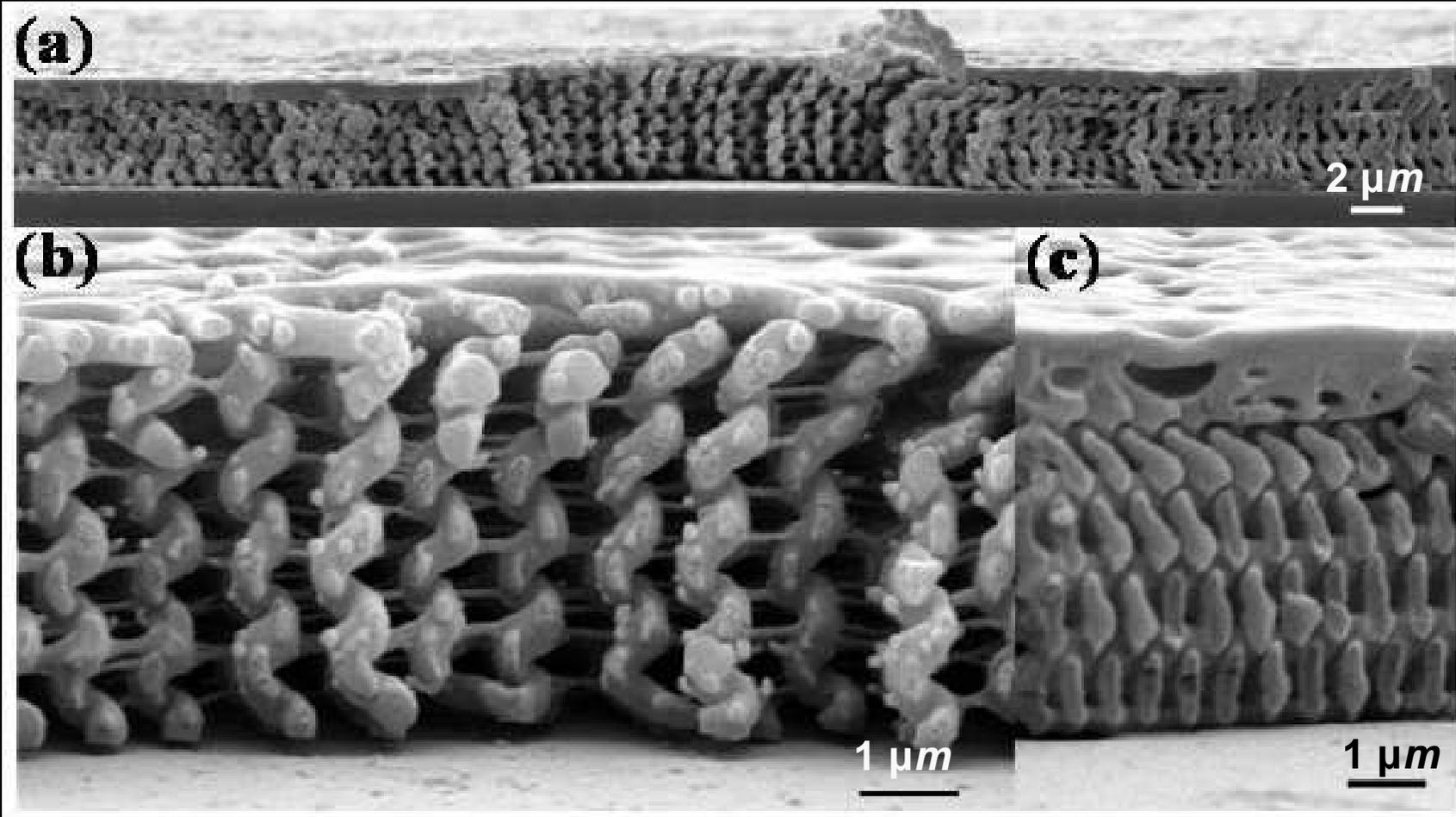
(d)



left handed spirals

right handed spirals

overall



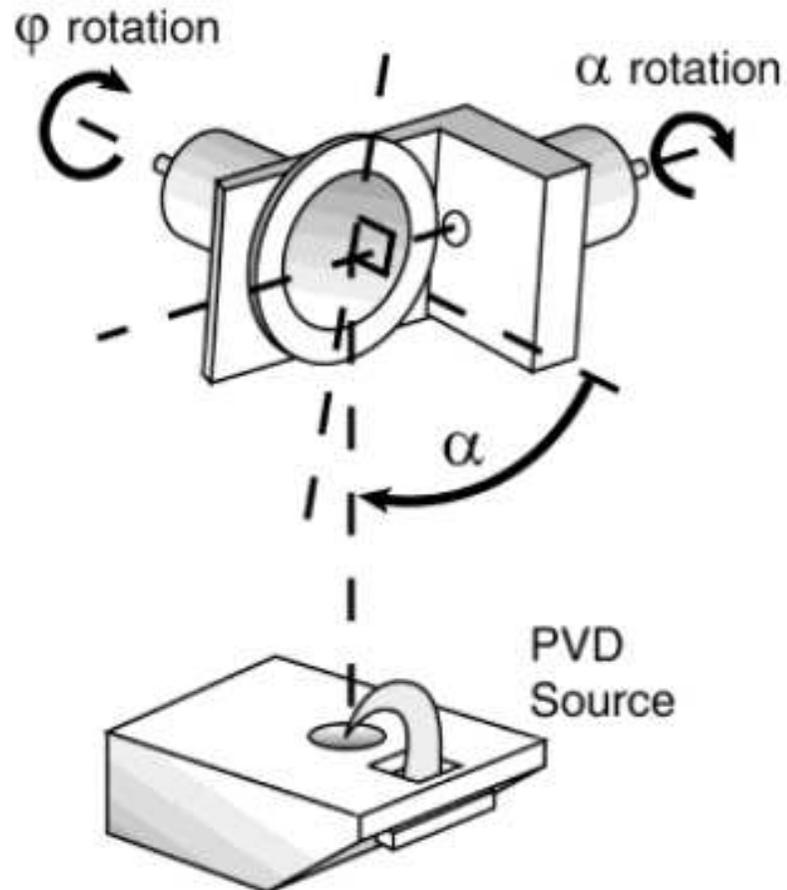
close up view

structure with out of
phase interference

GLAD - Glancing Angle Deposition method

PRINCIPLE

deposition of highly porous films at extreme incidence angles

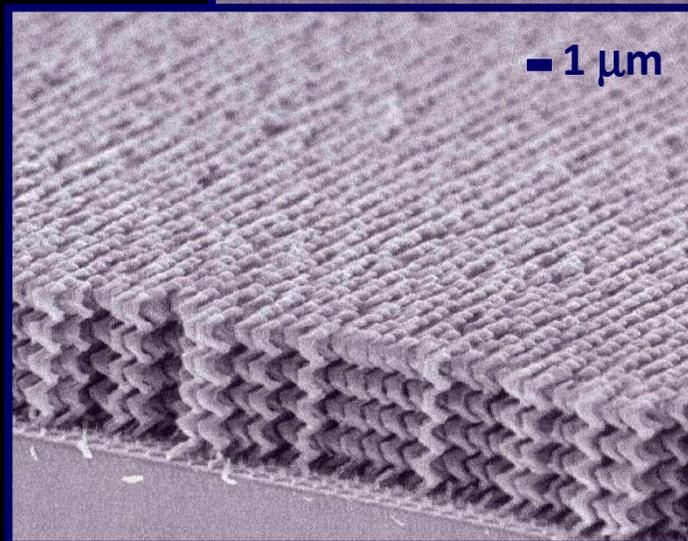
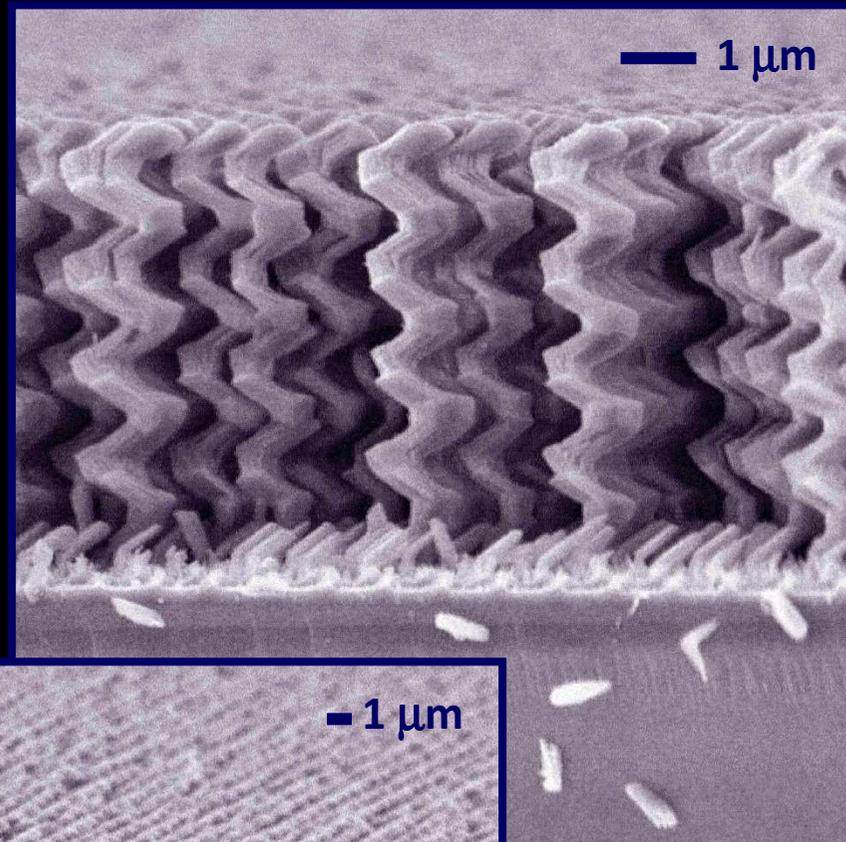
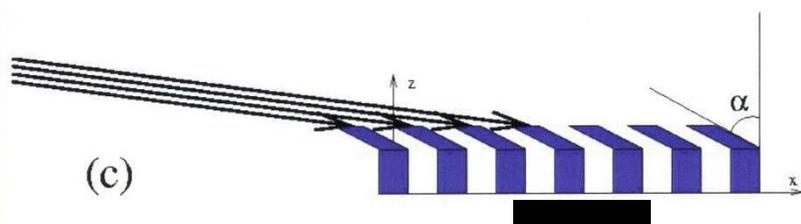
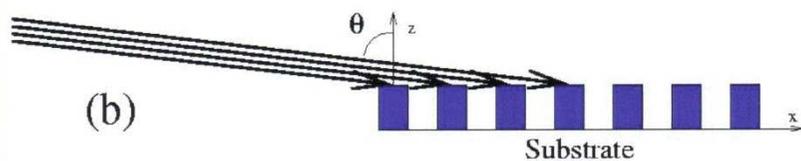
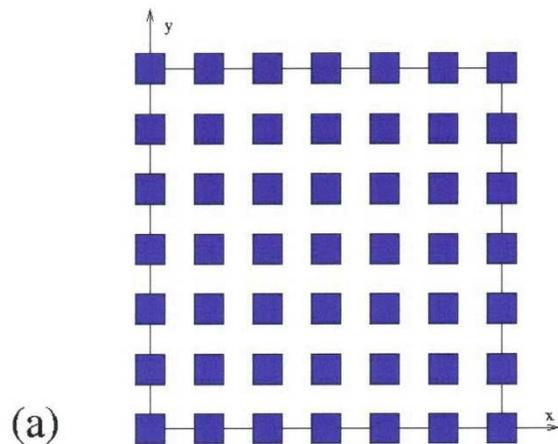


- physical vapor deposition is used in a high vacuum chamber with an electron beam source

- throw distance between the source and substrate is greater than 30 cm so that flux reaching the substrate is collimated

- the substrate is held at a constant angle of incidence of $80-90^\circ$ from the normal

GLAD - Glancing Angle Deposition method



S. Kennedy, M. Brett, O. Toader, S. John,
Nano Letters (2002)

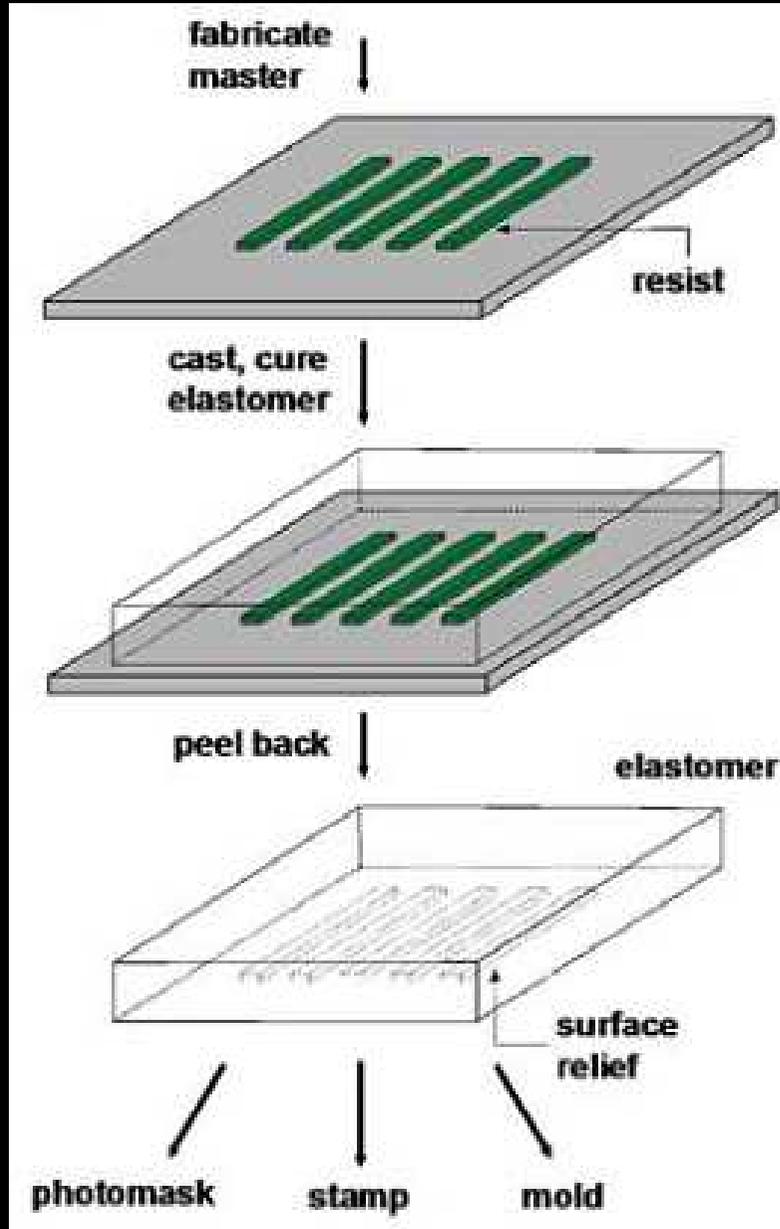
Soft lithography – technology for fast and large-area MTMs fabrication

MASTER (*stamp*) \$\$\$

patterning
(e.g. by IL, EBL FIB etc.)
made from e.g. silicon

Transparent elastomer
(e.g. PDMS)
casting & curing

PDMS after
removing
master



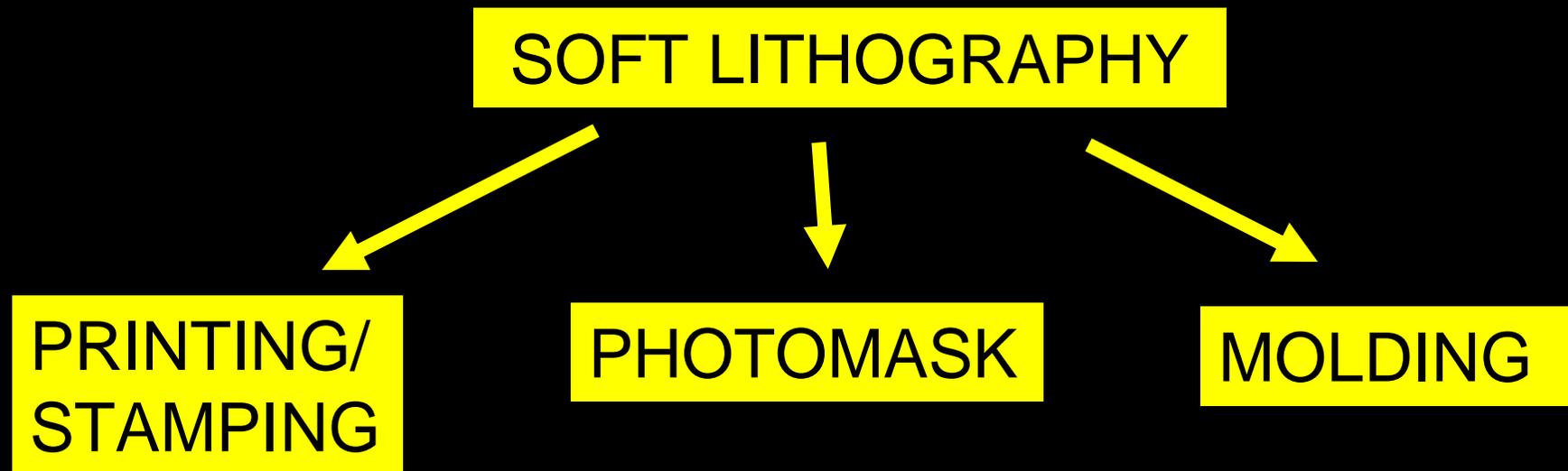
*John A. Rogers and
Ralph G. Nuzz
Materials Today
(2005)*

