



Tomorrow:
Modelling approaches for metamaterials

Towards optical left-handed metamaterials



M. Kafesaki, R. Penciu, Th. Koschny, P. Tassin,



E. N. Economou and **C. M. Soukoulis**



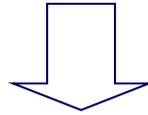
*Foundation for Research & Technology, Hellas (**FORTH**)
& Univ. of Crete, Greece*

Ames Lab & Iowa State University (ISU), USA

Left-handed metamaterials?

Negative electrical permittivity (ϵ)
Negative magnetic permeability (μ)

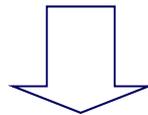
Veselago (1968)



$$n = -\sqrt{\epsilon\mu}$$

negative

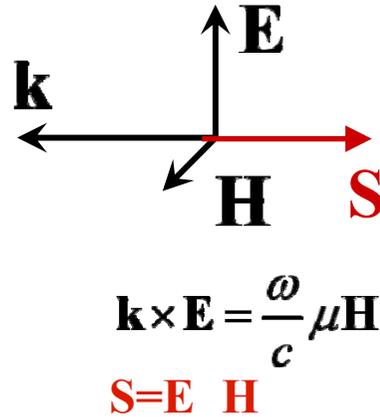
$$e^{ikx} = e^{i(\omega n/c)x}$$



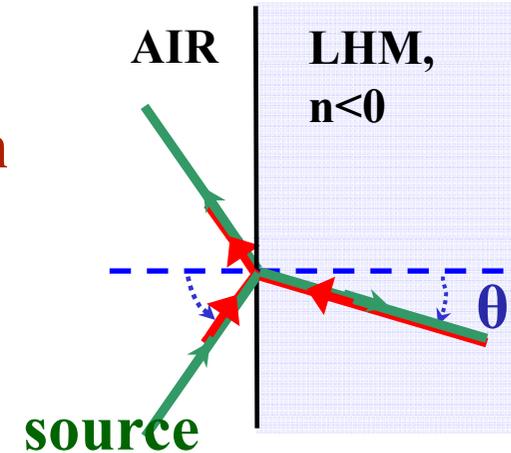
**Novel and unique
effects and possibilities**

Novel phenomena in left-handed materials (LHMs)

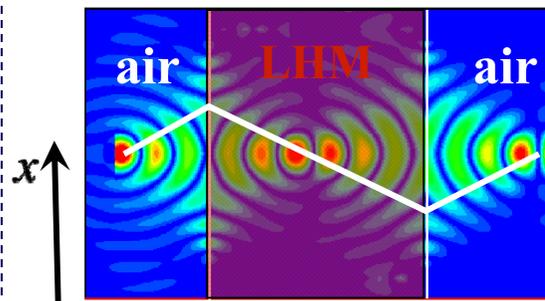
Backwards propagation
(opposite phase & energy velocity)



Negative refraction



Flat lenses - "Perfect" lenses
(subwavelength resolution) $\Delta x \Delta k_x \geq 1$



- Opposite Doppler effect
- Opposite Cherenkov radiation
-

- Interesting physical system
- New possibilities for light manipulation
→ important potential applications

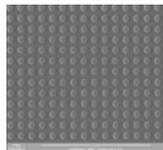
Application areas of left-handed materials

New solutions and possibilities in

• **Imaging/microscopy**



• **Lithography**



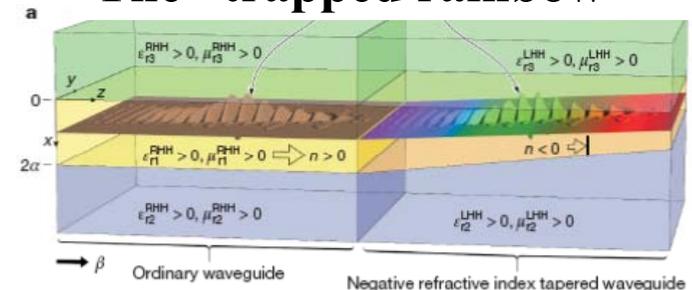
• **Data storage**



Exploiting the subwavelength resolution capabilities of LHMs

• **Communications and information processing (subwavelength guides, optimized/miniaturized antennas & filters, improved transmission lines ...)**

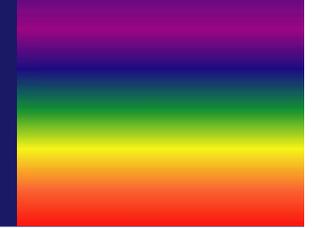
The “trapped rainbow”



Tsakmakidis et. al., Nature 450, 397 (2007)

•

Negative μ and n towards visible



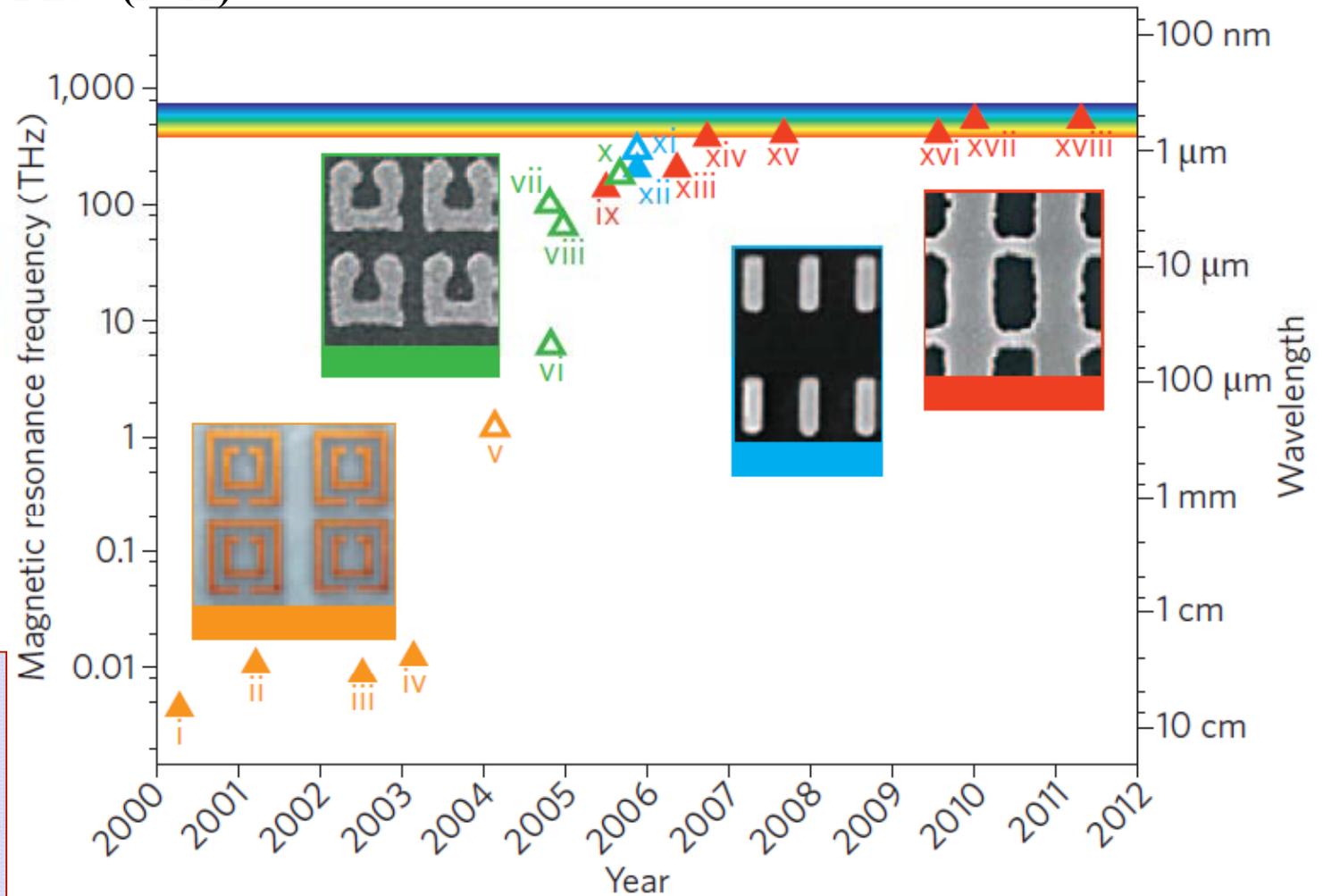
Fore review, see:

- Soukoulis et. al., Science 315 (2007)
- Shalaev, Nat. Mat. (2007)
- Soukoulis & Wegener, Nat. Phot. (2011)

Figure from Soukoulis & Wegener, Nature Photonics 5 (2011)

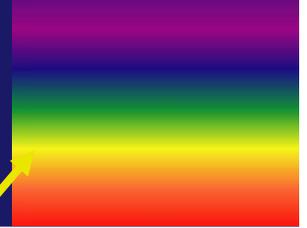
Leading efforts by

- Karlsruhe
- Purdue
- Stuttgart
- Berkeley
-



Hard to
achieve optical
negative μ

Negative μ and n towards visible



Fore review, see:

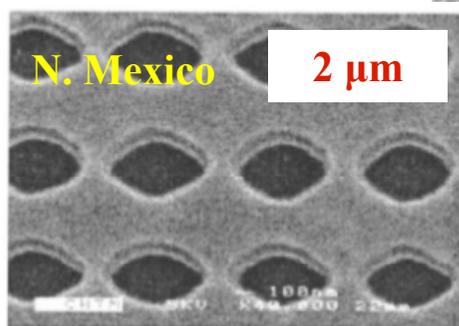
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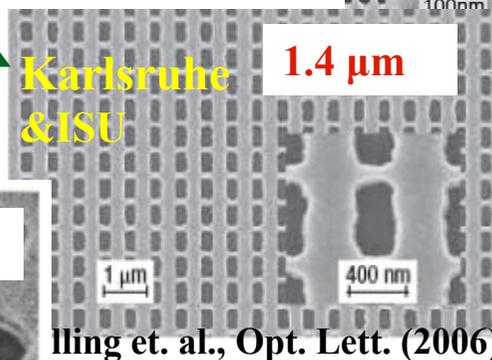
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Low losses

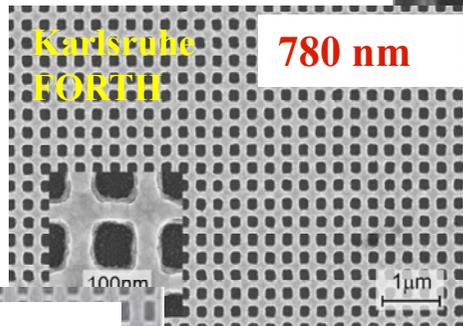
($\text{Re}(n)/\text{Im}(n)=3$)



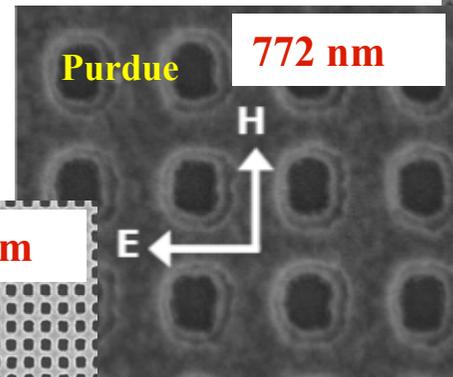
Zhang et. al., PRL (2005)



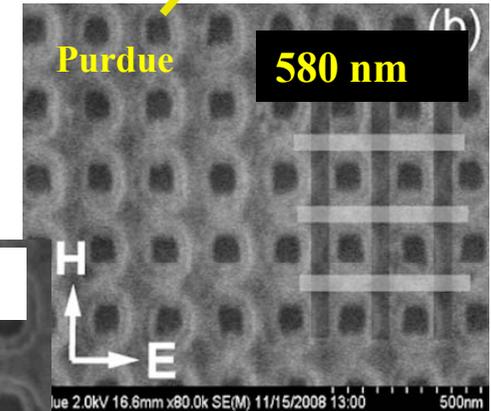
Illing et. al., Opt. Lett. (2006)



et. al., Opt. Lett. (2007)



ar et. al., Opt. Lett. (2007)



Xiao et. al., Opt. Lett. (2009)

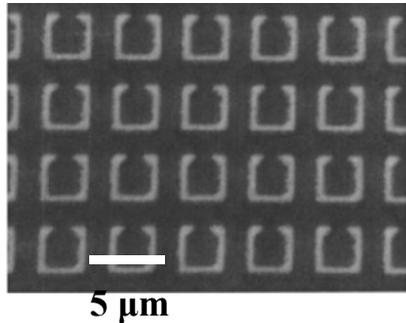
High losses
Single functional layer

ω

Multilayer metamaterials

5-layers SRRs

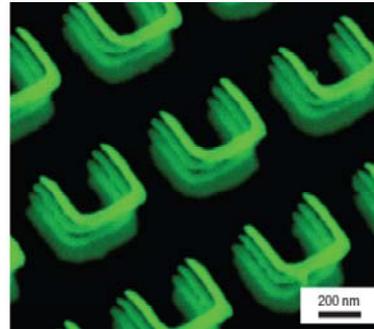
Negative μ at ~ 6 THz



FORTH, Opt. Lett. 30, 1348 (2005)

4-layers SRRs

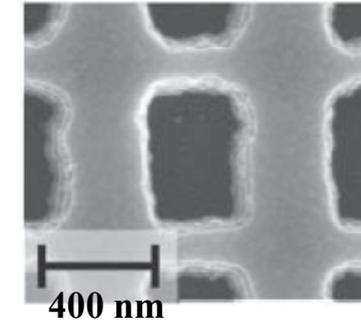
Negative μ at ~ 120 THz



Stuttgart, Nat. Mat. 7, 31 (2008)

3-layers fishnet

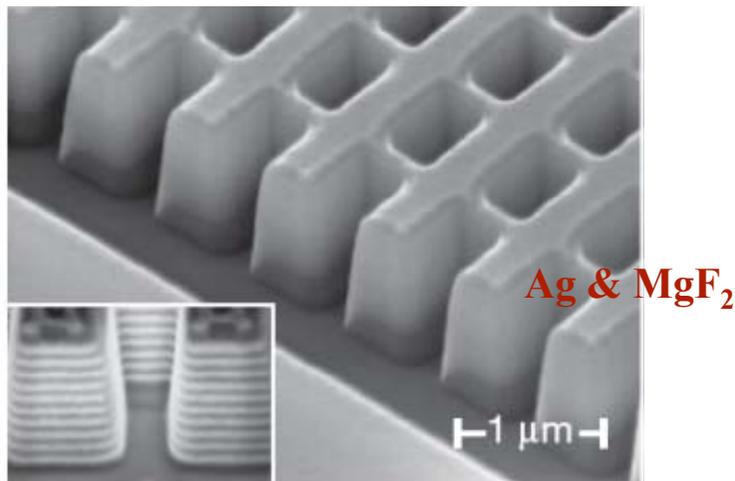
Negative n at ~ 1.5 μm , FOM=2.



Karlsruhe, Opt. Lett. 32, 552 (2007)

10-layers fishnet

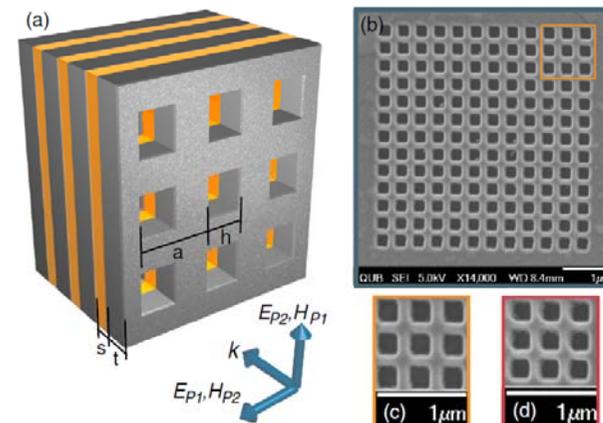
Negative index at ~ 1.7 μm , FOM=3.5



Berkeley, Nature 455, 376 (2008)

7-layers fishnet

Negative index at ~ 640 nm, FOM=3.3



Valencia, Phys. Rev. Lett. 106, 067402 (2011)

Towards 3D-isotropic negative μ and n

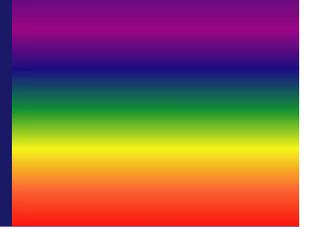


Figure from Soukoulis & Wegener, Nature Photonics 5 (2011)

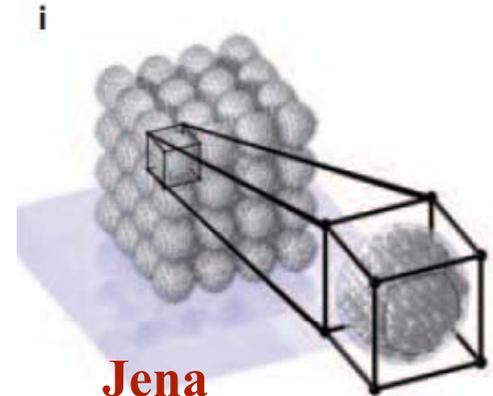
Multilayer SRR (stereometamaterials)



Stuttgart

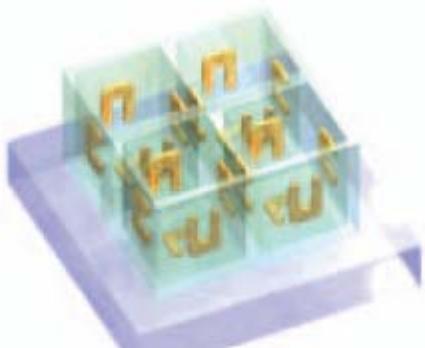


Caltech



Jena

3D-SRR (membrane-projected lithography)



Sandia

Chiral metamaterial (DLW+electroplating)



Karlsruhe



Ameslab

Optical metamaterials: Problems/challenges

High losses

Limited fabrication capabilities

Current procedures:

- **difficult/time-consuming**
- **expensive**
- **unable to produce**
 - **complicated patterns**
 - **large samples**
 - **3D isotropic designs**

Optical metamaterials: Facing the challenges

High losses



- Analysis & design optimization
- “Good” constituent media (material optimization)
- Gain media?
- Alternative approaches (anisotropic media, chiral media, EIT)

Limited fabrication capabilities

Current procedures:

- difficult/time-consuming
- expensive
- unable to produce
 - complicated patterns
 - large samples
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- Advancement of fabrication procedures
- New fabrication methods (direct laser writing, nanoimprint lithography)
- New designs/approaches, adapted to fabrication capabilities

Optical metamaterials: Facing the challenges

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- **Analysis & design optimization**
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- **Advancement of fabrication procedures**
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Outline

- **Analysis** of wave propagation in optical left-handed metamaterials (OLHMs)
- **Optimization (design & material)** of OLHMs as to achieve high operation frequency and **broad-bandwidth**
- **Loss-examination & optimization** of OLHMs as to achieve **low-losses**
- **Alternatives of metal for OLHMs?**

Relevant publications

- **R. S. Penciu, M. Kafesaki, Th. Koschny, E. N. Economou, and C. M. Soukoulis**, *Magnetic response of nanoscale left-handed metamaterials*, Phys. Rev. B **81** (2010)
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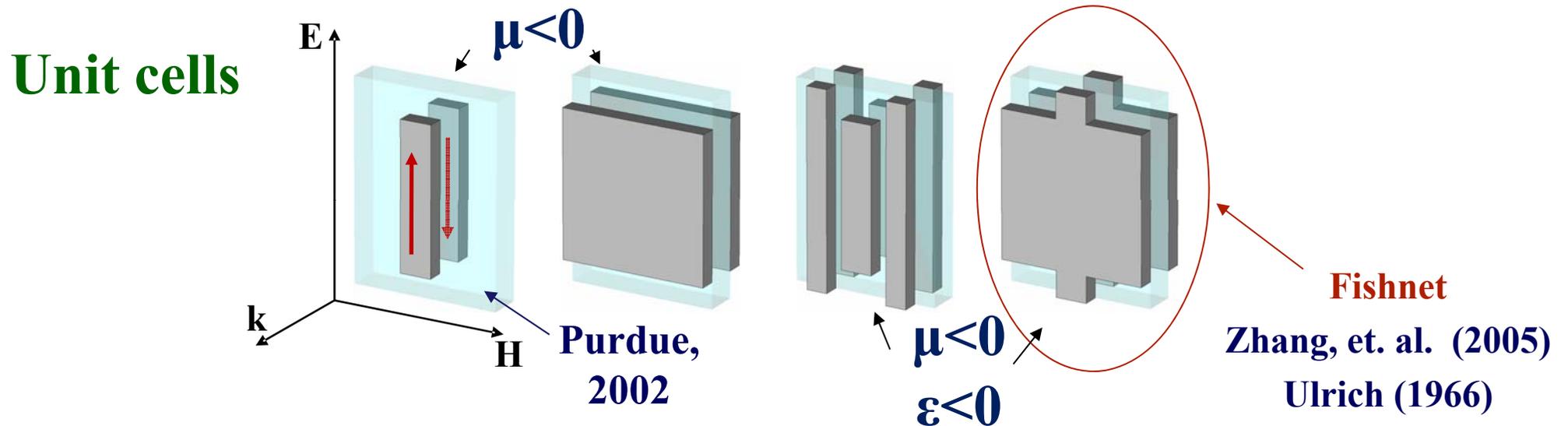
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Designs discussed here



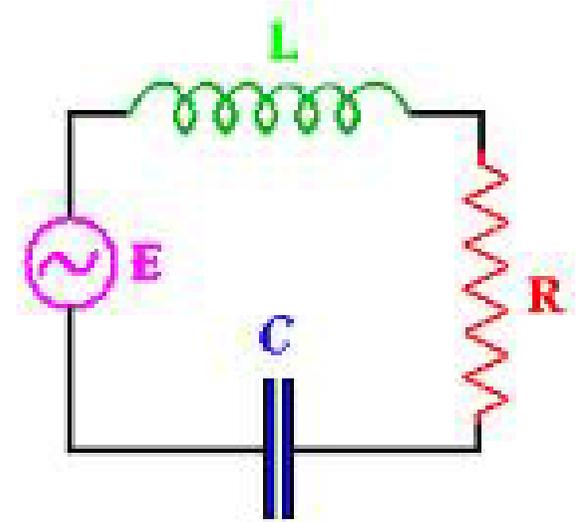
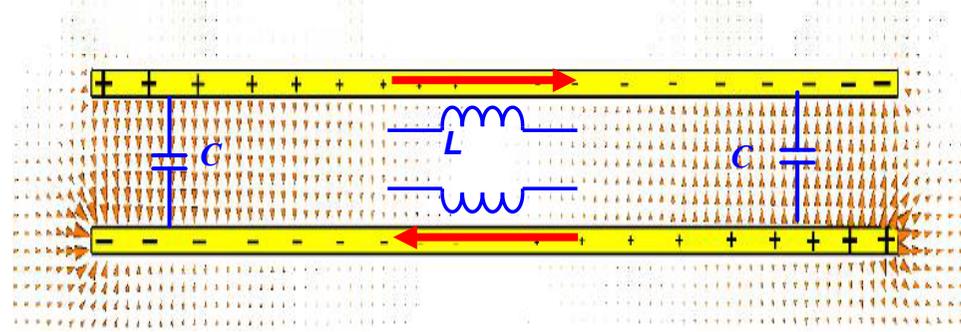
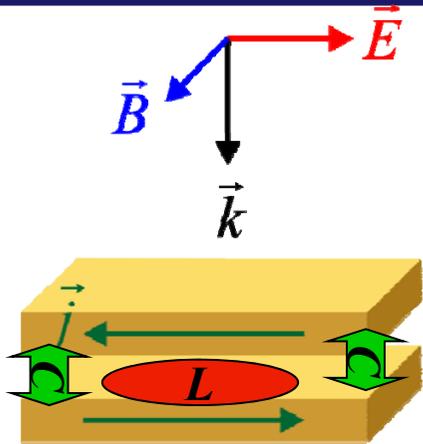
Aim

Examine the magnetic response of the designs as they are scaled down targeting **optical negative permeability**

Seek for optimization rules

High frequency
Broad-band
Low-loss

Slab-pair : Effective RLC circuit



Kirchoff's equation

$$LI + \frac{1}{C} \int Idt + RI = -\frac{d\phi_{ext}}{dt}$$

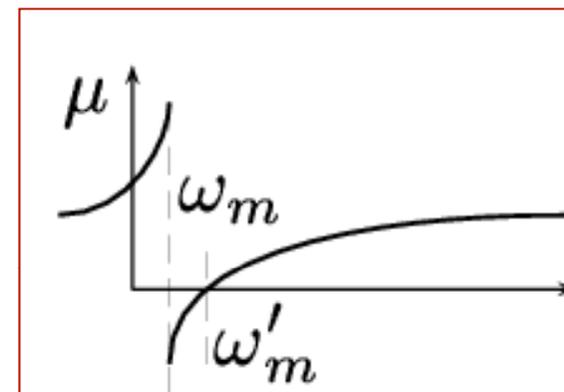
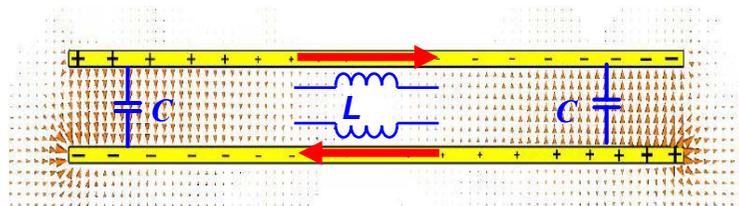
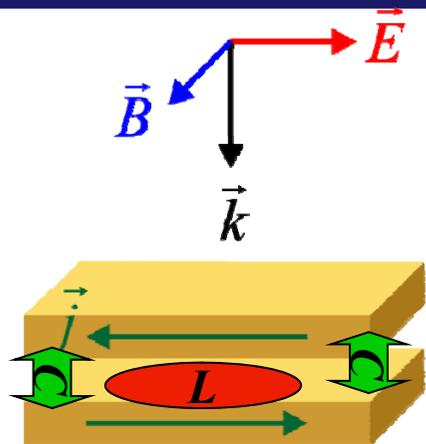
$$\phi_{ext} = \mu_0 H_0 A e^{-i\omega t}$$

Current, I

Magnetic moment, m=IA

Magnetization, M=m/V_{uc}=μ₀(μ-1)H

Slab-pair magnetic response (RLC circuit description)



$$\mu(\omega) = 1 - \frac{F \omega^2}{\omega^2 - \omega_m^2 + i\omega\gamma}$$

$F \sim$ volume fraction of the resonator within unit cell (determines the resonance strength)

$$\omega_m = \frac{1}{\sqrt{LC}}$$

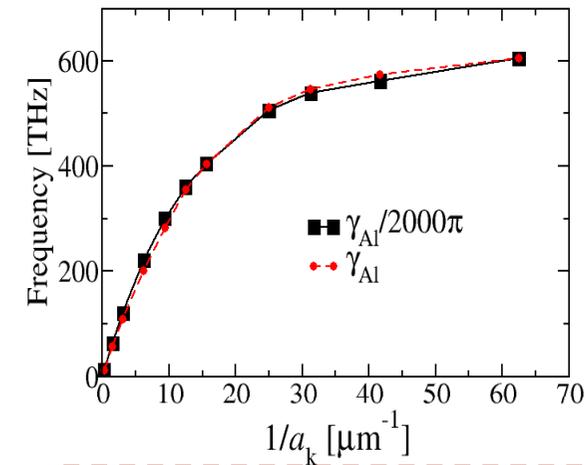
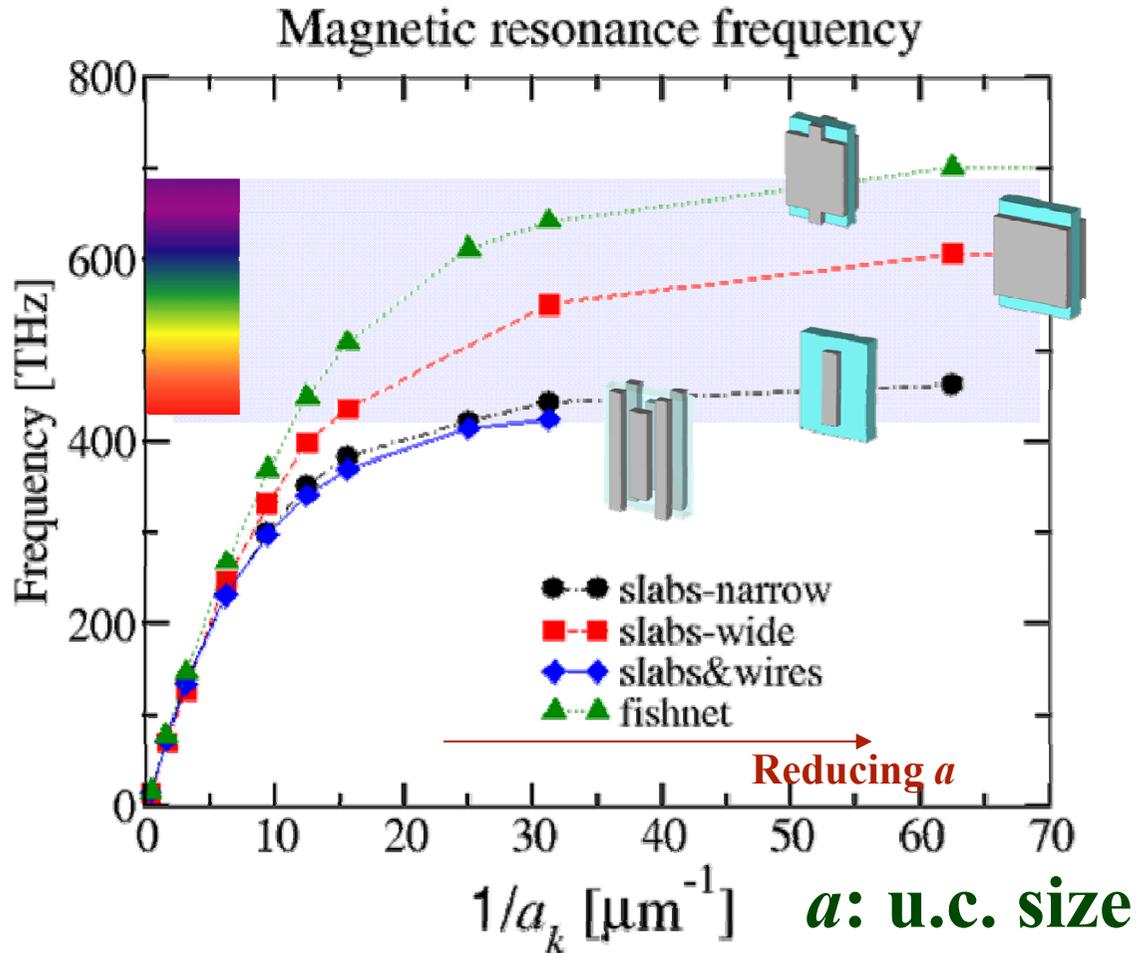
$$\gamma = \frac{R_{tot}}{L}$$

Damping factor (accounts for all-type losses)

$$R_{tot} = R + R_{rad}$$

Magnetic resonance frequency vs length scale

Al metal, Glass substrate

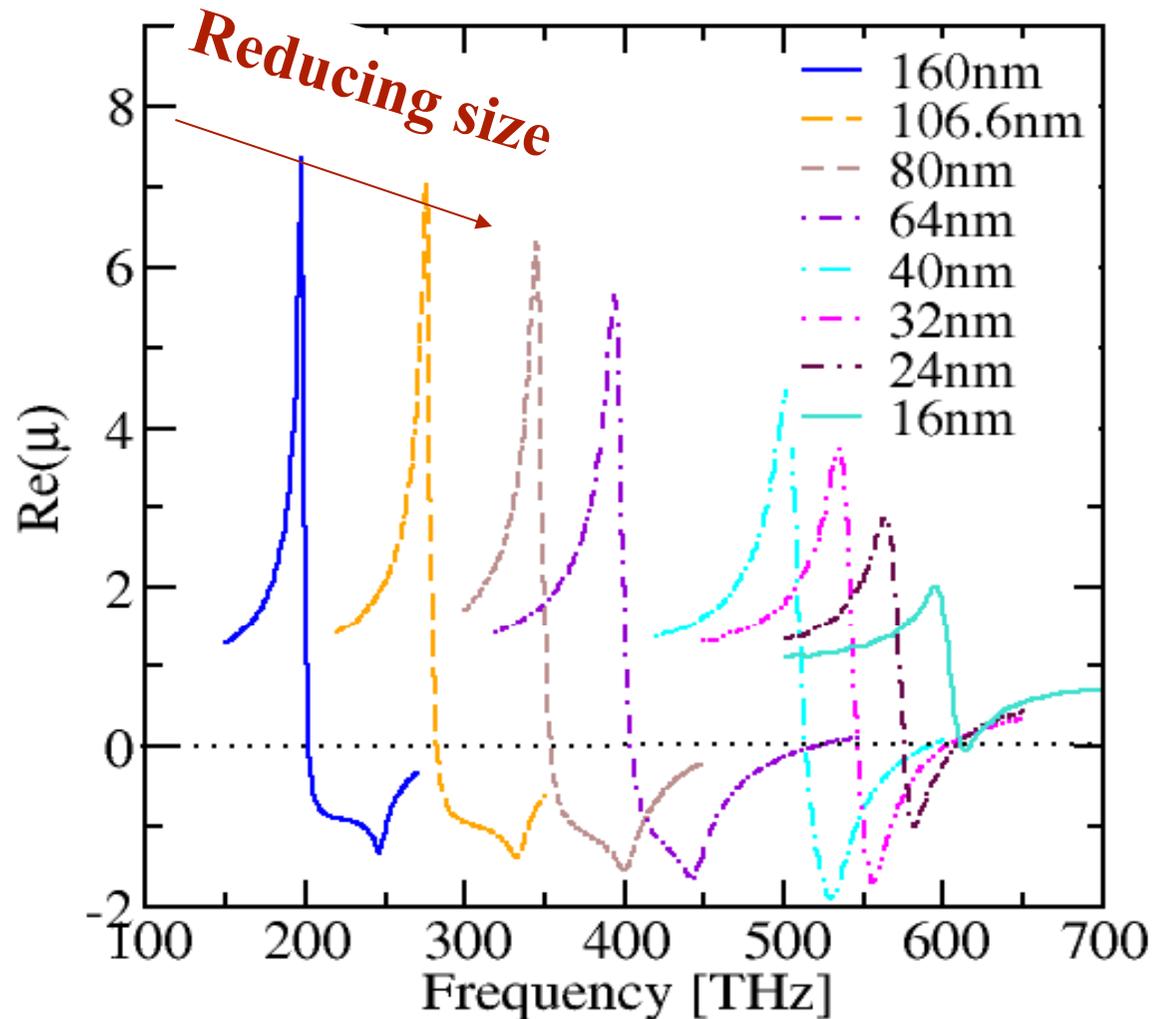


Saturation value
independent of
ohmic losses

Saturation value
depends on design

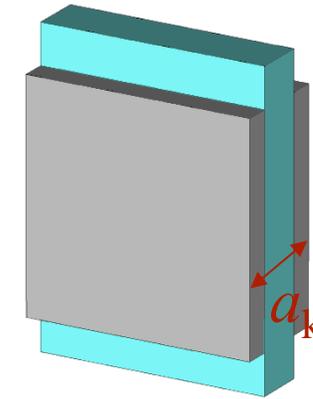
Saturation of magnetic resonance frequency in small length scales ($a < 500$ nm)