PDMS
(polydimethylsiloxane)
- transparent
elastomer

PDMS photomask/stamp/mold fabrication scheme

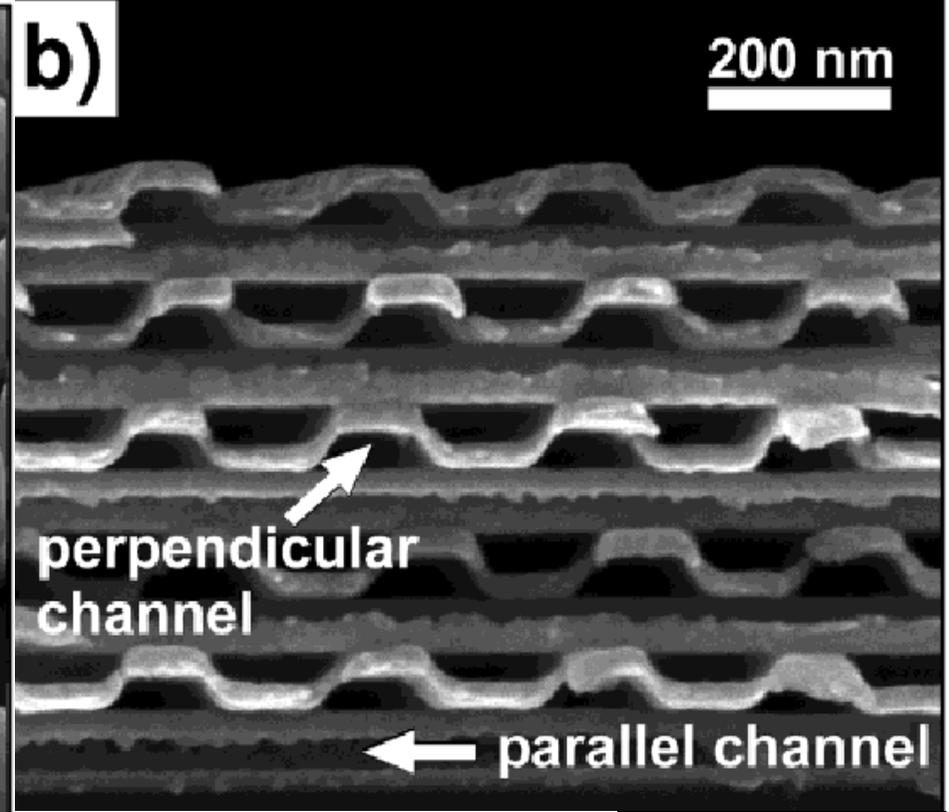
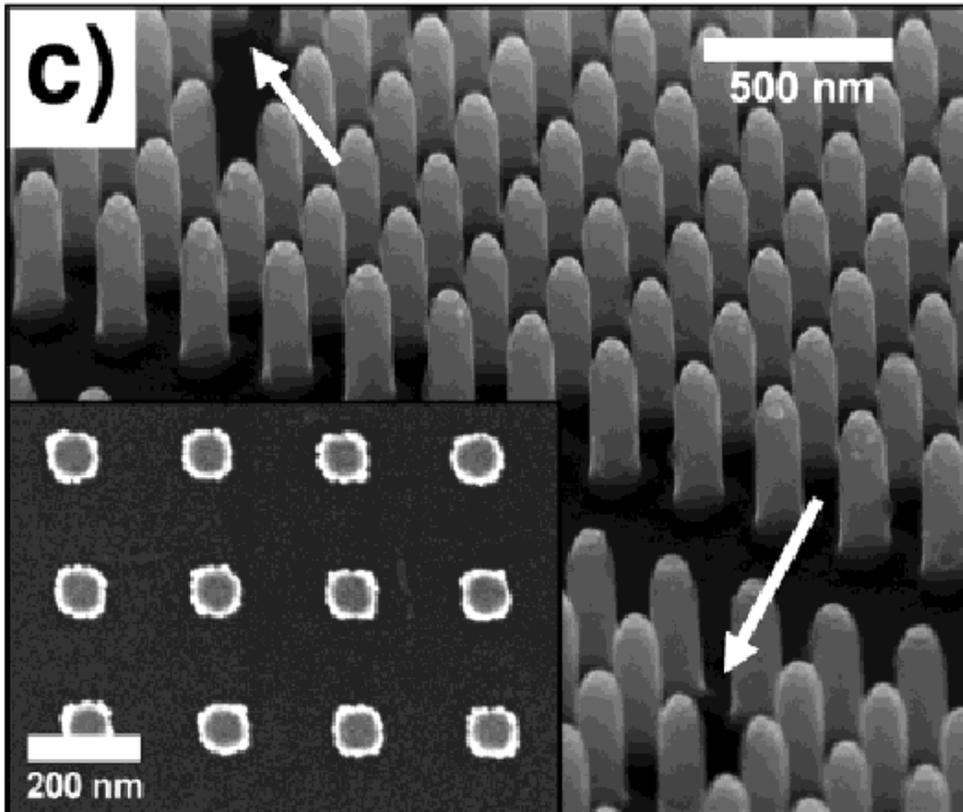
Soft lithography

– technology for fast and large-area MTMs fabrication



Nano-transfer printing (nTP)

PRINTING
Soft lithography



film can be
attach to the
substrate
Free-standing GaAs
nanorods
(with gold cover)



Gold
nanochannels
(woodpile-like
structure)

SAM (self-assembled monolayer)
which reacts and bounds to metal

J. Zaumsei et al.
Nano Lett. 3, 1224 (2003)

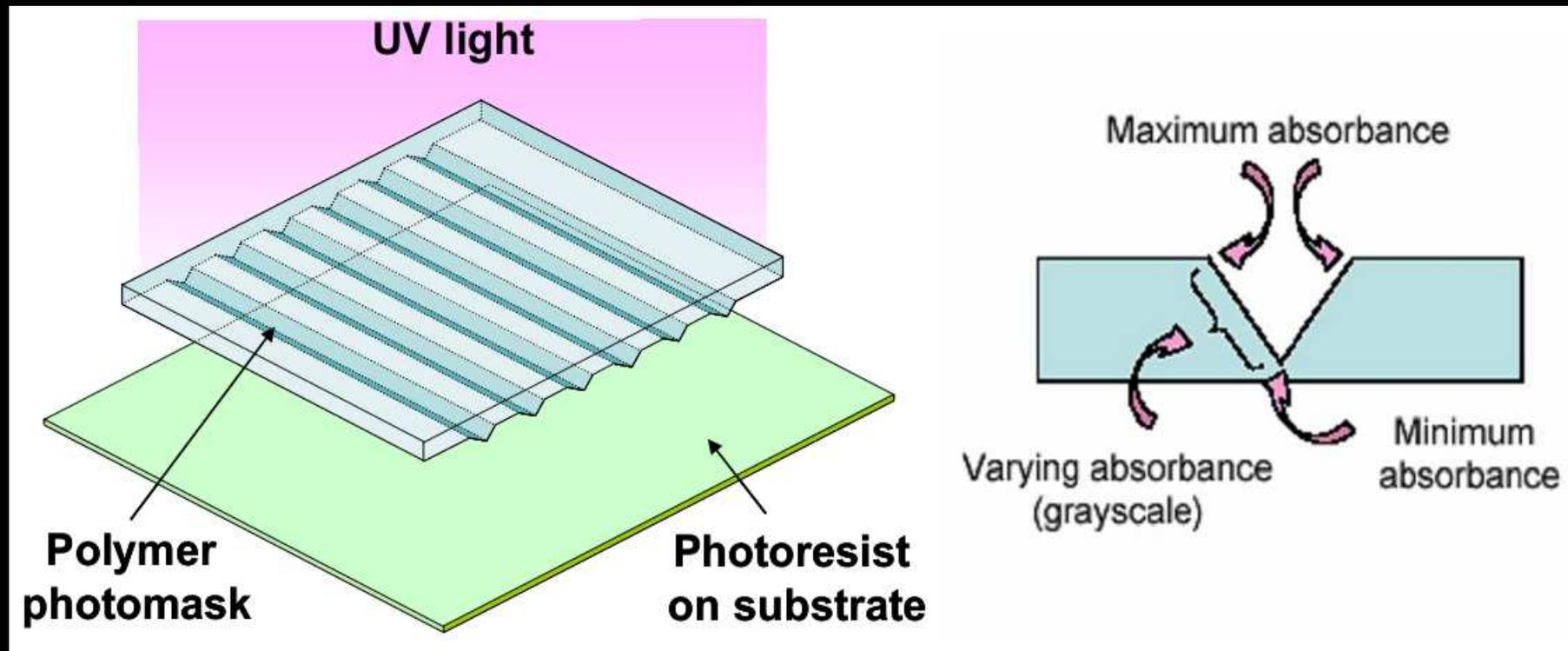
Soft interference lithography (SIL)

PHOTOMASK

Soft lithography

- ✓ SIL uses nanoscale patterns generated by interference lithography (IL) as high-quality masters for soft lithography
- ✓ PDMS photomask - by fabricated by casting onto IL fabricated master
- ✓ Cheaper masks – larger areas can be covered

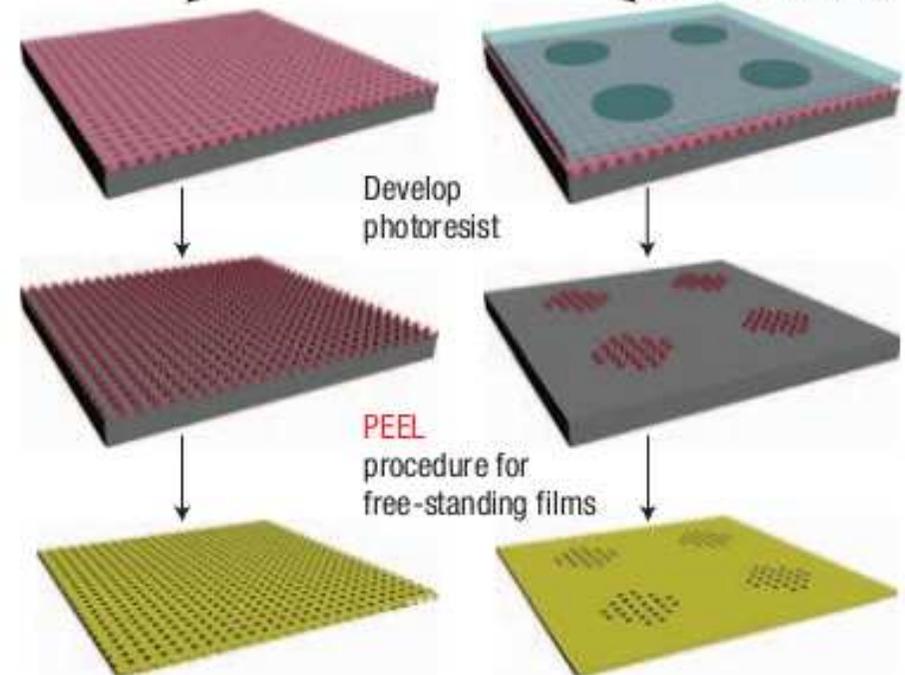
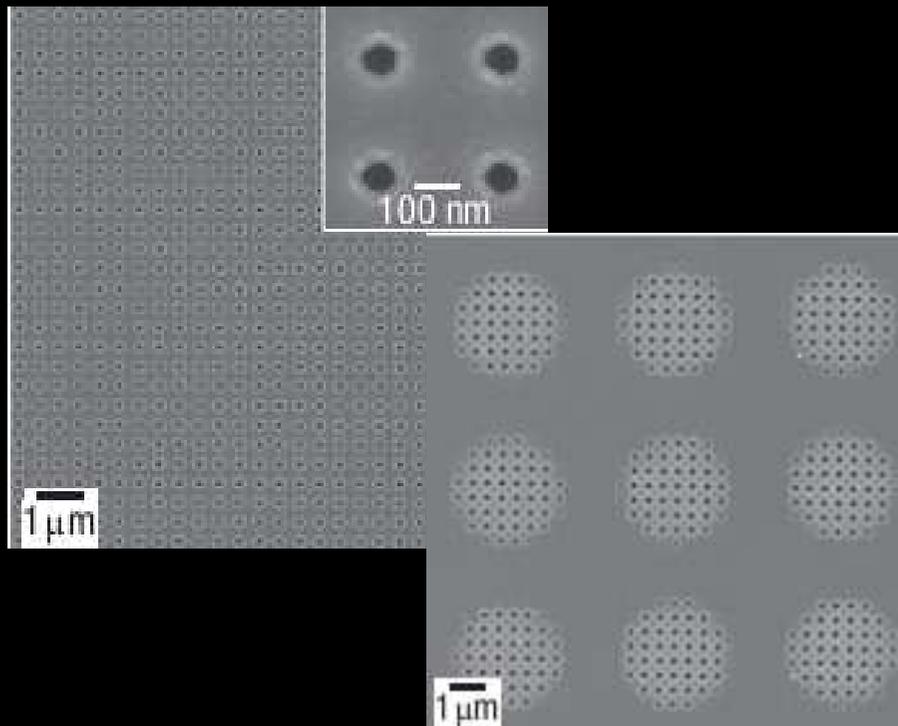
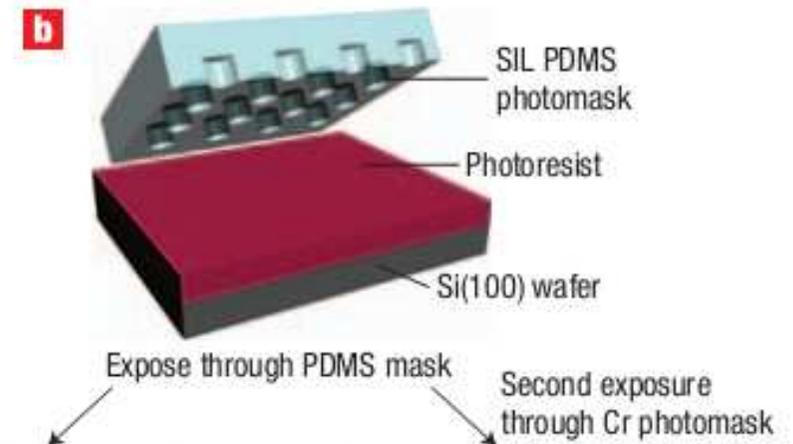
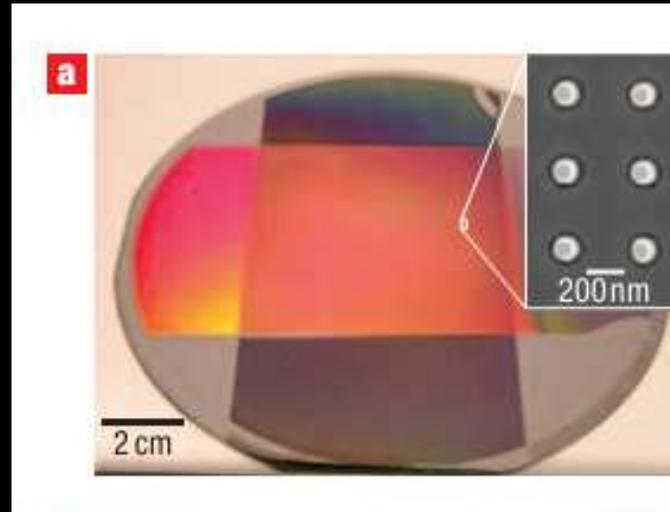
*J. Henzie et al.,
Nat. Nanotech. 2, 549
(2007)*



Soft interference lithography (SIL)

PHOTOMASK
Soft lithography

*J. Henzie et al.,
Nat. Nanotech.
2, 549 (2007)*



nanohole arrays in gold film

Nanoimprint lithography (NIL)

MOLDING

Soft lithography

Thermoplastic NIL (t-NIL)

Thermoplastic resist -

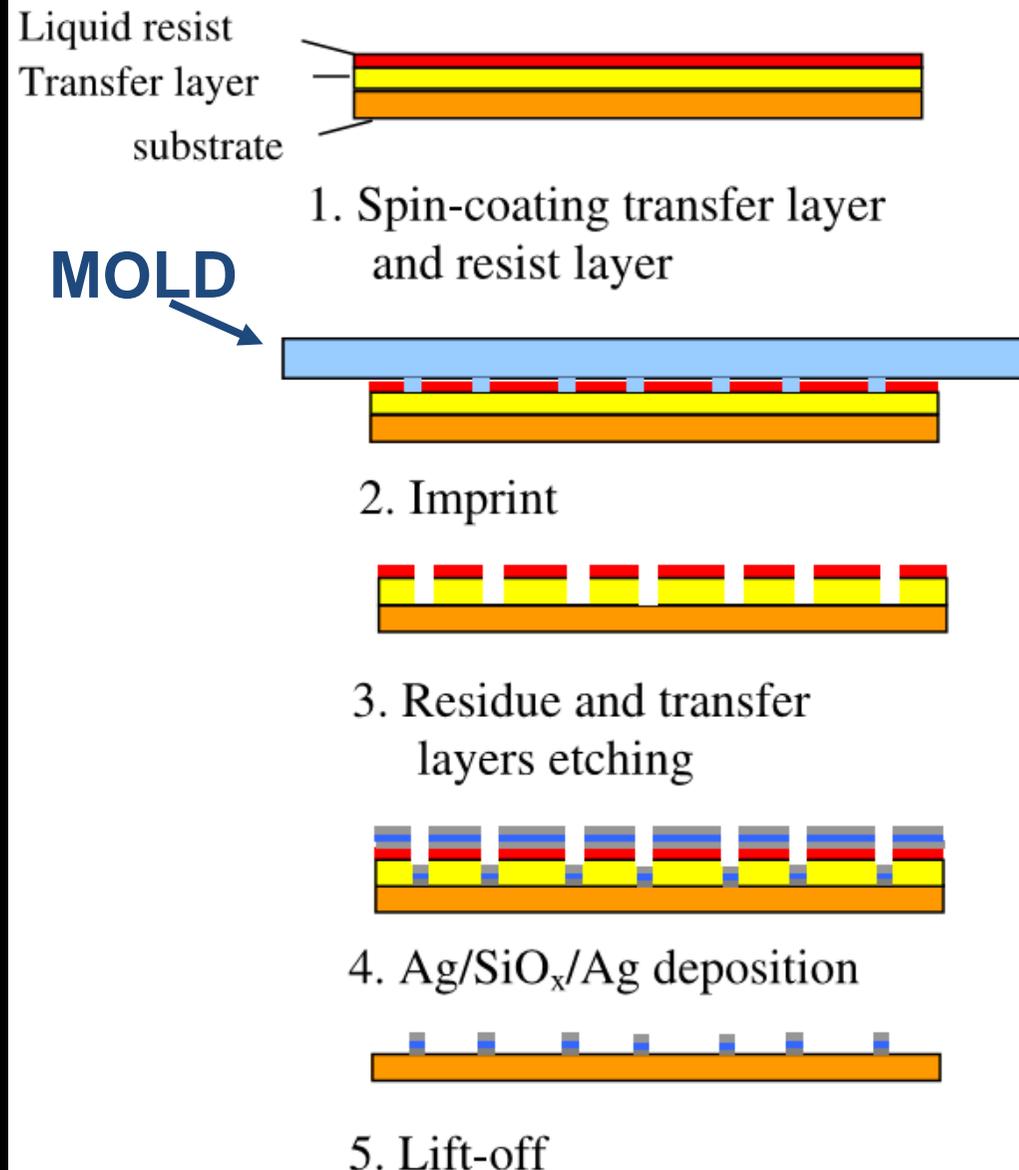
the resist is pressed with hot mold the polymer gets softened and the pattern is created

Photo NIL (p-NIL)

Photocurable resist -

the mold is inserted into liquid polymer and than the resist is cured with light

W. Wu et al. Appl. Phys. A 87, 143–150 (2007)

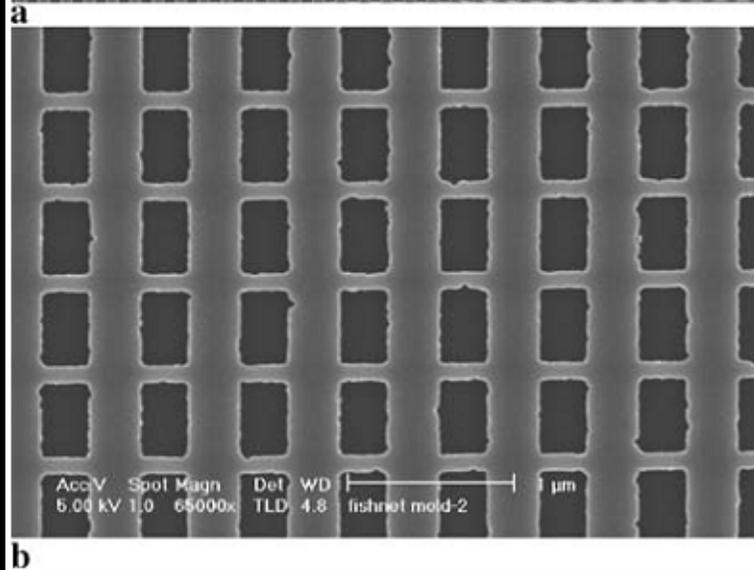
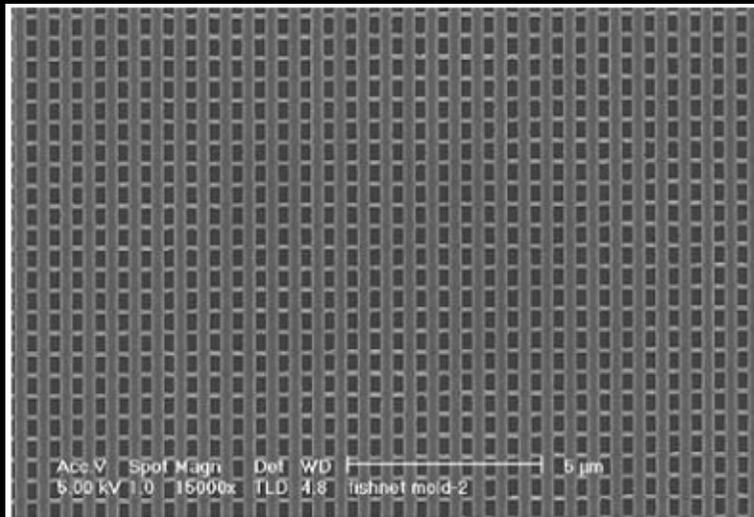


Nanoimprint lithography (NIL) and NIM...

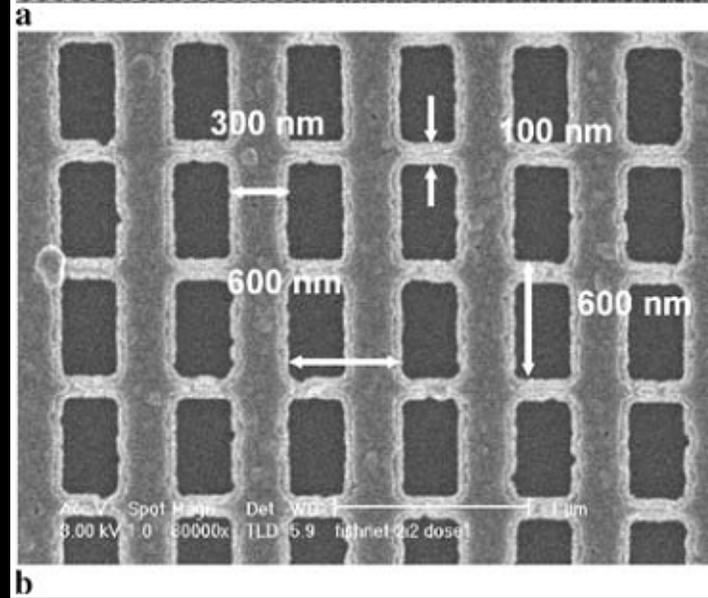
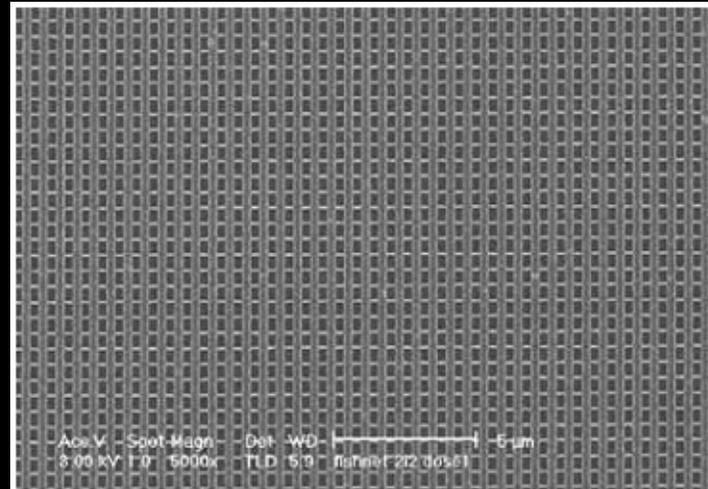
MOLDING

Soft lithography

MOLD (PMMA)



Ag/SiO₂/Ag fishnet NIM



PMMA -
Poly(methyl
methacrylate)

W. Wu et al.
Appl. Phys. A
87, 143–150
(2007)

Samples of 0,5x0,5 mm area

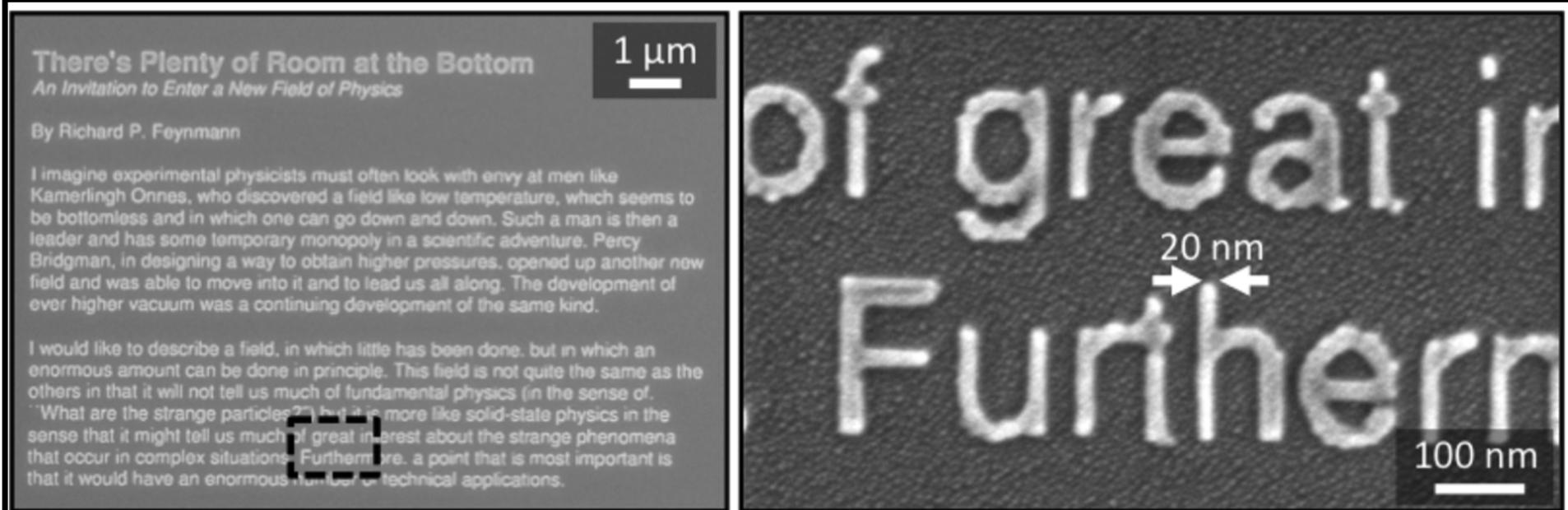
Negative index -1.55 @ $1,7 \mu\text{m}$

When we need arbitrary shapes...

DIRECT WRITING

Electron Beam Lithography (e-beam)

Electron beam causes
local polymerization
of the resist



SEM image of EBL fabricated nanopattern

(Adopted from Bryan Cord at the University of Minnesota Nanofabrication Center)

„SCANNING“

Electron Beam Lithography (e-beam)

EBL

DIRECT WRITING

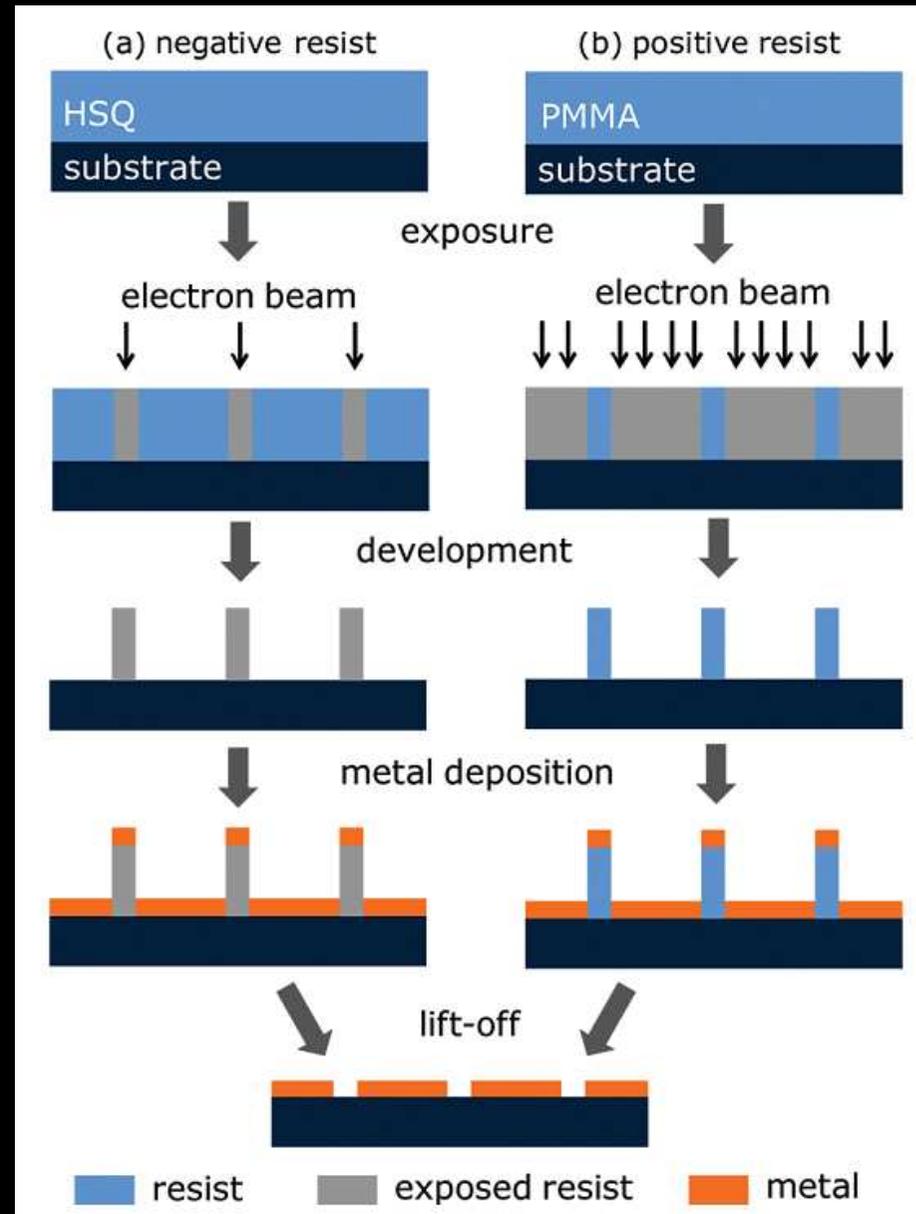
- ✓ in principle the same as photolithography but with use of electrons and maskless
- ✓ electron wavelength (= patterning resolution) depends on electrons energy
- ✓ shape of the beam (cross section) can be precisely controlled and rapidly change

Advantages:

- very good resolution (down to 10 nm)
- maskless = direct writing
- arbitrary shapes possible
- good for proof-of-concept demonstrations

Disadvantages:

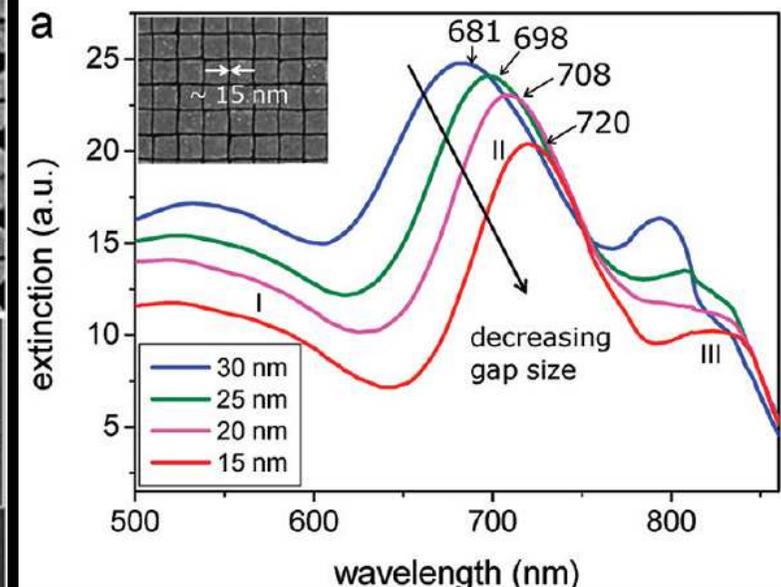
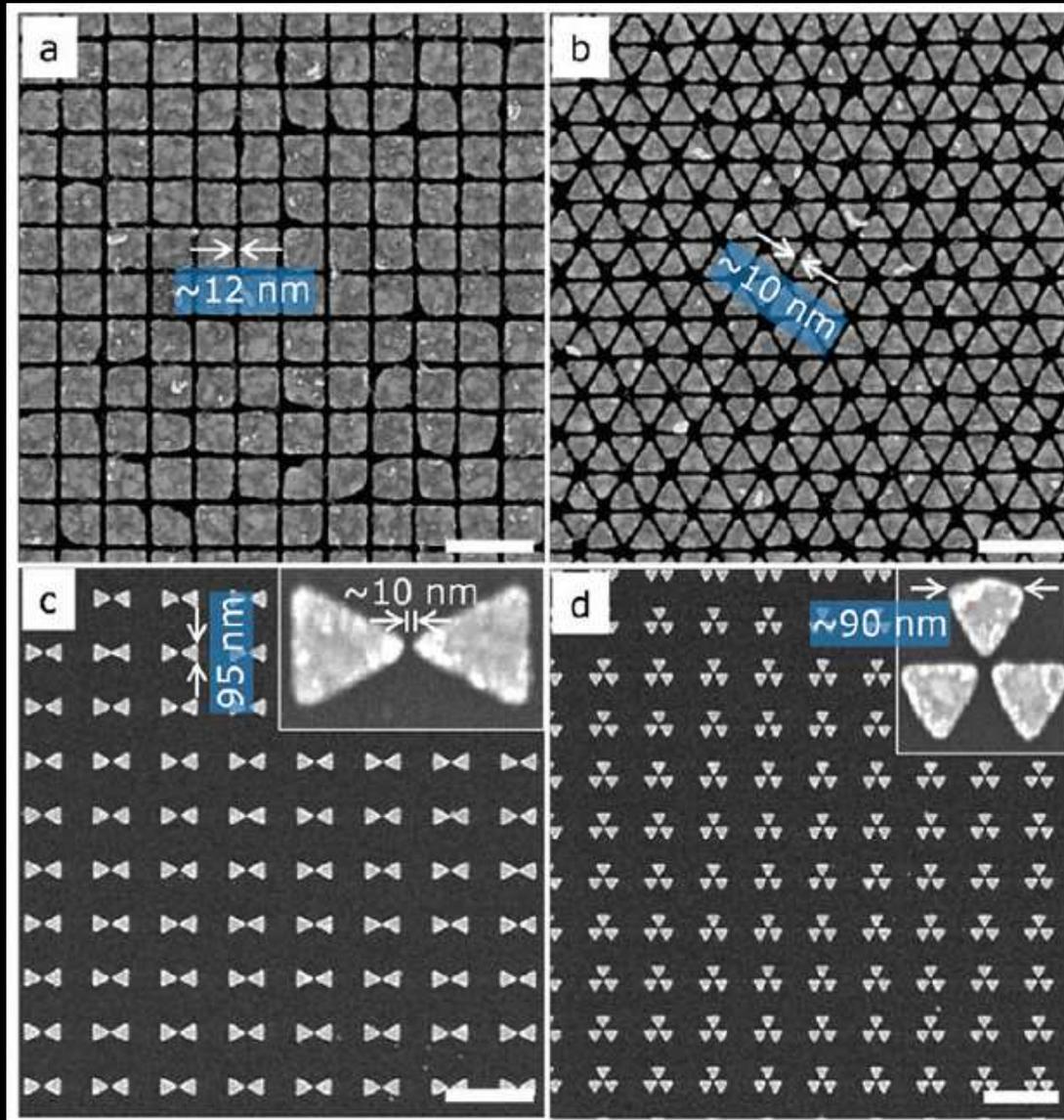
- expensive equipment
- very slow patterning process
- small-area
- multi-stage fabrication procedure
- only 2D patterning



Electron Beam Lithography (e-beam)

EBL

DIRECT WRITING



With the decrease in size of the gap the plasmon resonance is red-shifted

Close-packed gold nanostructures obtained by EBL and lift-off

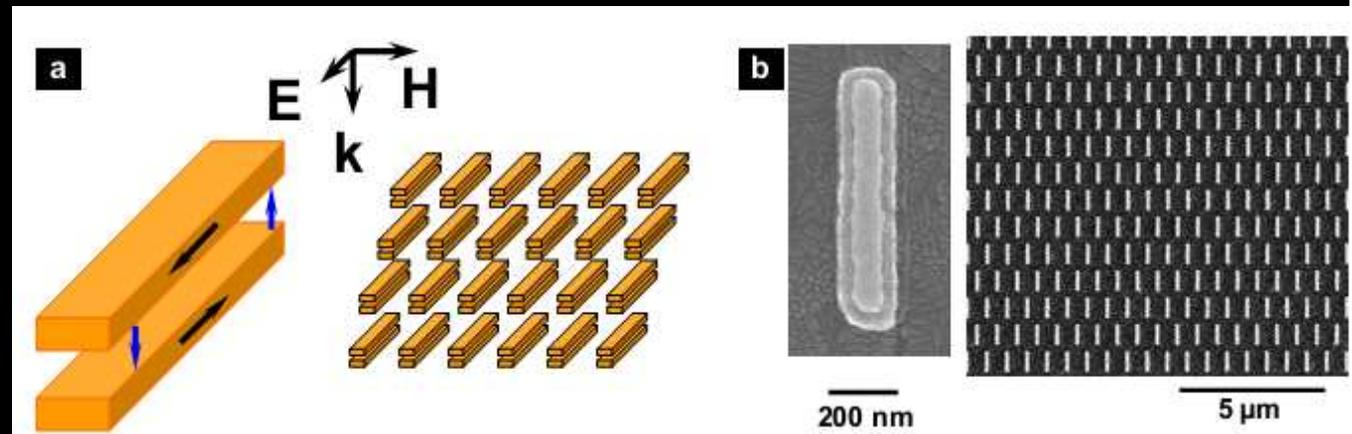
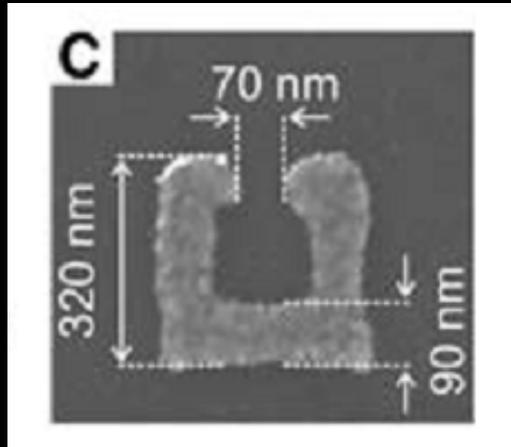
H. Duan et al., ACS Nano 5, 7593 (2011)

Electron Beam Lithography (e-beam)

EBL

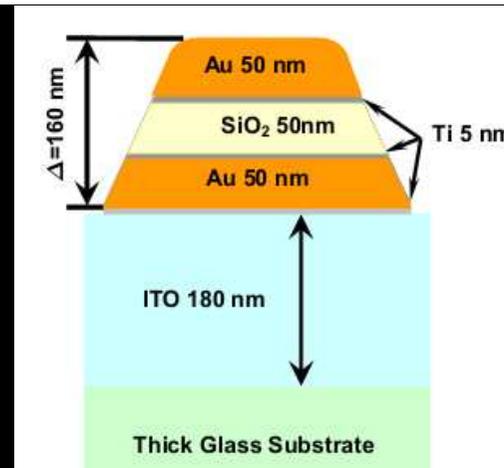
DIRECT WRITING

The way to obtain negative index metamaterials (NIM) at optical wavelength...