Magnetic permeability by scaling down the structures



Al metal

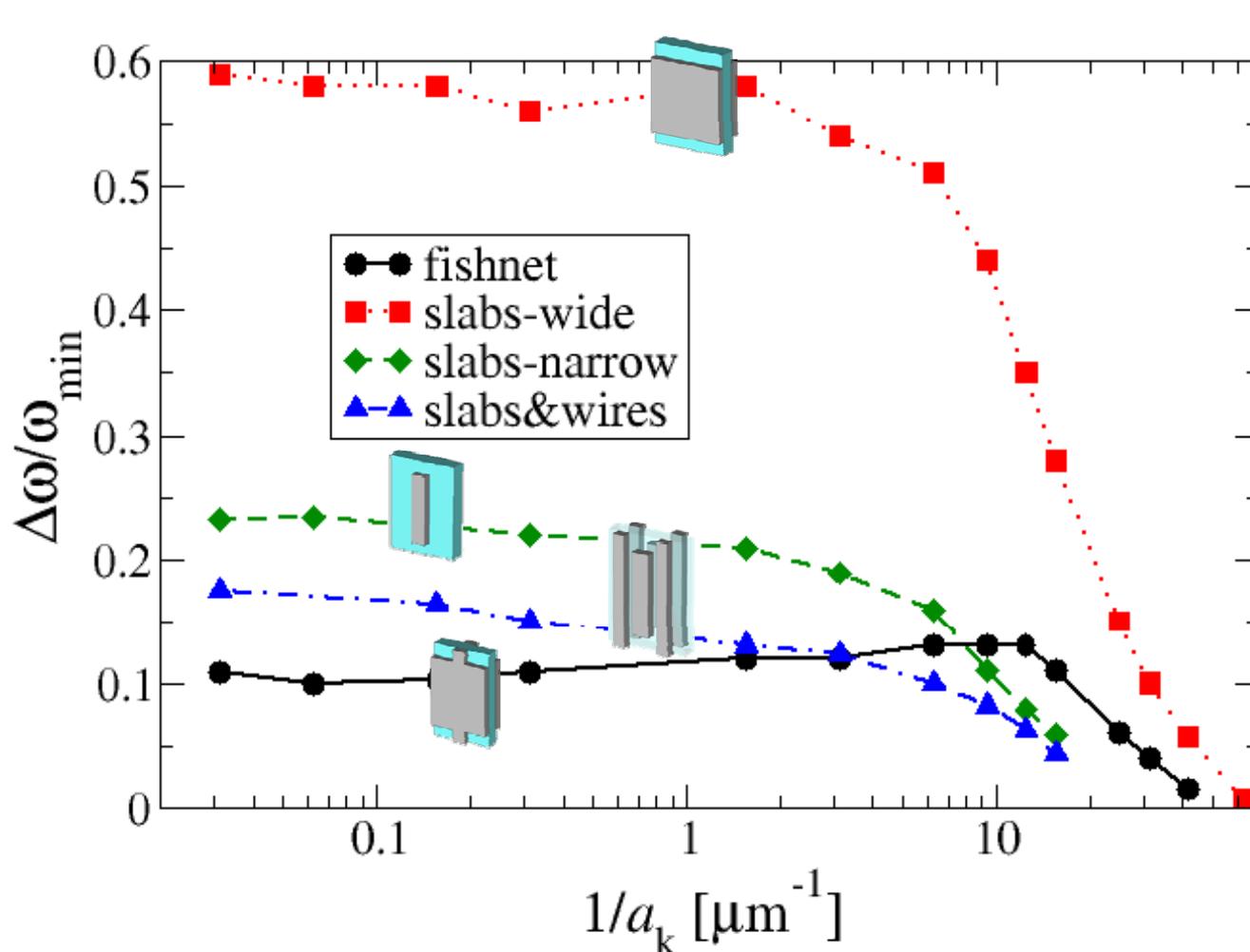
Glass substrate



Weakening of magnetic resonance at small scales

μ ultimately does not reach negative values

Spectral width of negative μ regime



Al metal

Glass substrate

Spectral width depends on geometry

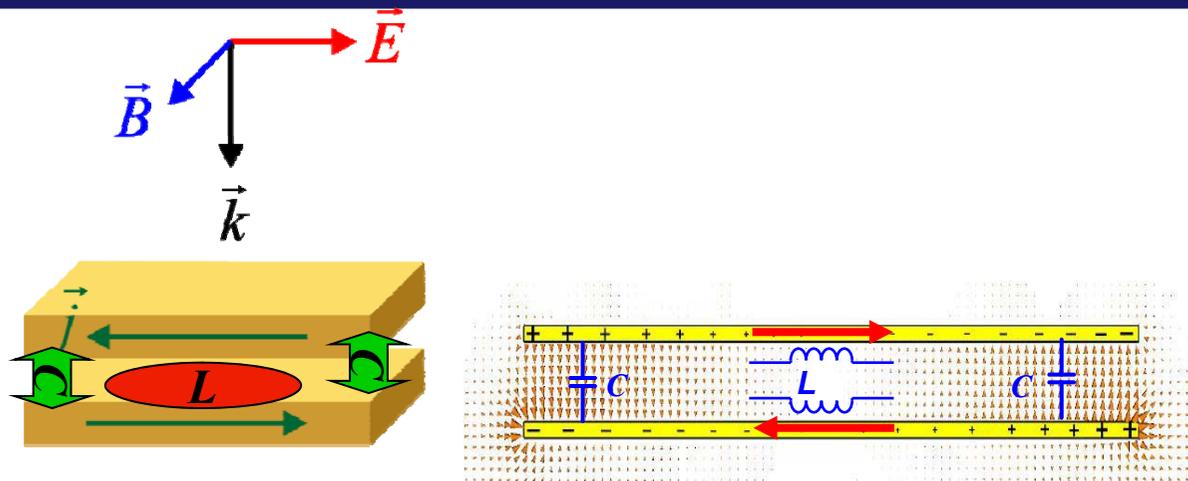
Spectral width only slightly affected by metal loss

Even in the absence of ohmic losses

$\Delta\omega/\omega_{\min}$: constant at larger scales

tends to zero for smaller scales

Slab-pair magnetic response (RLC circuit description)



$$\mu(\omega) = 1 - \frac{F \omega^2}{\omega^2 - \omega_0^2 + i\omega\gamma}$$

$F \sim$ volume fraction of the resonator within unit cell (determines the resonance strength)

$$\omega_0 = \frac{1}{\sqrt{LC}}$$

$$\gamma = \frac{R_{tot}}{L}$$

Damping factor (accounts for all-type losses)

~~$$R_{tot} = R + R_{rad}$$~~

Slab-pair total resistance

$$R = \rho \frac{l}{S}$$

$$\rho = \frac{\gamma_m - i\omega}{\epsilon_0 \omega_p^2}$$

For free electrons (Drude metals)

ω_p = metal plasma frequency

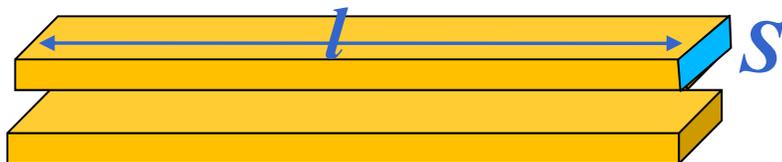
γ_m = metal collision frequency

Material dependent + Geometry dependent

$$\text{Re}(R) = R_{ohm}$$

$$\text{Im}(R) = -\omega L_e$$

$$R = \rho \frac{l}{S} = \frac{\gamma_m}{\epsilon_0 \omega_p^2} \frac{l}{S} - i\omega \frac{1}{\epsilon_0 \omega_p^2} \frac{l}{S} = R_{ohm} - i\omega L_e$$



Inductive term (electrons kinetic inductance) due to electrons inertia
(“Difficulty” to accelerate finite mass particles with such high rates)

Solymar, Economou, Shevts, Tretyakov, ...