Metamaterial made of gold split-ring resonators with magnetic response at 100 THz obtained by EBL and lift off procedure

S. Linden ... C. Soukoulis, et al. Science 306, 1351 (2004)



Metamaterial with negative refractive index at $\lambda \approx 1\mu\text{m}$ obtained by EBL and lift off

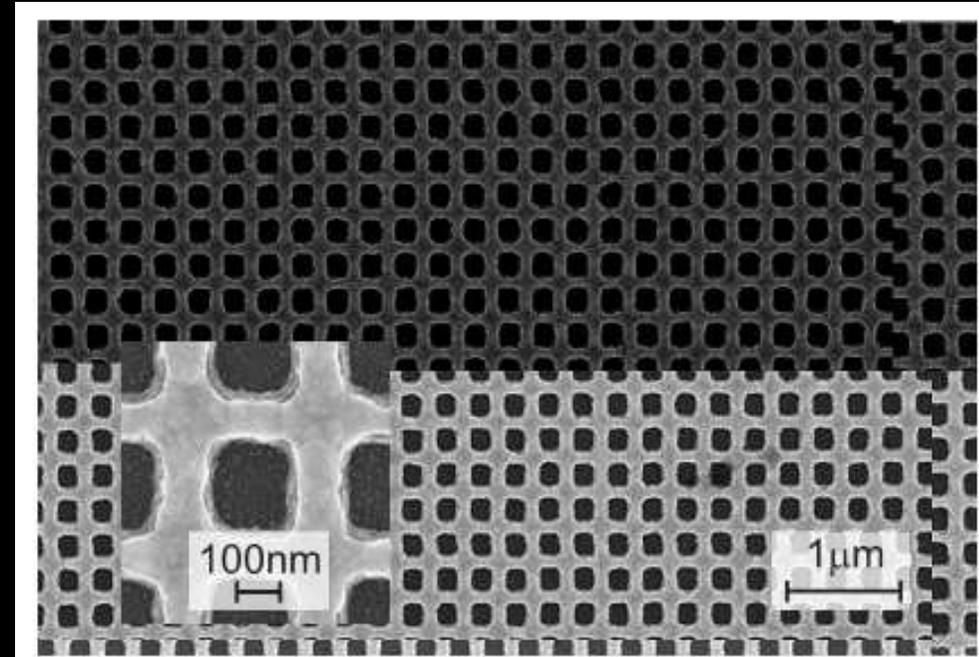
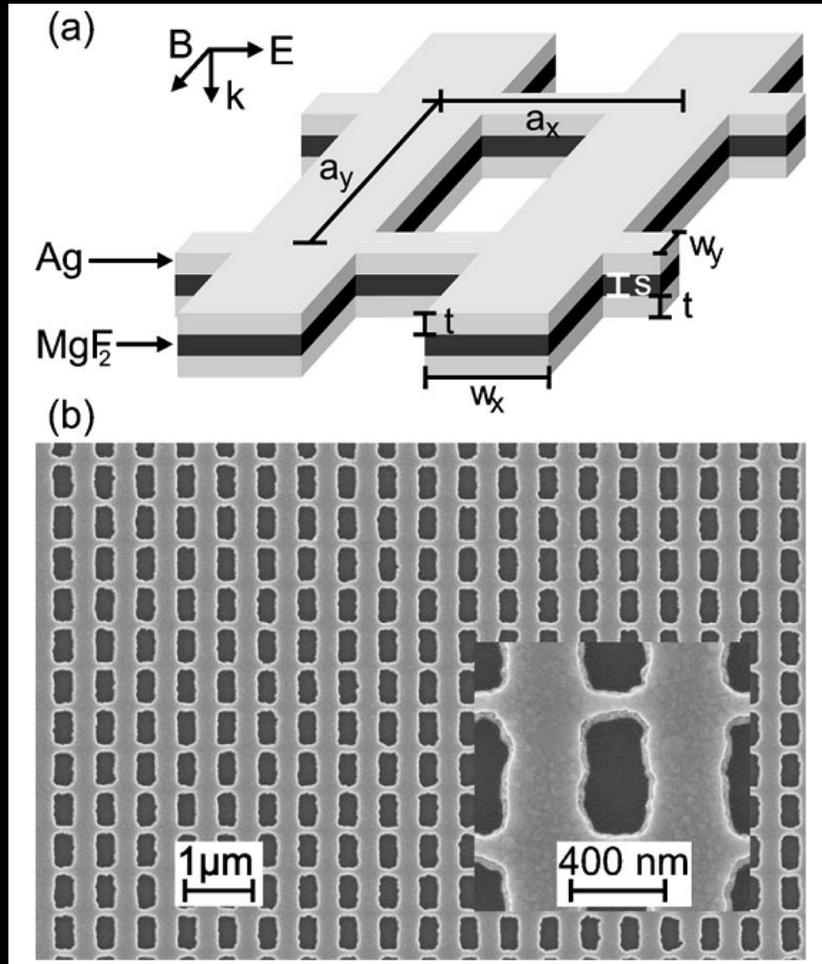
V. M. Shalaev et al. Optics Letters, 30, 3356-3358 (2005)

Electron Beam Lithography (e-beam)

EBL

DIRECT WRITING

the way to obtain negative index metamaterials (NIM) at optical wavelength...



G. Dolling ...M. Wegener... C. Soukoulis, et al., Opt. Lett. 32, 53 (2007)

G. Dolling...M. Wegener... C. Soukoulis, et al., Opt. Lett. 31, 1800 (2006)

FISHNET made from silver/MgF₂ layers fabricated by EBL and lift-off with negative refractive index at $1,5\mu\text{m}$ (left) and 780 nm wavelength (right)

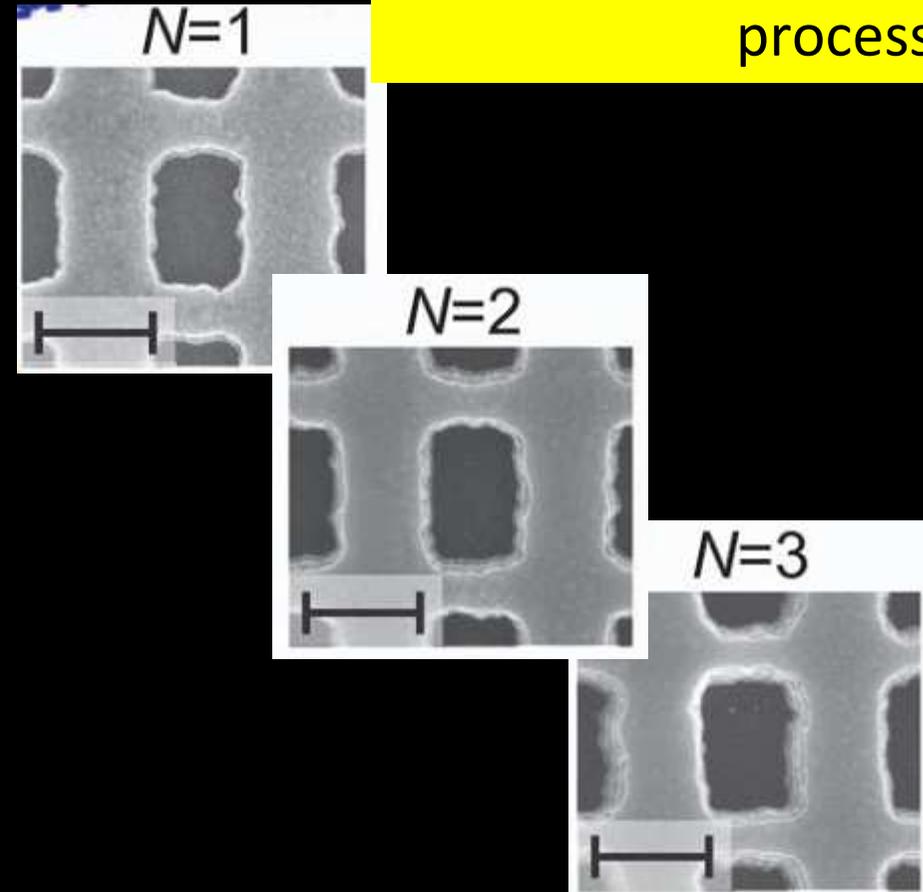
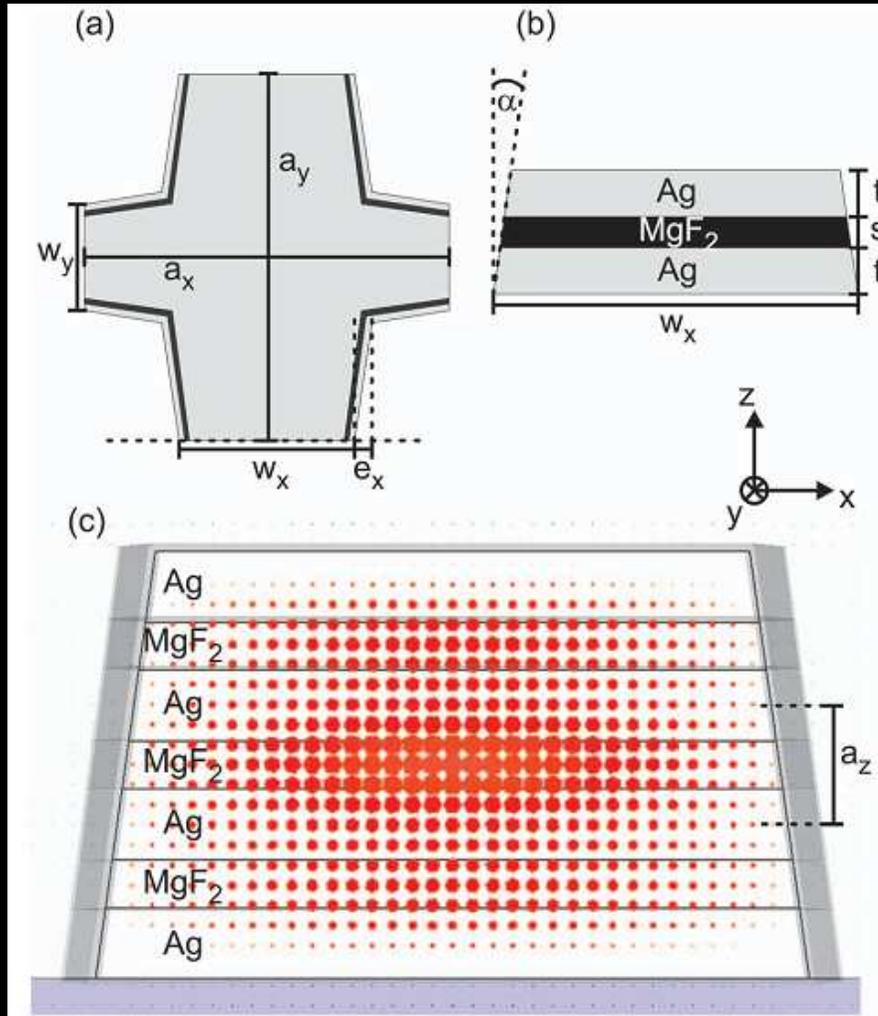
Stacked (layer-by-layer) Electron Beam Lithography

EBL

- from 2D to 3D...

DIRECT WRITING

For planar surfaces –
serial layer-by-layer
process



Metamaterial made from stacked silver/MgF₂ multi-layers fabricated by EBL and lift-off with negative refractive index at 1,41 μm

G. Dolling ... M. Wegener, et al., Opt. Lett. 32, 551 (2007)

Stacked (layer-by-layer) Electron Beam Lithography - from 2D to 3D...

EBL

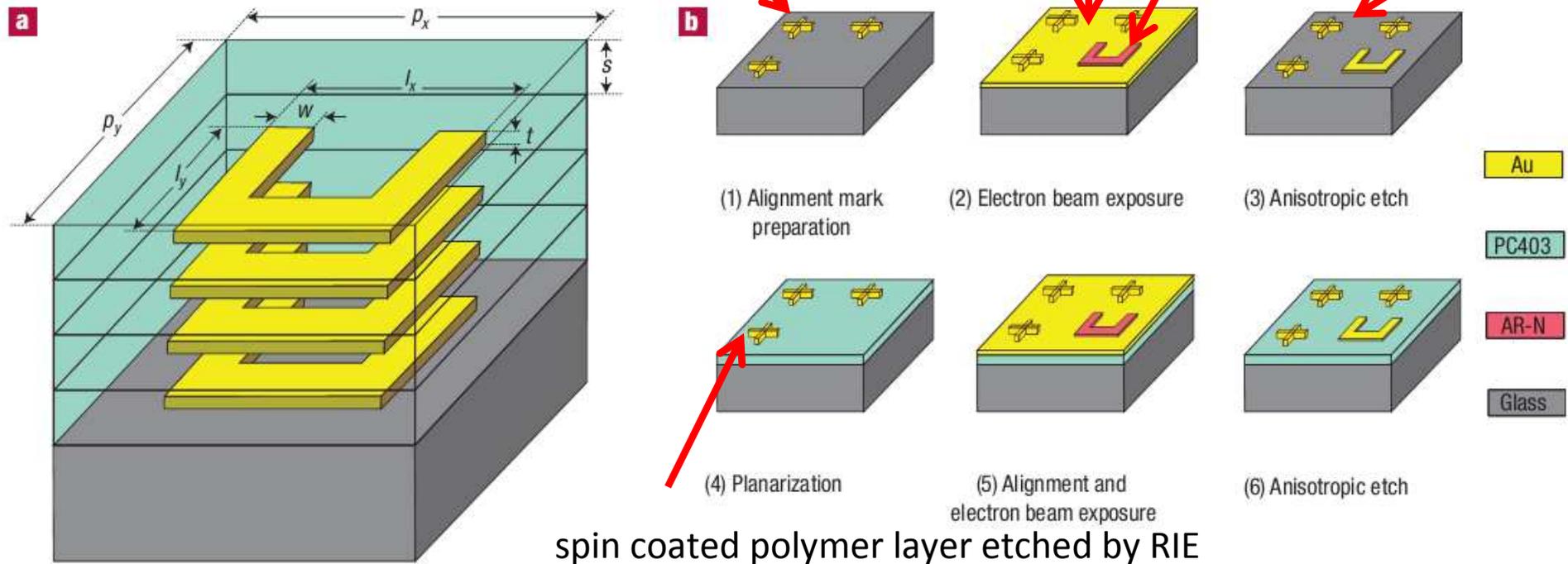
For non-planar surfaces – PLANARIZATION

gold alignment mark prepared by lift-off technique

Polymerized resist after EBL

evaporated gold layer

ion beam etched surface



Stacked non-planar EBL fabrication steps :

- ✓ alignment mark preparation
- ✓ first layer of nanostructures prepared by EBL
- ✓ planarization (spin coating of dielectric layer) + RIE thinning
- ✓ alignment of second layer with alignment marks
- ✓ etc

N. Liu ... H. Giessen, et al. Nat. Mater. 7 (2008)

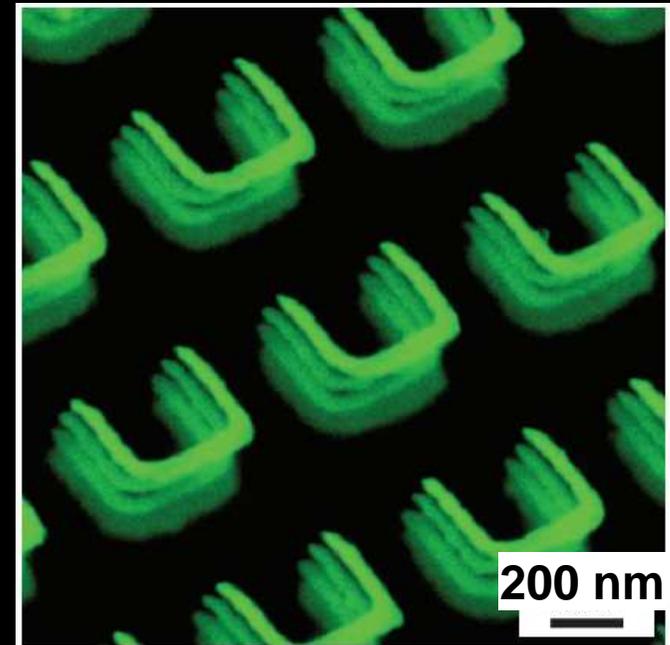
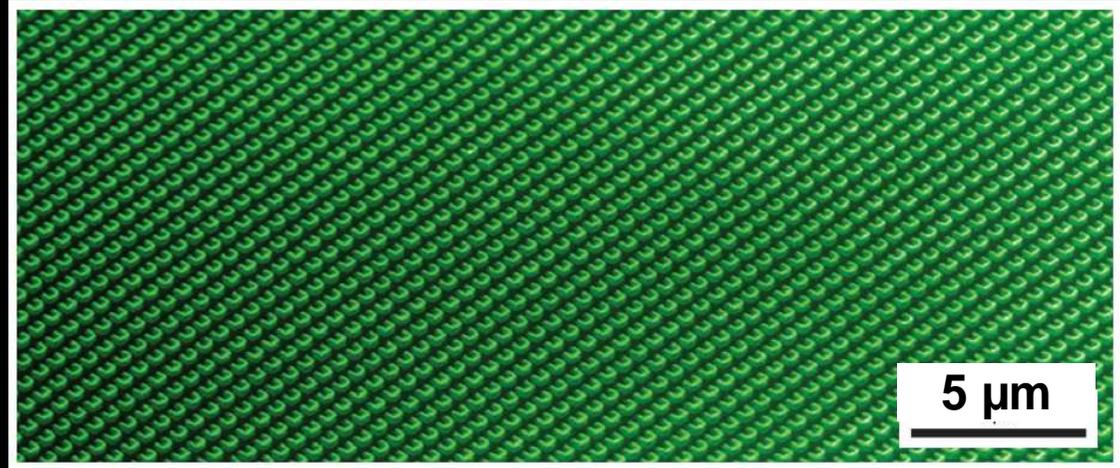
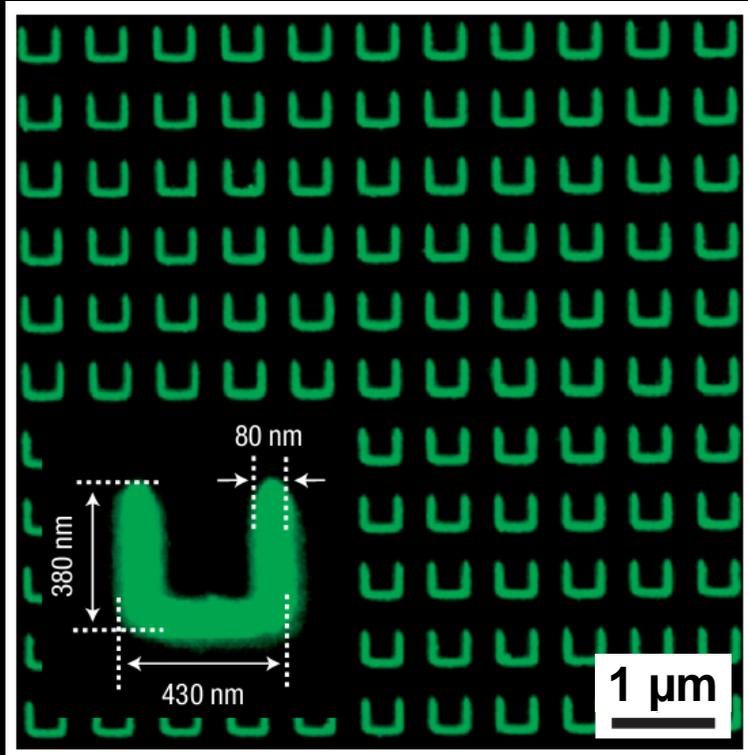
Stacked (layer-by-layer) Electron Beam Lithography

EBL

- from 2D to 3D...

DIRECT WRITING

For non-planar surfaces – PLANARIZATION



Na Liu ... H. Giessen, et al. Nat. Mater. 7 (2008)

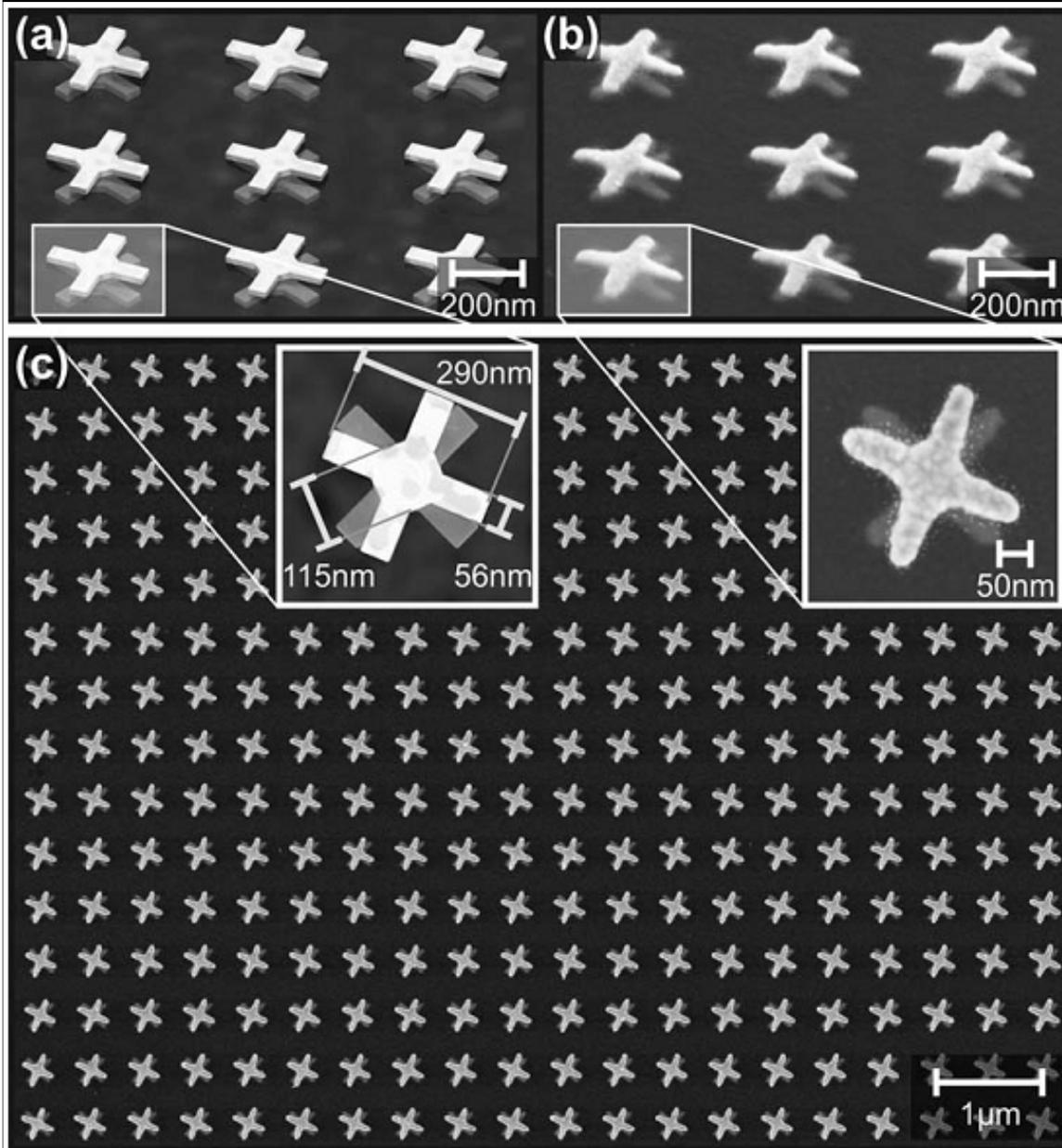
Stacked Electron Beam Lithography - from 2D to 3D...

EBL

DIRECT WRITING

For non-planar
surfaces –
PLANARIZATION

Twisted gold crosses
metamaterial
exhibiting circular
dichroism @1,36 μm



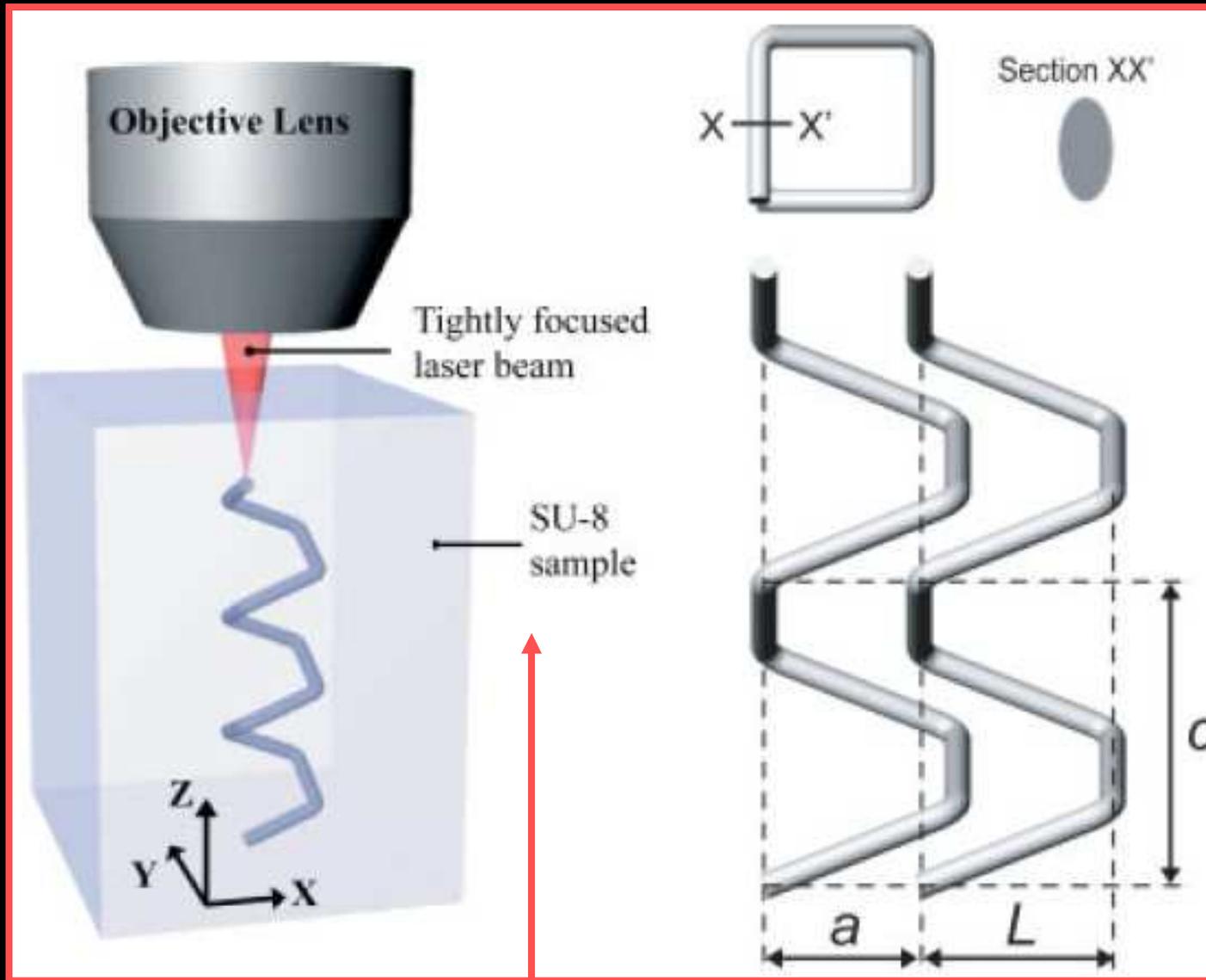
M. Decker ...C. Soukoulis... M. Wegener, et al. Optics Letters 35, 1593-1595 (2010)

Direct Laser Writing

DLW

DIRECT WRITING

A permanent photomodification, seeded by multiphoton absorption, is induced in the optically transparent dielectric material at the focal region of a tightly focused laser beam. The photomodification is localized within the spatial domain defined by the multiphoton absorption point-spread function. By translating the sample, arbitrarily shaped patterns can be drawn.



SU-8 is solid before and after optical exposure

Adv. Mater. 17 (2005) 541, K. K. Seet et al.

In DLW multiphoton polymerization, a photoresist is illuminated by laser light at a frequency below the single photon polymerization threshold of the resist. When this laser light is tightly focused inside the photoresist, the light intensity inside a small volume (the focus) may exceed the threshold for initiating multiphoton polymerization. The size and shape of these so-called VOXELS depend on the iso-intensity surfaces of the microscope objective, and the exposure threshold for multiphoton processes of the photosensitive medium.

SU-8

SU-8(MicroChem)

consists of an octafunctional epoxy **RESIN** (PON SU-8), a **PHOTOINITIATOR** (mixed triarylsulphonium/hexafluoroantimonate salt in propylene carbonate solvent), both dissolved in gamma-butyrolactone (GBL)

EXPOSURE

On irradiation by near-ultraviolet light, the photoinitiator generates an acid

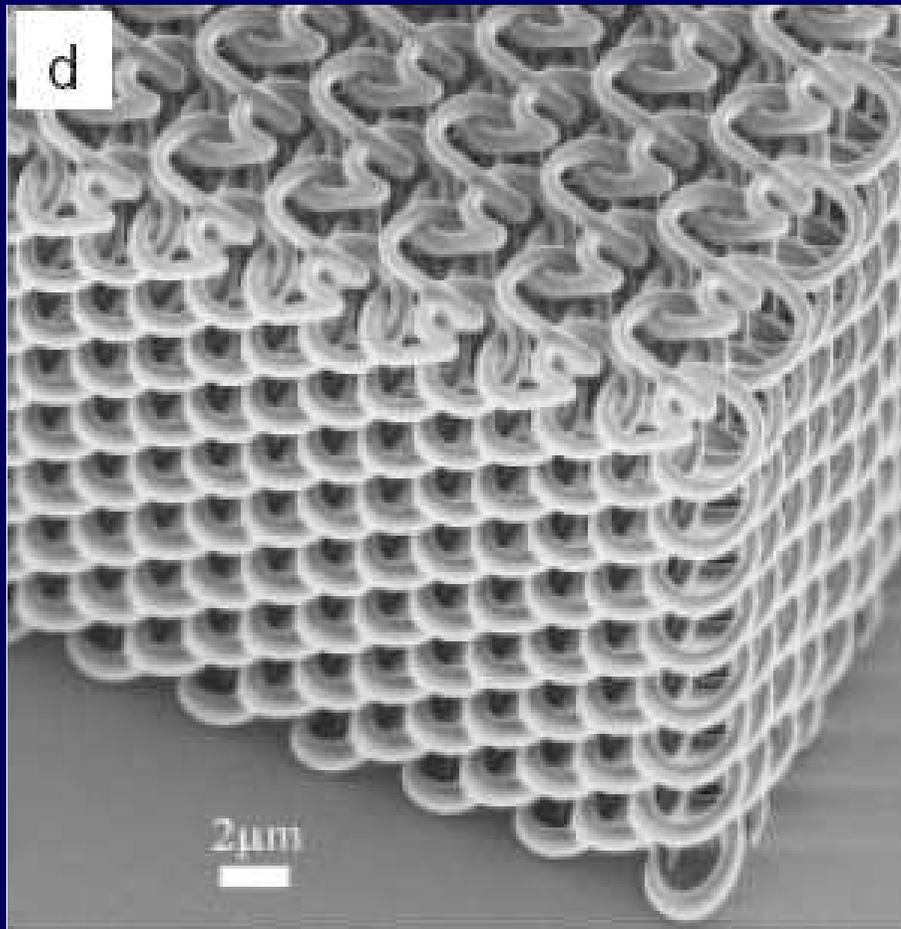
POSTEXPOSURE BAKE

In a postexposure bake, this acid catalyzes the cross linking reaction of the monomers to the polymer

DEVELOPMENT

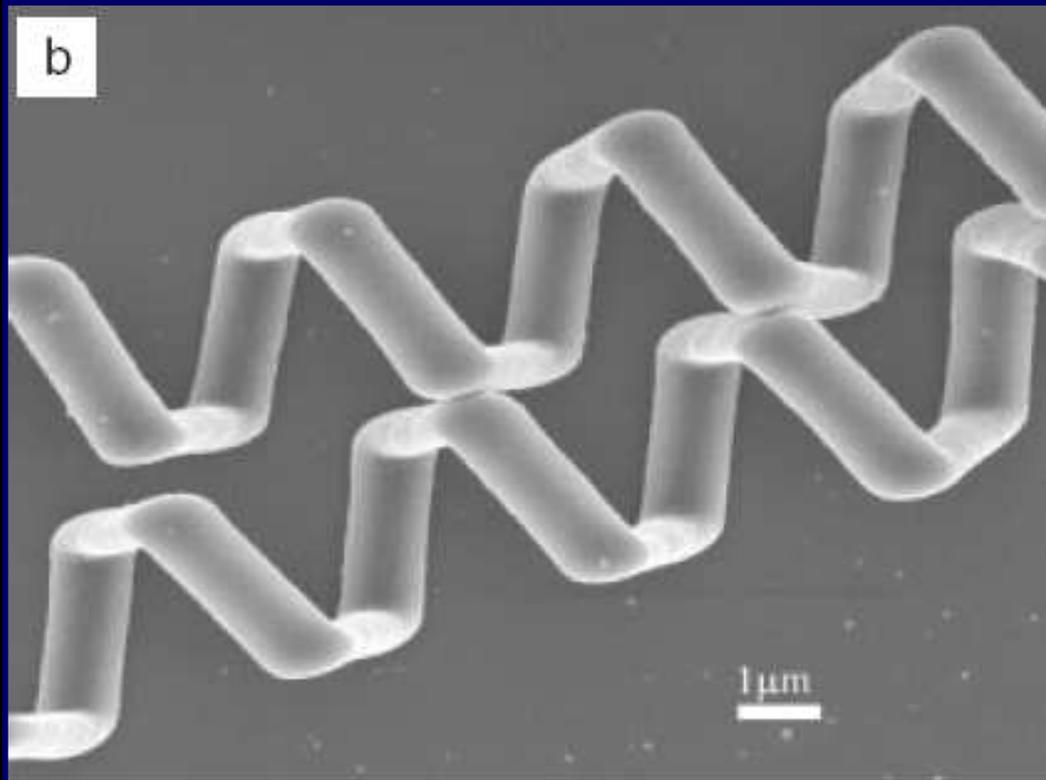
Sufficiently illuminated resin remains whereas underexposed resin is washed out by a solvent in a development step

- SU-8 is solid before and after optical exposure
- Chemical development is required in order to reveal the photomodified regions
- Absence of a liquid-to-solid transition during the DLW creates stable recording conditions
- It permits the fabrication of areas behind the already fabricated features