High frequency magnetic permeability



$$\mu(\omega) = 1 - \frac{F' \omega^2}{\omega^2 - \omega_m^2 + i\omega\gamma'}$$

Kinetic inductance factor

$$\omega_m = \frac{1}{\sqrt{LC(1+\xi)}}$$

$$\xi = \frac{L_e}{L}$$

$$F' = F \frac{1}{1+\xi}$$

$$\xi \sim \frac{1}{a^2}$$

For uniform scaling:

$$L_e \sim \frac{1}{a}$$

$$\text{Re}(R) \sim \frac{1}{a}$$

F : filling
ratio

$$L_e = \frac{1}{\epsilon_0 \omega_p^2} \frac{l}{S}$$

**Pronounced role in small
scales**

a : lattice constant

$$\sigma = i\epsilon_0 \frac{\omega_p^2}{\omega^2 + i\gamma_m}$$

Explaining the observed response

a : u.c. size

$$L \propto a, C \propto a, L_e \propto 1/a, \xi \propto 1/a^2$$

$$\omega_m = \frac{1}{\sqrt{(L + L_e)C}} \sim \frac{1}{\sqrt{c_1 a^2 + c_2}} \rightarrow \text{const.}$$

Magnetic resonance frequency saturates to $\omega_{m-\text{max}}$

- dependent on shape
- independent of metal losses

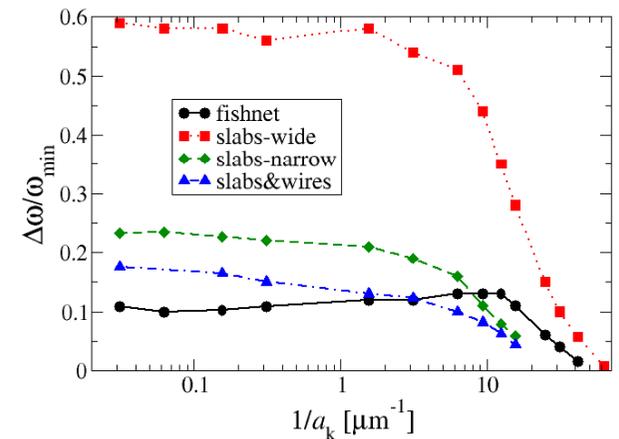
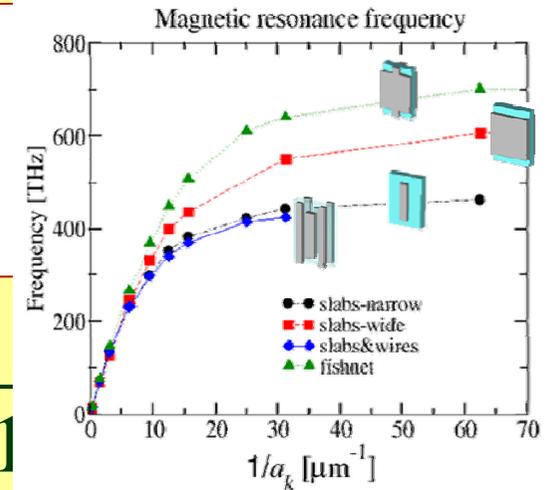
Strength parameter F' becomes proportional to area \rightarrow

- Weakening of magnetic resonance
- Vanishing of negative μ regime even if the absence of ohmic losses

$$F' = F$$

Upper bound of negative μ regime

$$\frac{\omega'_m}{\omega_m} = \frac{1}{\sqrt{1 - F'}}$$



For high frequency magnetic metamaterials

for

**High operation
frequency
Broad-band**

High

$$F' = F \frac{1}{1 + \xi}$$

High

$$\omega_m = \frac{1}{\sqrt{LC(1 + \xi)}}$$

$$\mu = \mu_0 \left[1 - \frac{F' \omega^2}{\omega^2 - \omega_m^2 + i\omega\gamma'} \right]$$

F: filling
ratio

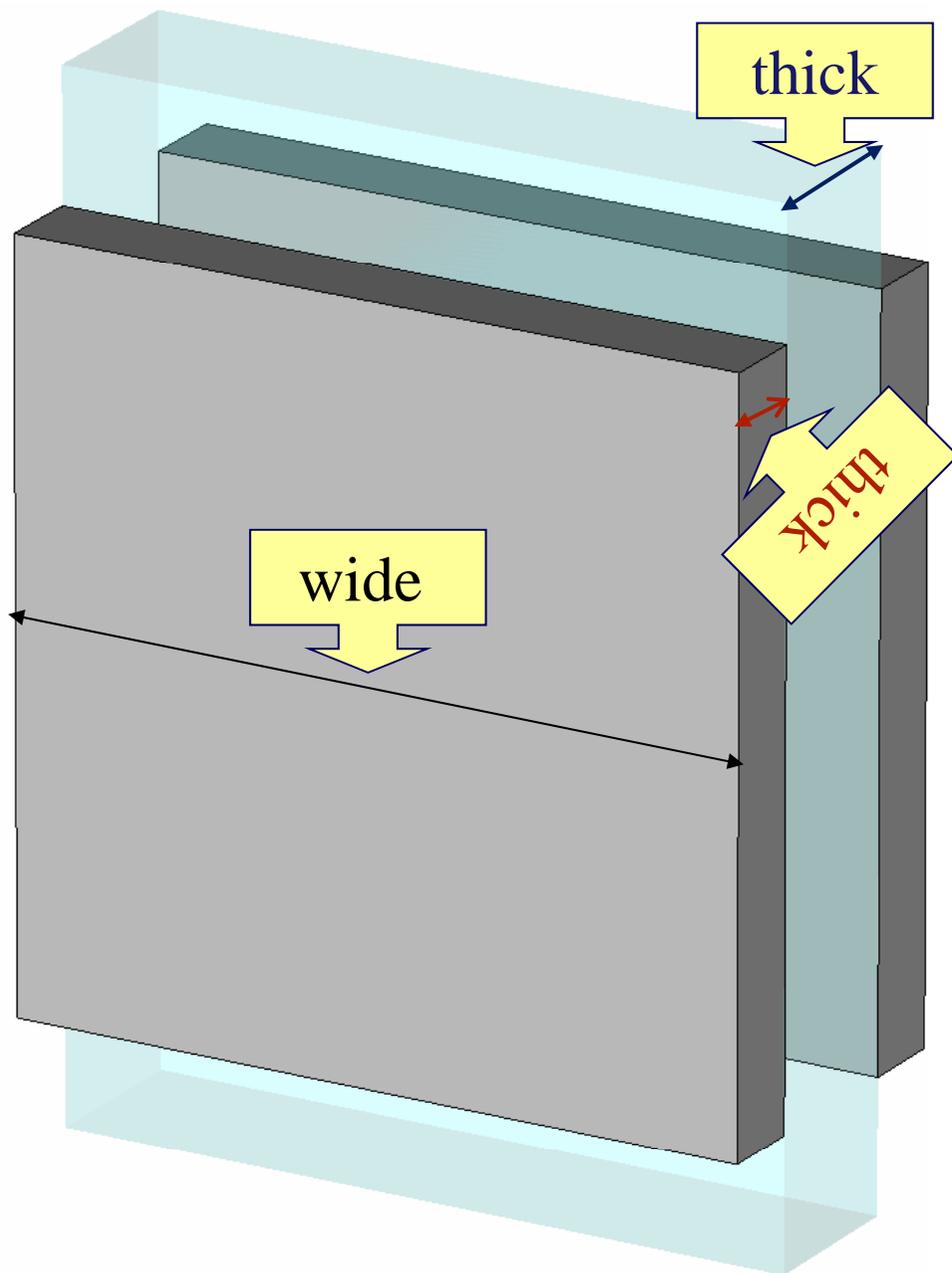
Requirements

- **Small capacitance, C**
- **Large structure filling ratio, F**
- **Small $L_e/L (= \xi)$**

- **Material requirements**
- **Geometry requirements**

$$\xi = \frac{1}{\epsilon_0 \omega_p^2} f(\text{geometry})$$

Optimizing slab-pair-based systems



Requirements

- Thick & wide slabs
- “Thick” separation layer
- “Metal” of high ω_p

What about losses?

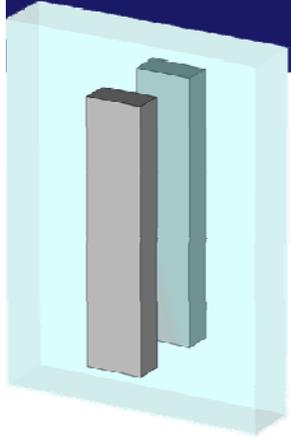
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Dissipative loss



$$\Pi = \frac{\text{Dissipative loss } (= \text{Re}(R) |I|^2)}{\text{Incident power}}$$

$$\sim \frac{F \tilde{\omega}^4 \zeta}{[\tilde{\omega}^2 (1 + \xi) - 1]^2 + \tilde{\omega}^2 \zeta^2}$$

$$\tilde{\omega} = \omega / \omega_0$$

$$\omega_0 = \frac{1}{\sqrt{LC}}$$

F : filling ratio

Kinetic inductance factor (dimensionless)

$$\xi = \frac{L_e}{L} = -\text{Im}(R) \frac{1}{\tilde{\omega}} \sqrt{\frac{C}{L}} = G(\text{geometry}) \frac{1}{\omega} \times \text{Im}(\rho)$$