**The circular-spiral sample with
180deg phase shift between
adjacent spirals**

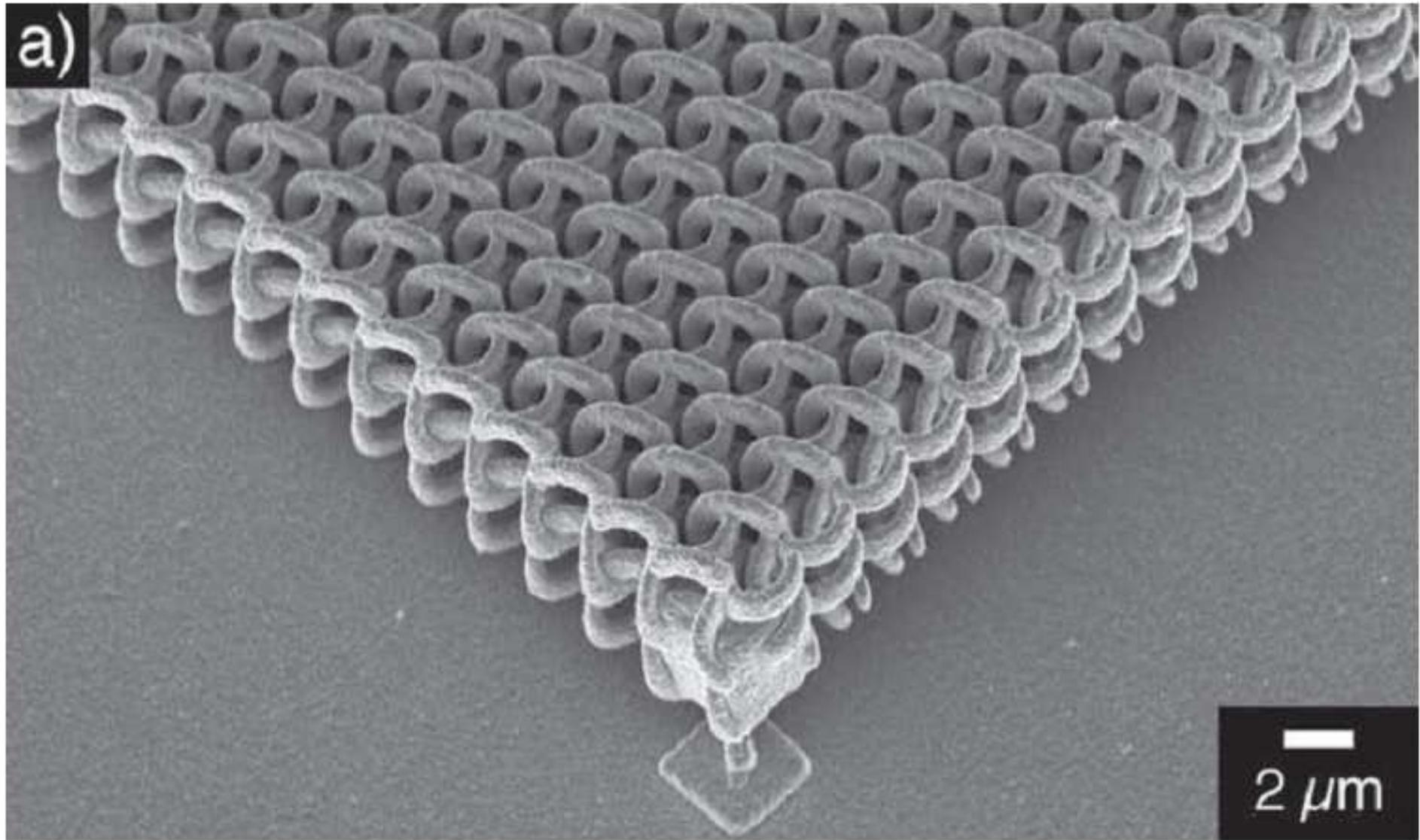
**Although the simplest square-spiral
structures were successfully fabricated
from silicon by the GLAD technique,
their more-complex variants
(e.g. containing phase shifted spirals)
can only be realized by DLW**



Advantage

The spiral structures are self-supporting and are almost shrinkage free

Bichiral metamaterial obtained by DLW and electroless silver plating



Possibilities for practical infiltration of 3D SU-8 templates

Single infiltration and template removal
will produce an inverse structure

1. Sol-gel infiltration

eg. with TiO₂ ($n=2.2, 2.6$) or other nanoparticles into the air voids followed by the removal of the template

2. Low-temperature chemical vapor deposition (CVD)

SU-8 retains its thermal and chemical robustness for temperatures up to 380degC

3. Electrodeposition

For infiltration of semiconductors

Possibilities for practical infiltration of 3D SU-8 templates

DOUBLE-TEMPLATING APPROACH

CVD – infiltration of silica into the template

Removal of SU-8 template - thermally

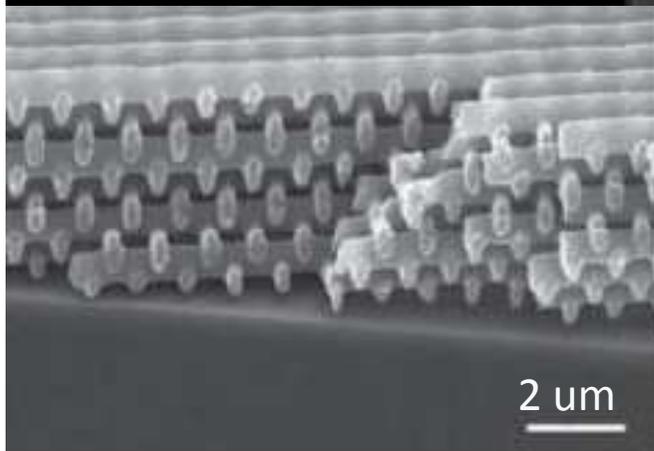
Infiltration by other material as
semiconductor/metal
into remaining secondary silica template

chemical etching - removal of silica template

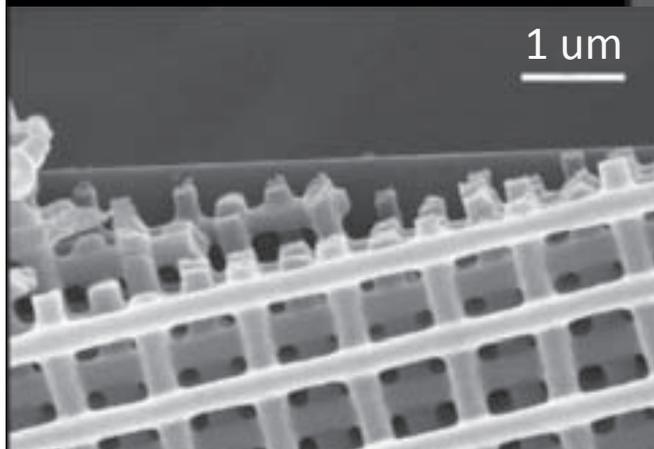
direct structure is obtained

Direct laser writing (DLW) of 3 D PC templates for telecommunication

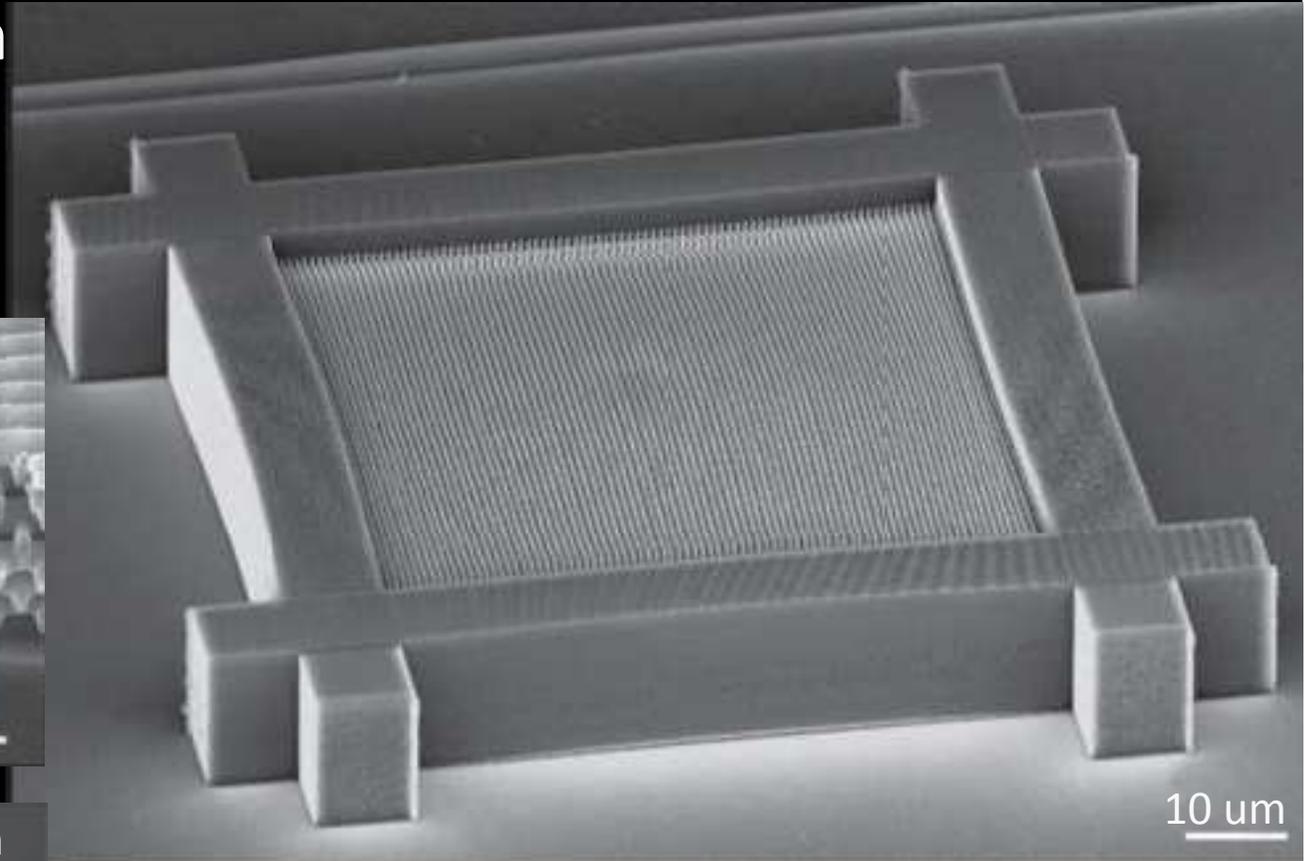
Side view



1 μm



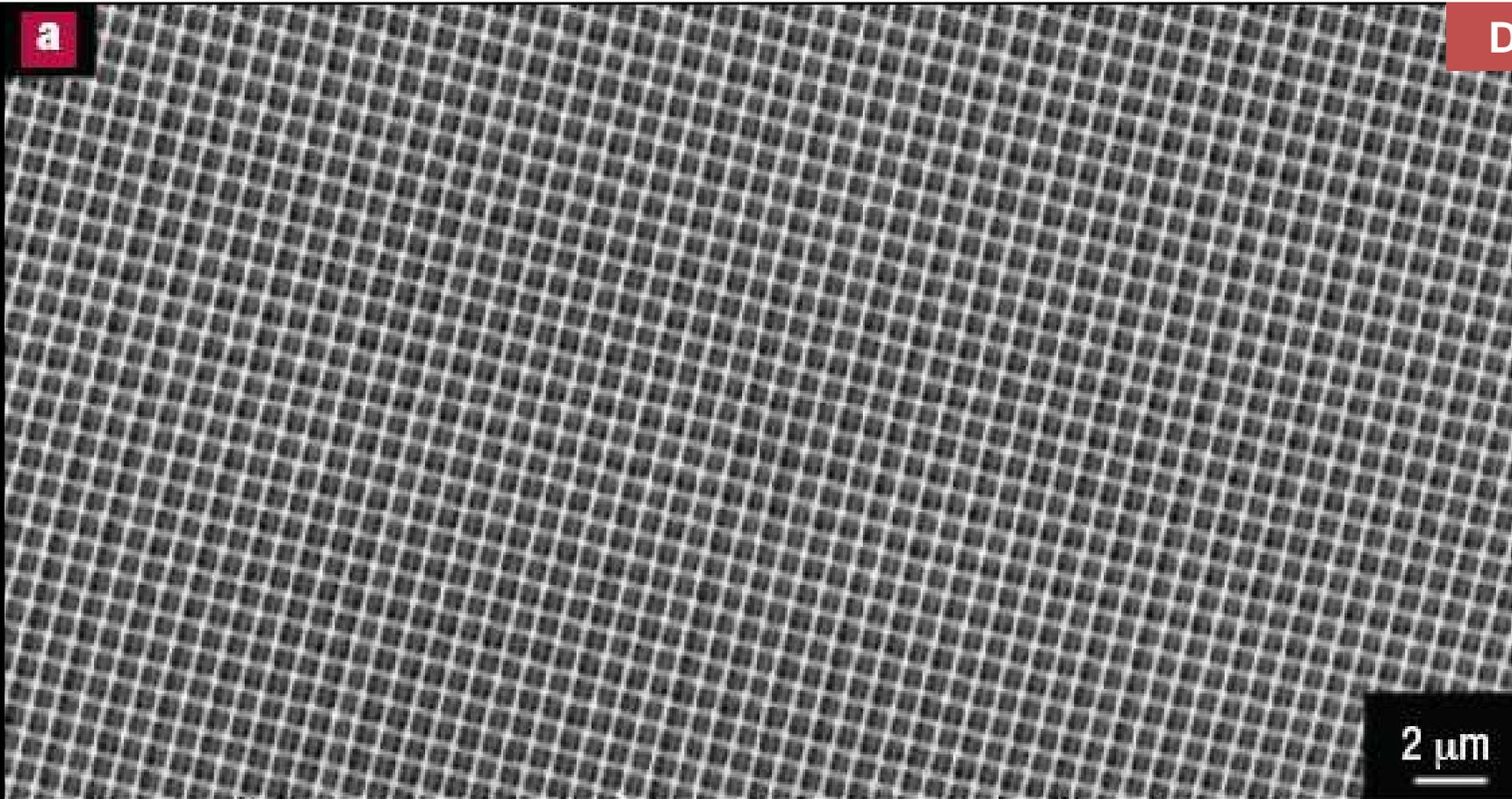
Top view



Layer-by-layer structure with 40 layers and a massive wall that prevents bending and reduces distortions due to polymer shrinkage during polymerization

a

DLW



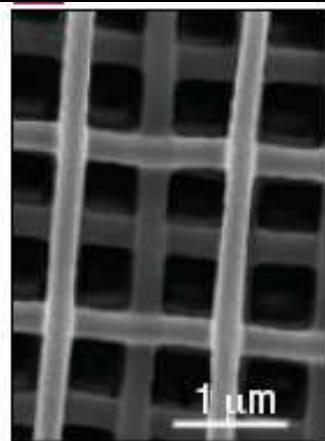
2 μm

$a = 1.5 \mu\text{m}$

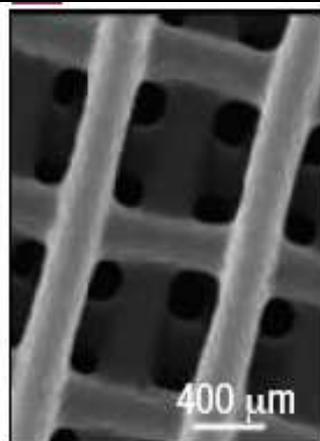
$a = 1.0 \mu\text{m}$

$a = 0.8 \mu\text{m}$

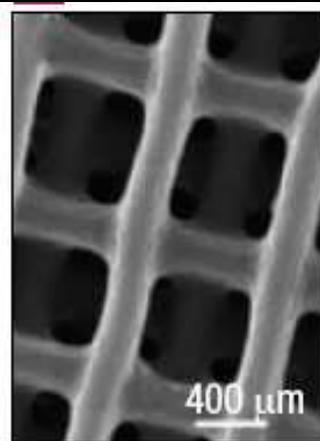
$a = 0.65 \mu\text{m}$



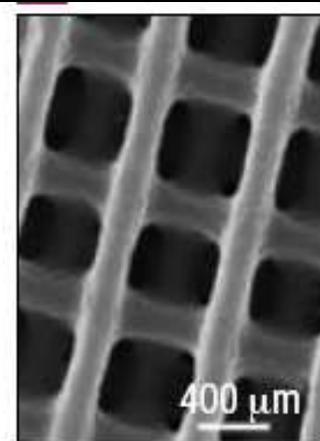
1 μm



400 μm



400 μm

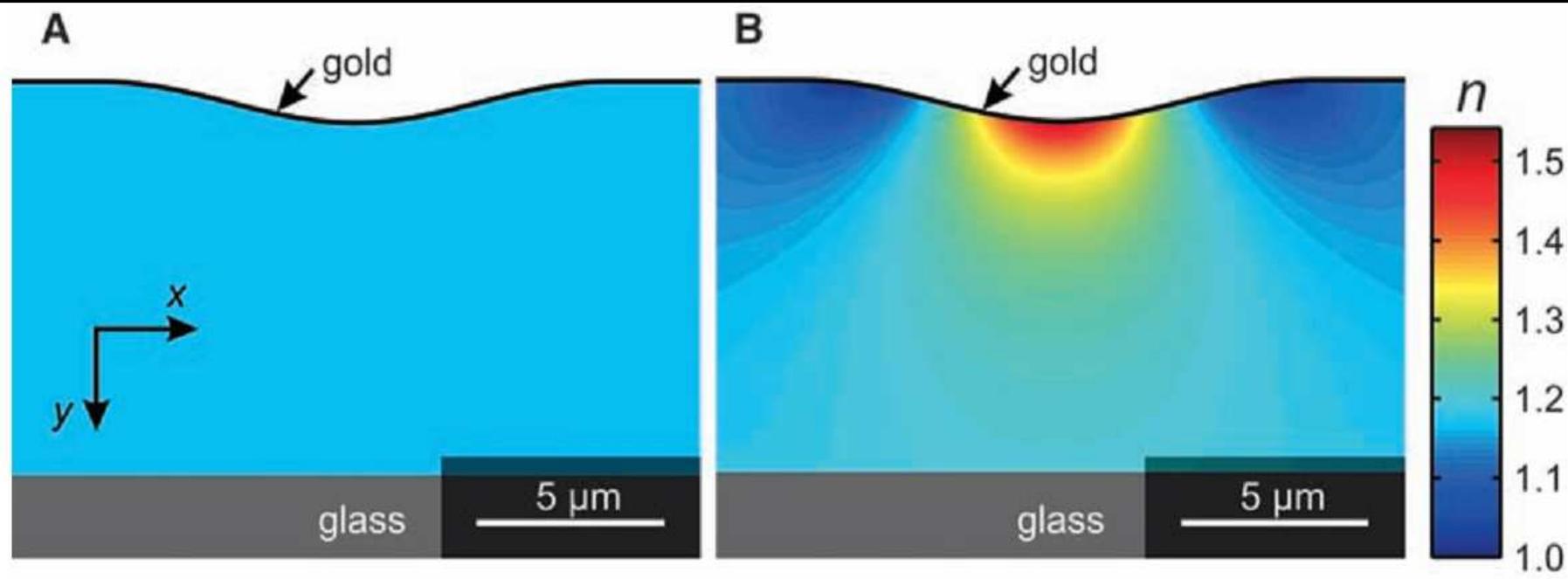


400 μm

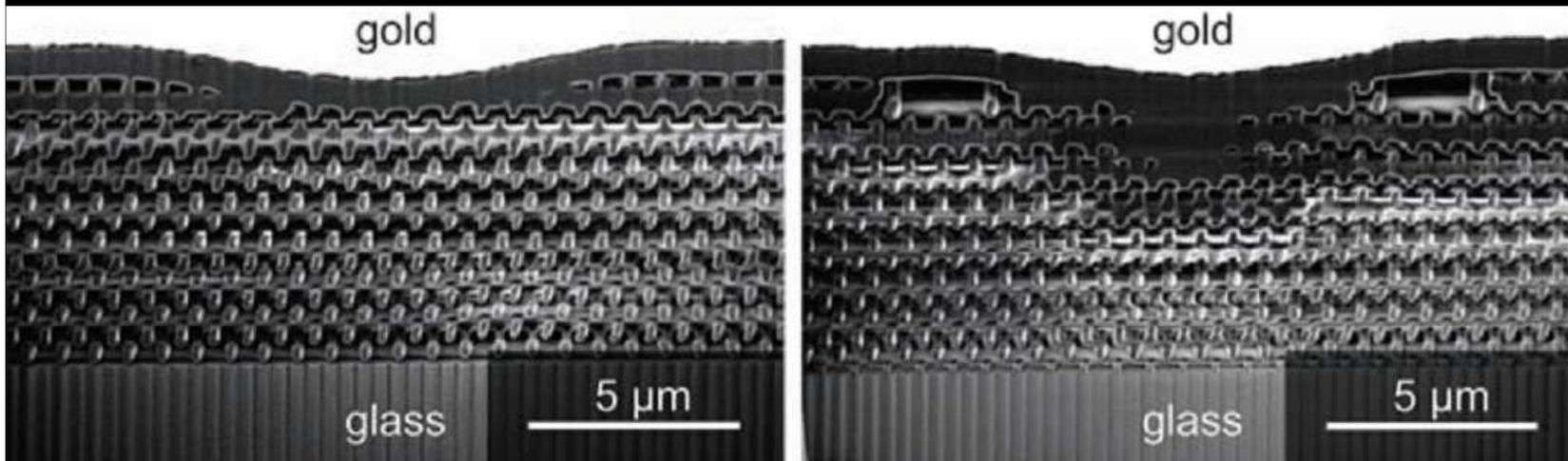
Nature Materials 3
(2004) 444, Deubel
M.

Direct Laser Writing and Transformation Optics (TO)

DLW



Refractive index distribution in woodpile structure without cloak (left) with cloak (right)



3D broadband optical (1.4 to 2.7 μm) carpet-cloak

*T. Ergin ...
J.B. Pendry,
M. Wegener,
et al. Science
328 337
(2010)*

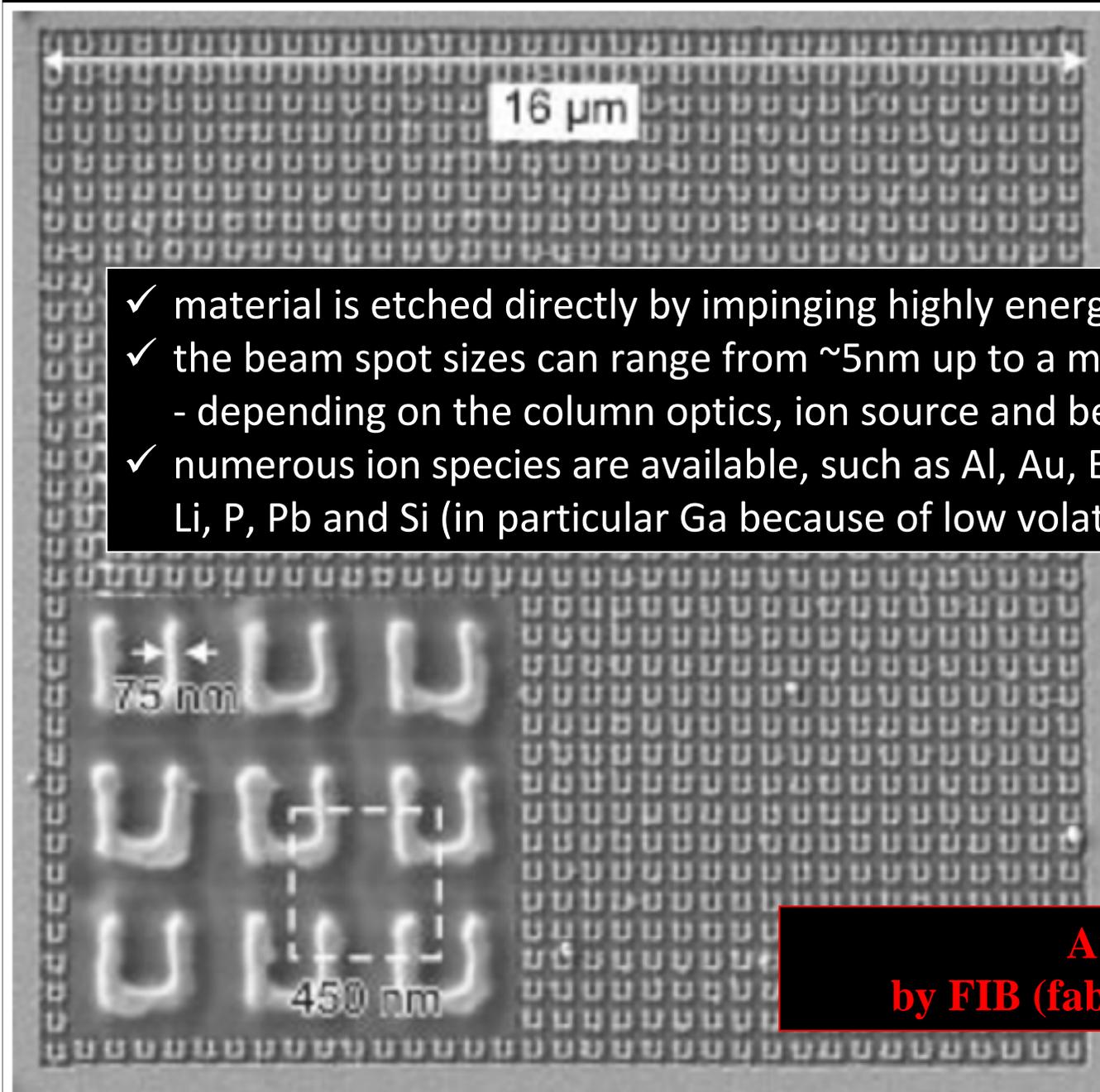
Ion Beam Lithography (Focus Ion Beam – FIB, ion milling)

FIB

DIRECT WRITING

S. Enkrich et al.
Adv. Mater. 17 ,
2547–2549
(2005)

- ✓ material is etched directly by impinging highly energetic ions
- ✓ the beam spot sizes can range from ~5nm up to a micrometer
- depending on the column optics, ion source and beam current
- ✓ numerous ion species are available, such as Al, Au, B, Be, Cu, Ga, Ge, Fe, In, Li, P, Pb and Si (in particular Ga because of low volatility and melting temp.)



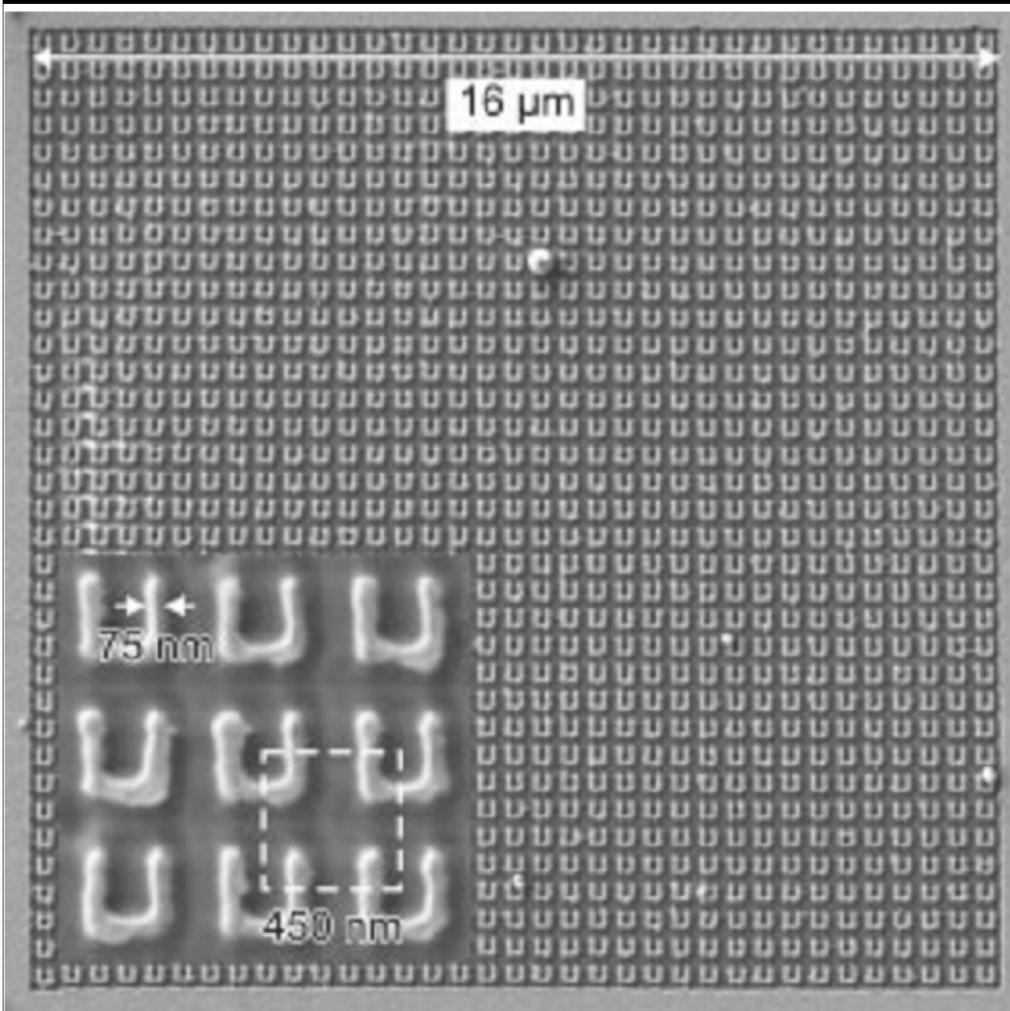
**Arrays of SRRs obtained
by FIB (fabrication time \approx 20 min)**

Ion Beam Lithography (Focus Ion Beam – FIB, ion milling)

FIB

DIRECT WRITING

S. Enkrich et al. Adv. Mater. 17, 2547–2549 (2005)



Advantages:

- very good resolution
- maskless = direct writing
- arbitrary shapes possible
- good for proof-of-concept demonstrations and rapid prototyping
- fully anisotropic etching
- can be adopted as a SEM accessory

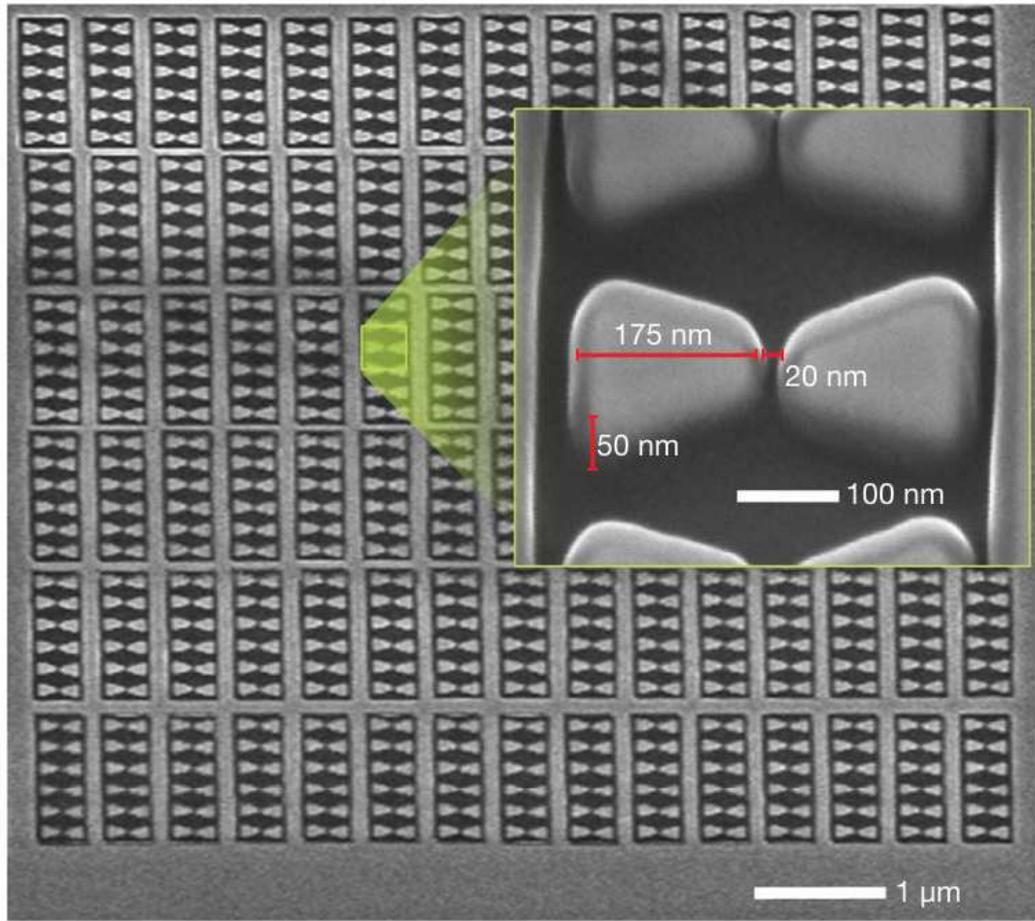
Disadvantages:

- small-area fabrication
- difficult three-dimensional nanostructure patterning
- unintentional doping and structure damaging
- patterning structures below 100 nm starts to be very slow

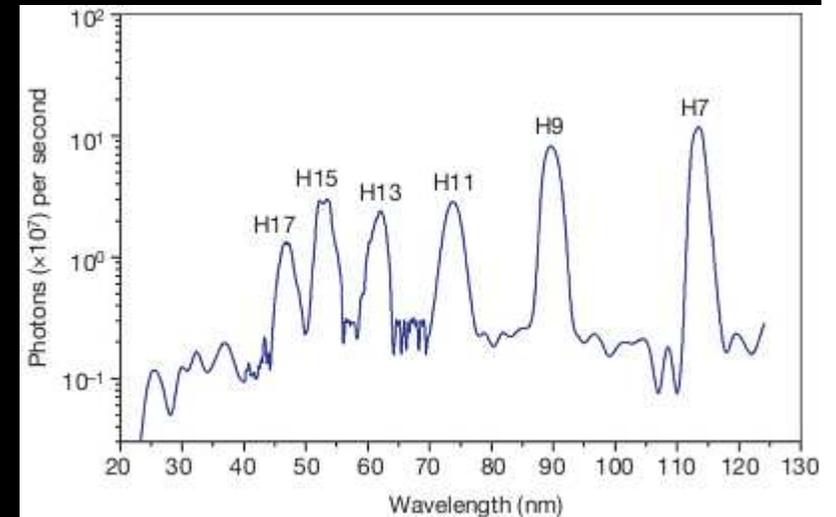
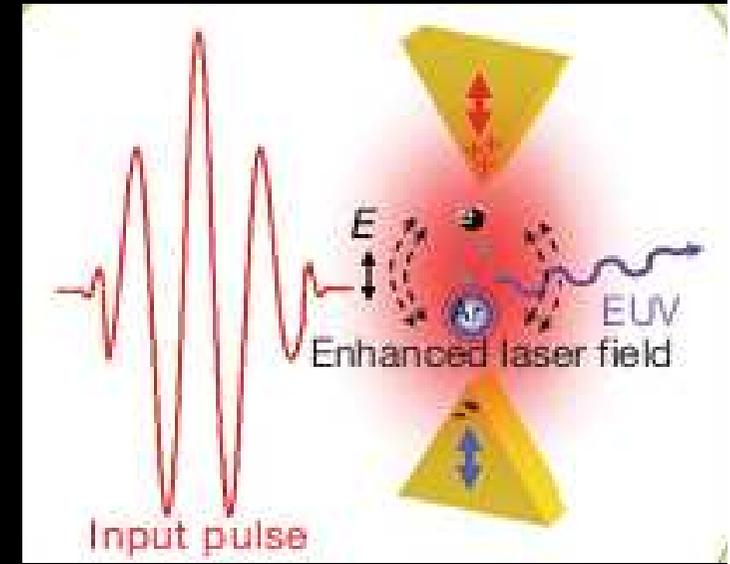
Ion Beam Lithography (Focus Ion Beam – FIB, ion milling)