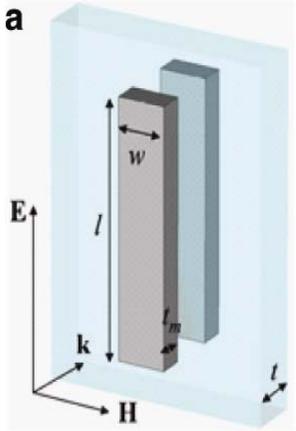
Dissipation factor (dimensionless)

$$\zeta = \text{Re}(R) \sqrt{\frac{C}{L}} = \frac{1}{Q} = G(\text{geometry}) \times \text{Re}(\rho)$$

$$\text{Re}(\rho) = \frac{\gamma_m}{\epsilon_0 \omega_p^2}$$

Loss depends only on ξ , ζ , F

Magnetic permeability in dimensionless quantities



$$\mu(\omega) = 1 - \frac{F \tilde{\omega}^2}{\tilde{\omega}^2 (1 + \xi) - 1 + i \tilde{\omega} \zeta}$$

$$\tilde{\omega} = \omega / \omega_0$$
$$\omega_0 = \frac{1}{\sqrt{LC}}$$

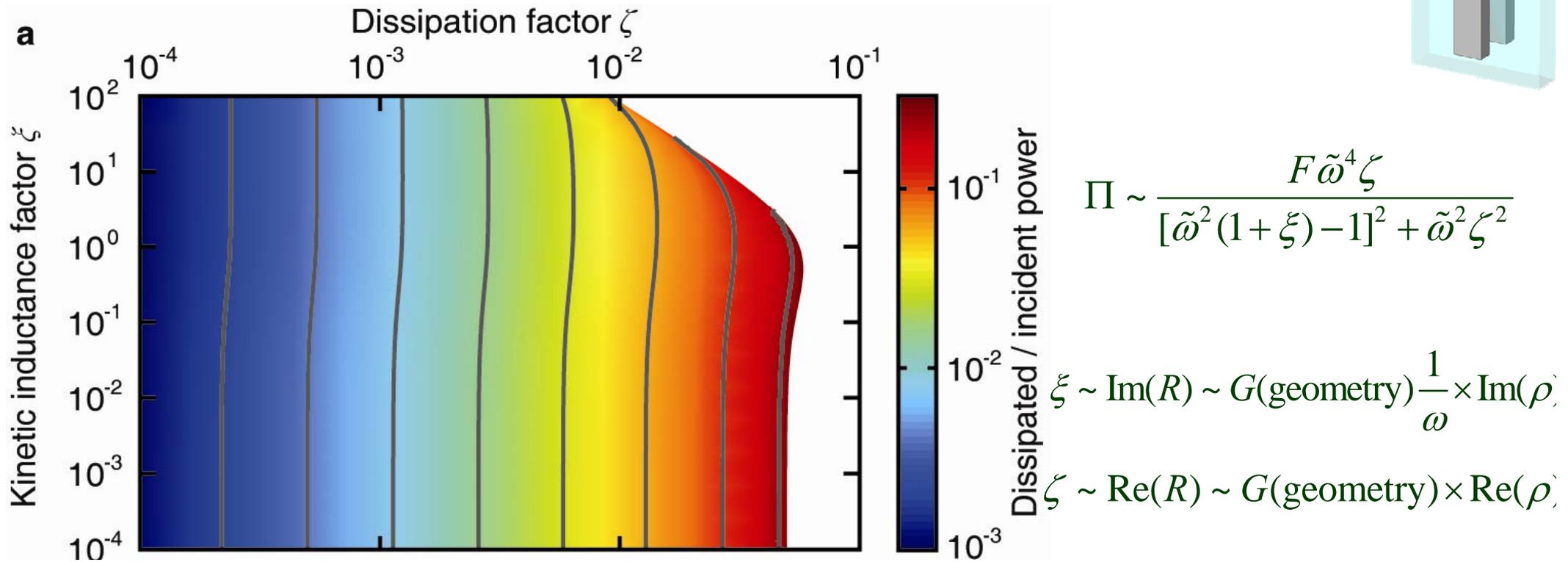
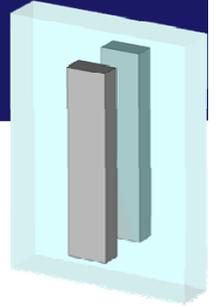
F : filling
ratio

$$\xi \sim \text{Im}(R) \sim G(\text{geometry}) \frac{1}{\omega} \times \text{Im}(\rho) \quad \text{Kinetic inductance factor}$$

$$\zeta \sim \text{Re}(R) \sim G(\text{geometry}) \times \text{Re}(\rho) \quad \text{Dissipation factor}$$

Permeability also depends only on ξ , ζ , F

Dissipative power at $\omega_1: \mu(\omega_1)=-1$



Dissipation depends “only” on $\zeta \rightarrow \zeta$: *good quantity to quantify losses (loss figure-of-merit) and compare conductors*

For low-loss metamaterials **small dissipation factor** is required \rightarrow **Small $\text{Re}(R)$ \rightarrow Small $\text{Re}(\rho)$**

FOMs for high-quality magnetic metamaterials?

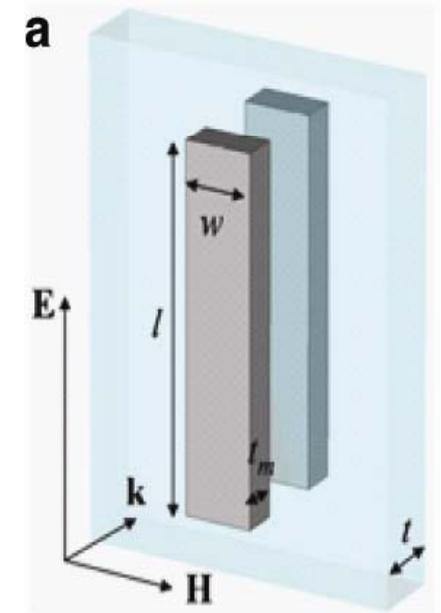
Loss figure-of-merit: Dissipation factor ζ
For low-loss metamaterials **small dissipation factor ζ** (\sim small $\text{Re}(R)$) is required

$$\zeta \sim \text{Re}(R) \sim \frac{1}{t_m} \times \text{Re}(\rho) \quad t_m: \text{metal thickness}$$

Freq. saturation figure-of-merit: Kinetic inductance factor ξ
For high-freq. metamaterials **small ξ** (\sim small $\text{Im}(R)$) is required

$$\xi \sim \text{Im}(R) \sim \frac{1}{t_m} \times \frac{\text{Im}(\rho)}{\omega}$$

$$\tilde{\omega}_m = \omega_m / \omega_0 = 1 / \sqrt{(1 + \xi)}$$



$$\text{Re}(\rho) = \frac{\gamma_m}{\epsilon_0 \omega_p^2}$$

$$\text{Im}(\rho) = -\frac{\omega}{\epsilon_0 \omega_p^2}$$

Material-dependent part: $\text{Re}(\rho)$, $\text{Im}(\rho)$
Good quantities to compare conducting materials

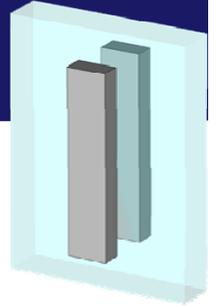
Outline

- Analysis of wave propagation in optical left-handed metamaterials (OLHMs)
- Optimization (design & material) of OLHMs as to achieve high operation frequency and bandwidth
- Loss-examination & optimization of OLHMs as to achieve low-losses
- **Alternatives of metal for OLHMs?**

Relevant publications

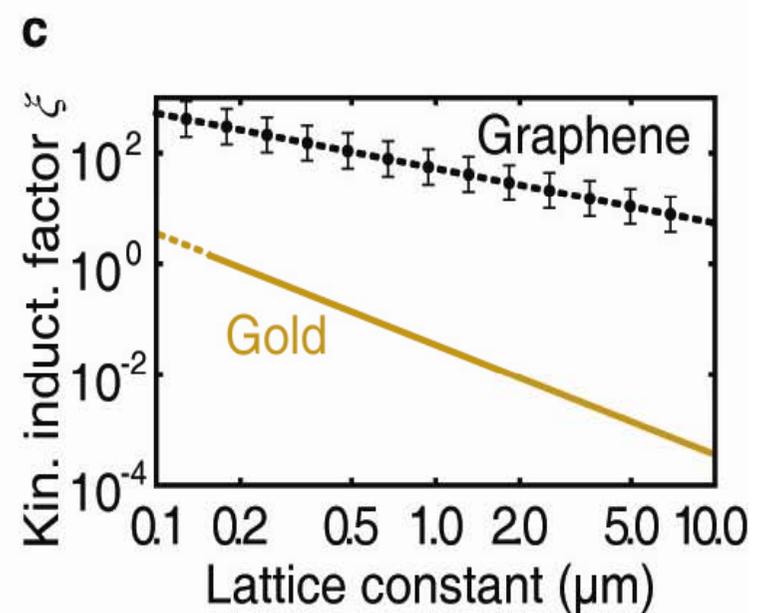
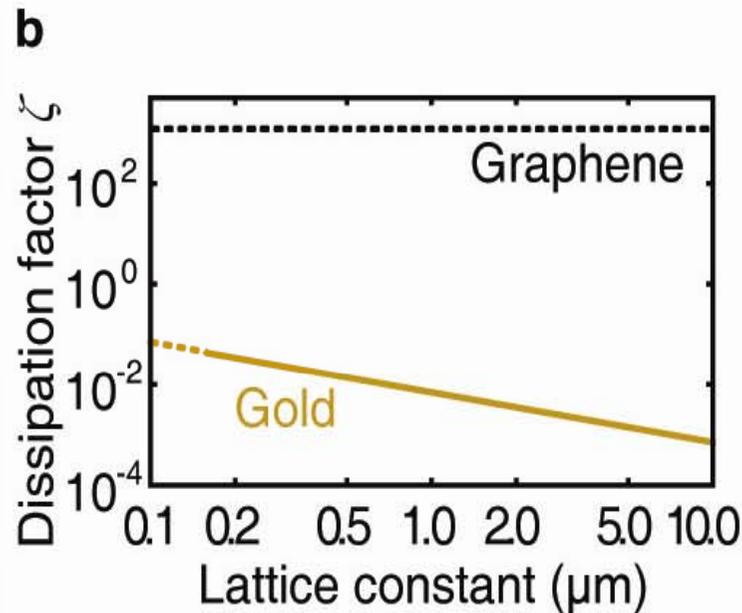
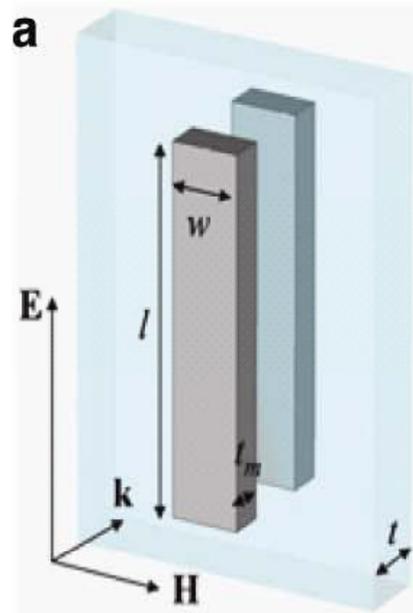
- **R. S. Penciu, M. Kafesaki, Th. Koschny, E. N. Economou, and C. M. Soukoulis**, *Magnetic response of nanoscale left-handed metamaterials*, Phys. Rev. B **81** (2010)
- **Ph. Tassin, Th. Koschny, M. Kafesaki and C. M. Soukoulis**, *Graphene superconductors and metams: What makes a good conductor for metamaterials*, Nature Photonics **6**, 259 (2012)