FIB

DIRECT WRITING



10-fs ,800 nm, 75 MHz Ti:sapphire laser

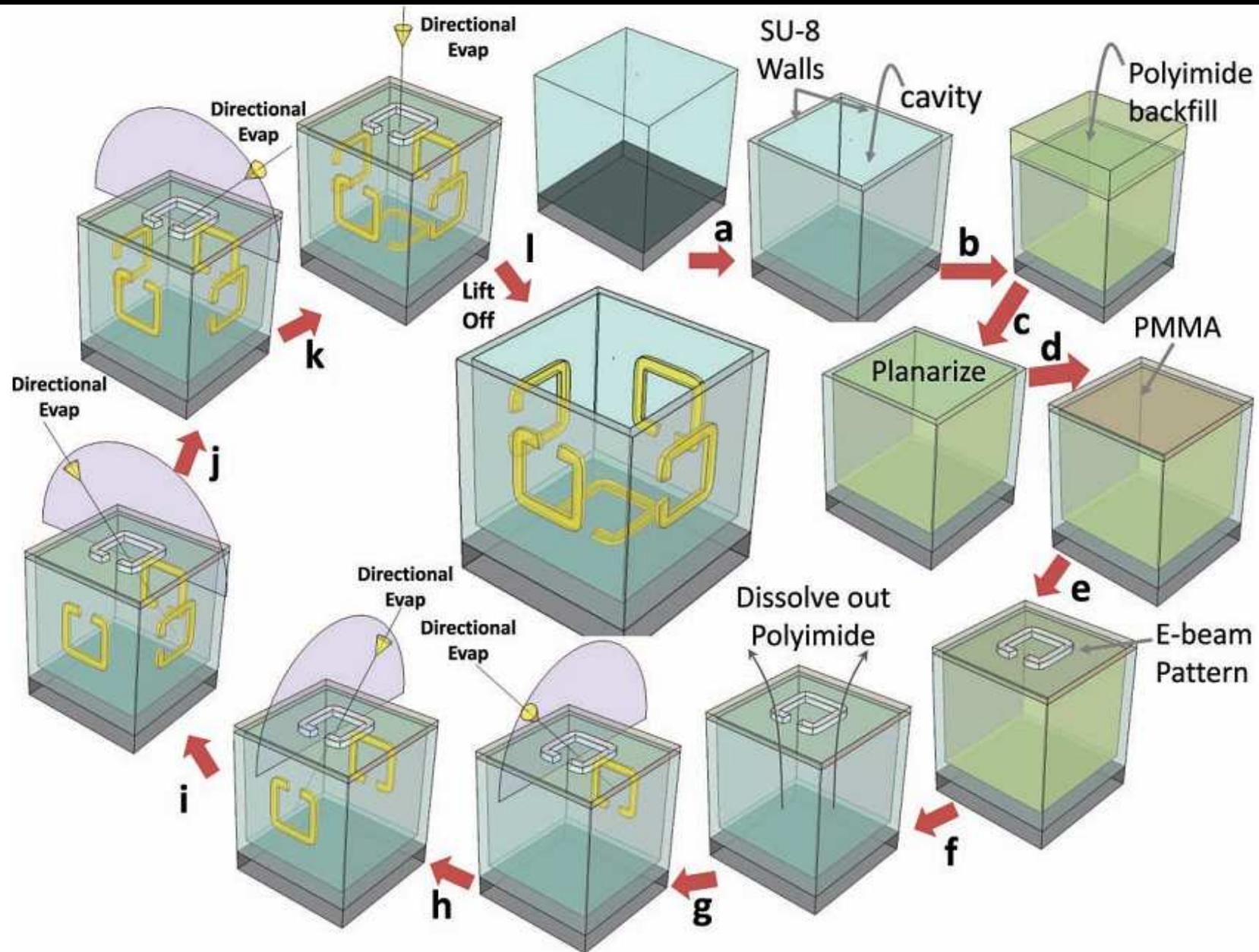


Gold bow-tie nanoantennas obtained by FIB
Generation of Extreme-UV light with pulse intensity 10^{11}Wcm^{-2} (normally required $>10^{13} \text{Wcm}^{-2}$)

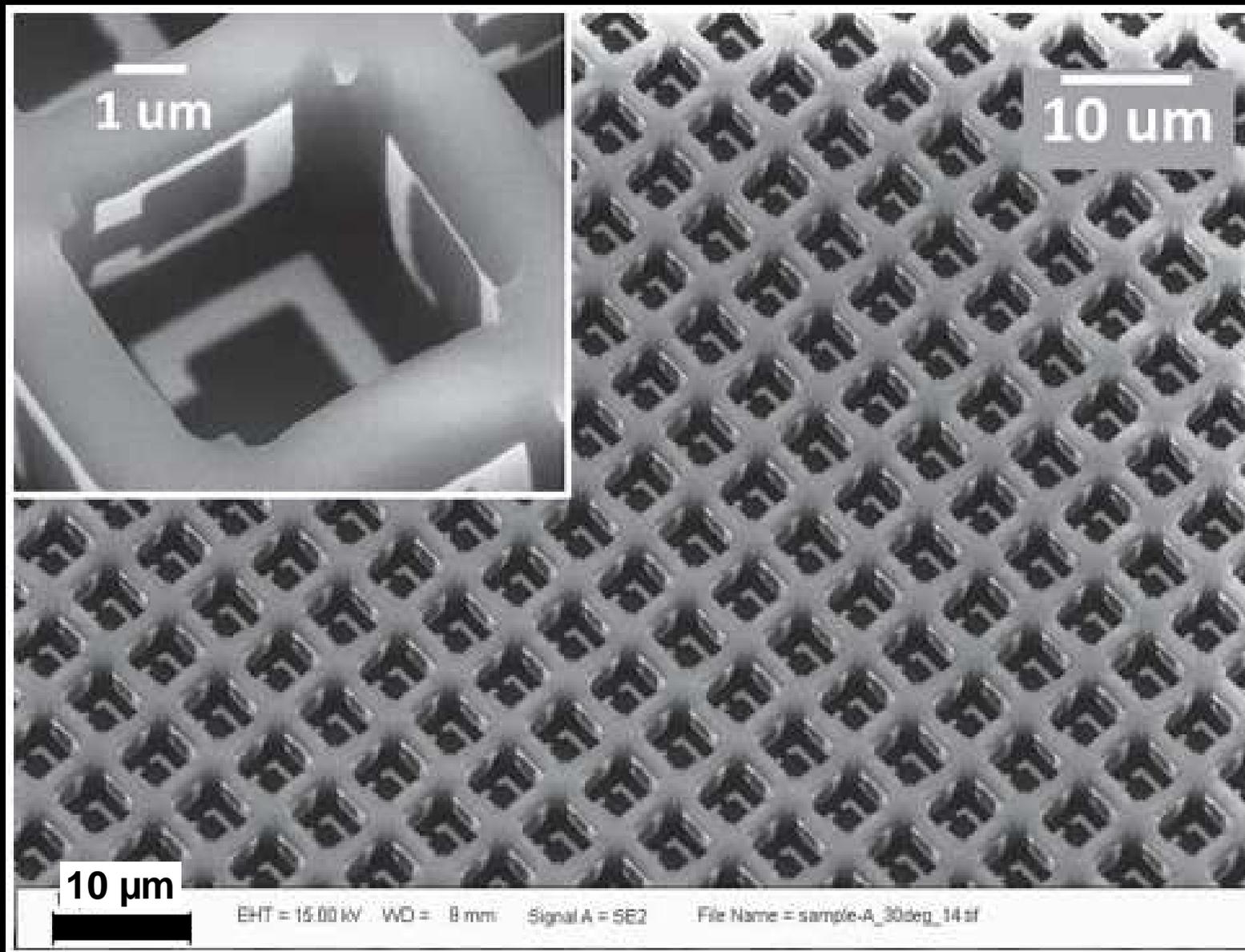
S. Kim et al. Nature 453 (2008)

Measured spectrum of generated high harmonics

Self-Aligned Membrane Projection Lithography (SAMPL)



Self-Aligned Membrane Projection Lithography (SAMPL)



D. B. Burckel...M. B. Sinclair, *AFM*, **2010**, 22, 5053

Gold SRRs multi-3D-structure
fabricated with SAMPL

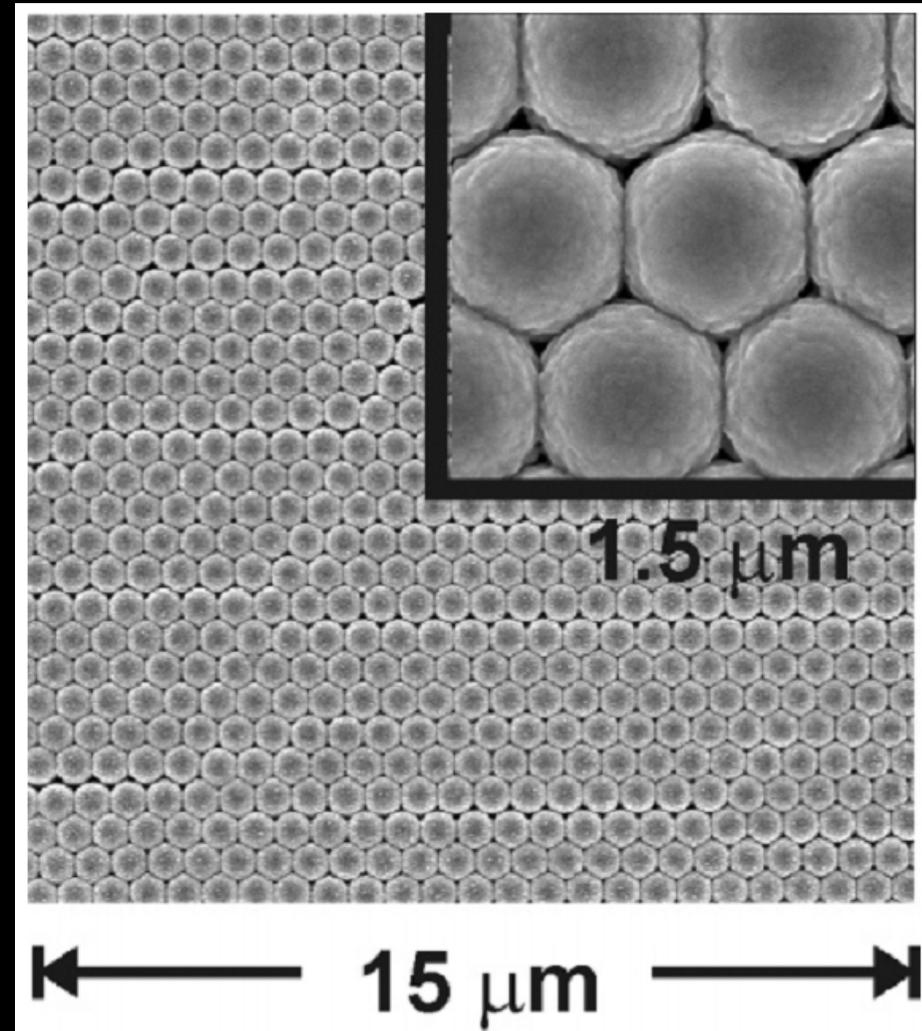
Atomic Layer Deposition (ALD) in MTMs and plasmonics

ALD

- ✓ ALD in principle is similar to chemical vapor deposition process
- ✓ in ALD two precursors of deposited material are deposited separately (in separate reactions) this allows to obtain layers controlled with atomic precision (due to the self-limiting surface reactions)
- ✓ ALD enables obtaining super-thin films (from 1 Å) which could be very useful for plasmonics and MTMs

X. Zhang et al. J. Am. Chem. Soc. 128, 10304 -10309 (2006)

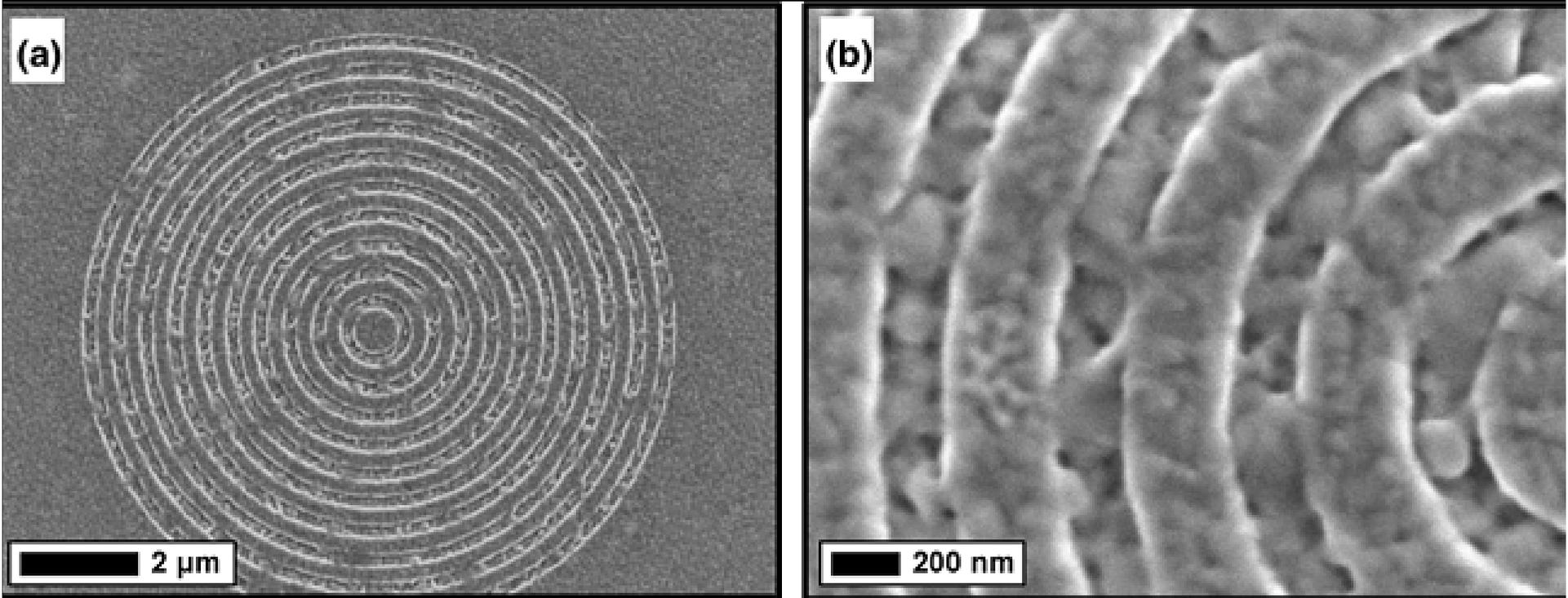
For SERS: (i) protection of Ag, (ii) proximity of molecules to plasmonic particles



Self-assembled silver film-over-nanosphere (AgFON) prepared by drop-coating polystyrene spheres onto the substrate and covered with silver and coated with Al_2O_3 (ALD -2 Å)

Bull's eye pattern by Focused Ion Beam

Typical as-deposited silver ion beam milled polycrystalline films are inherently rough and possess implant impurities...



Bull's eye pattern milled into an as-deposited silver film via FIB

One of the newest ideas to make the film (and pattern) smoother is template stripping

N C Lindquist et al. Rep. Prog. Phys. 75, 036501 (2012)

Template stripping for ultrasmooth patterned metals

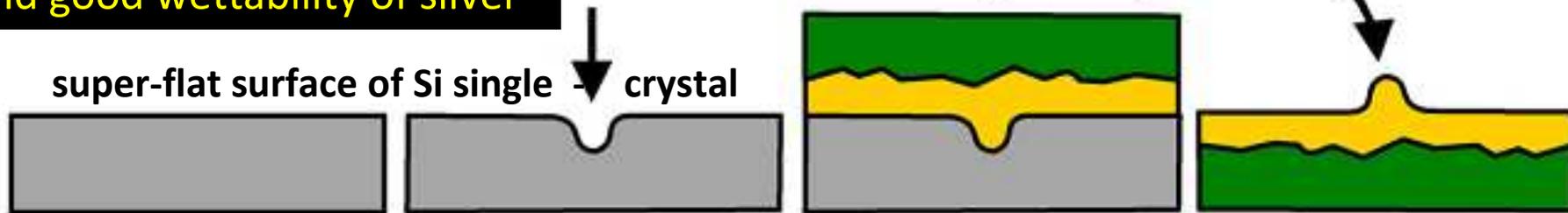
1. Single-crystal silicon

2. Standard patterning

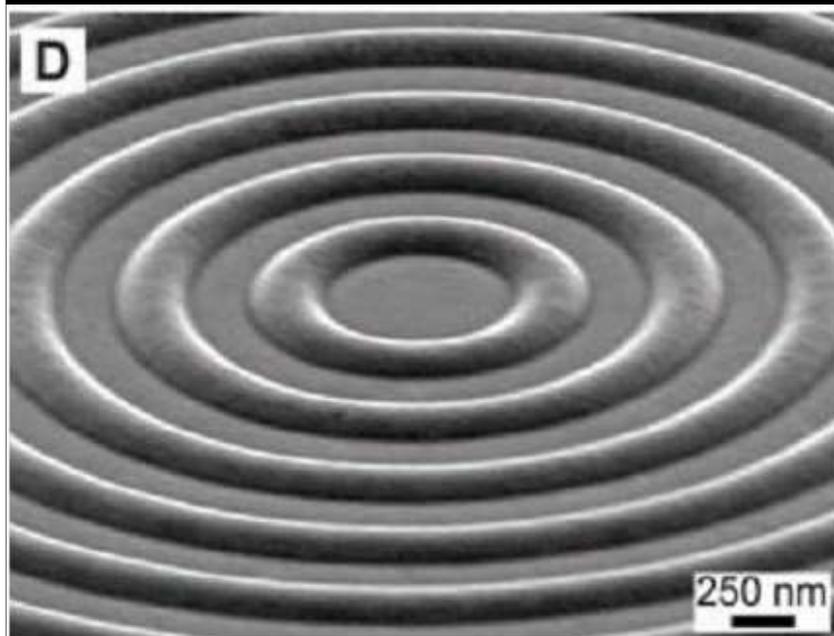
3. Deposit metals and a backing layer

4. Peel off to reveal pattern, reuse template

Si surface => poor adhesion to and good wettability of silver

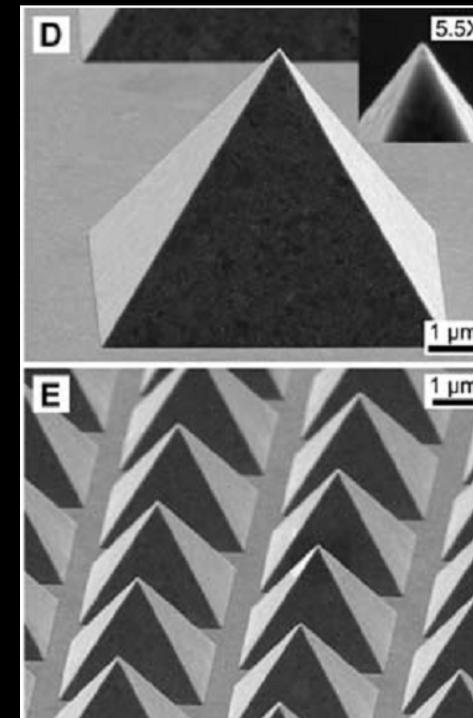


N C Lindquist et al. Rep. Prog. Phys. 75, 036501 (2012)



Silver bull's eye pattern

Gold pyramid array



P. Nagpal... D. J. Norris, et al. Science 325, 594 (2009)

Top-down methods

Means of patterning	<p>PHOTONS</p> <ul style="list-style-type: none"> • Photolithography + lift-off • Holographic Lithography • Direct Laser Writing 	<p>ELECTRONS</p> <ul style="list-style-type: none"> • Electron Beam Evaporation • Electron Beam Lithography 	<p>IONS</p> <ul style="list-style-type: none"> • Ion Beam Lithography
Way of exposure	<p>ENTIRE AREA EXPOSURE</p> <ul style="list-style-type: none"> • Photolithography + lift-off • Electron Beam Evaporation • Holographic lithography • Soft Lithography • Glancing Angle Deposition Method • Atomic Layer Deposition • Template Stripping 		<p>SCANNING</p> <p>Direct Writing:</p> <ul style="list-style-type: none"> • Direct Laser Writing • Electron Beam Lithography • Ion Beam Lithography
Other	<p>FAST & LARGE AREA</p> <ul style="list-style-type: none"> • Soft Lithography 	<p>ARBITRARY SHAPES</p> <ul style="list-style-type: none"> • Direct writing 	<p>ULTRA SMOOTH</p> <ul style="list-style-type: none"> • Template stripping

THANK YOU FOR YOUR ATTENTION!