Comparing gold with graphene



At IR

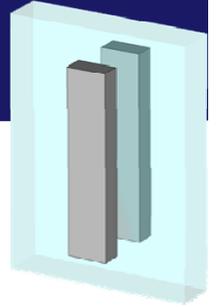
Graphene conductivity from Li et al, Nature Phys. 4, 532 (2008)



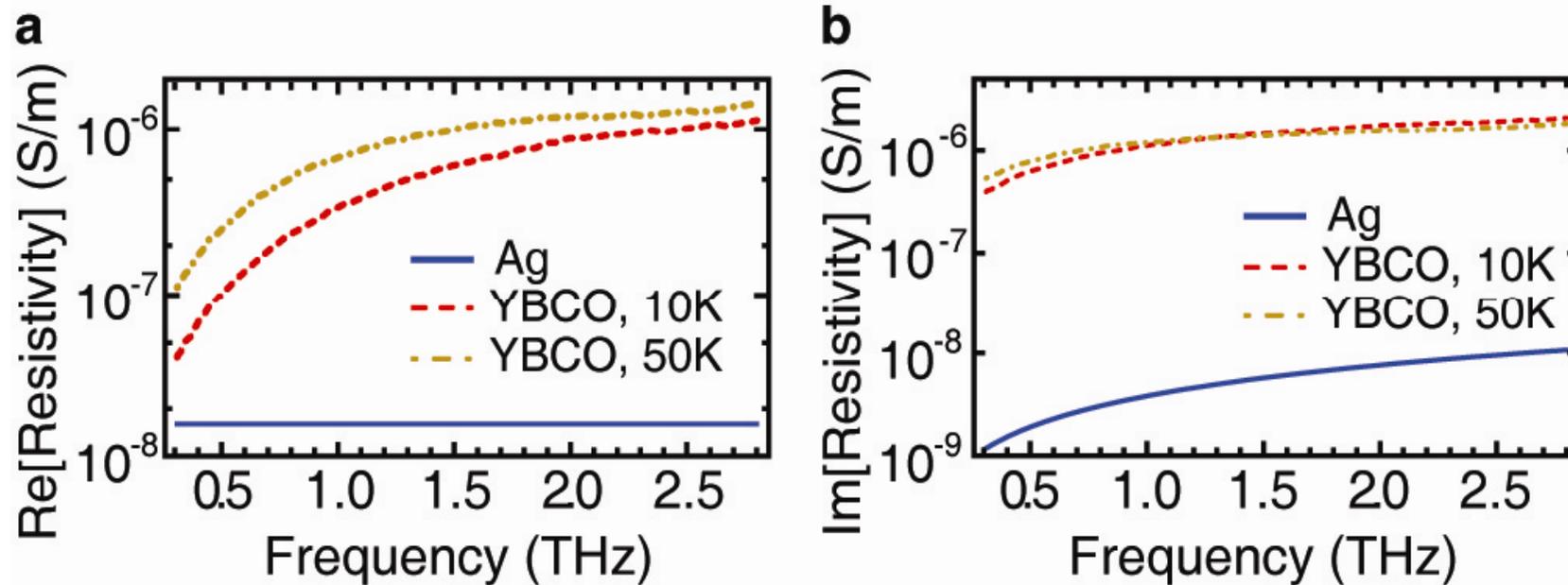
Graphene performs worse than gold

Reason?: Small thickness \rightarrow large $R \rightarrow$ weak total current

Comparing silver with YBCO



Resistivity from experimental data

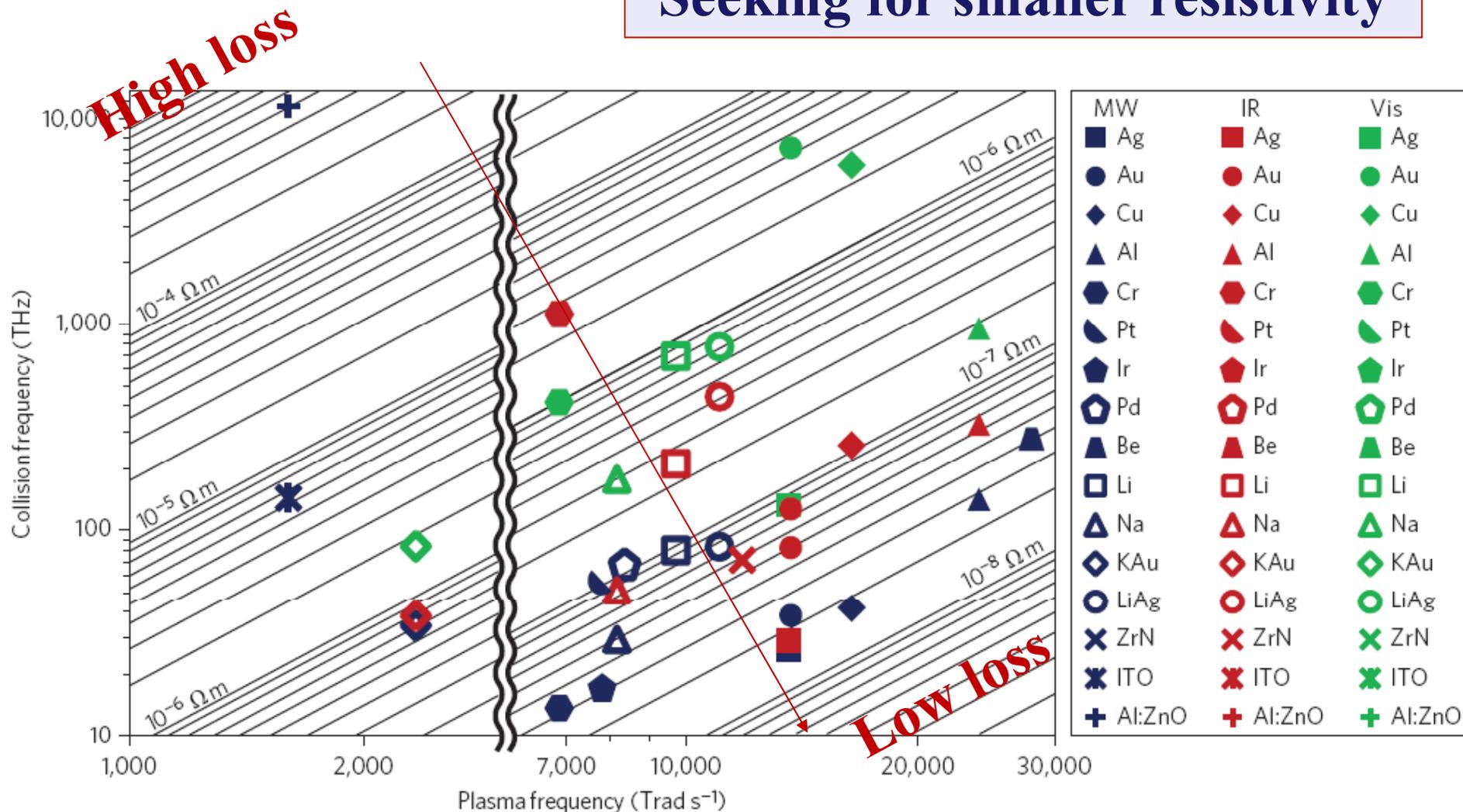


High-Tc superconductors perform worse than silver

***Reason?:* No complete screening of free electrons by Cooper pairs in high frequencies**

Comparing losses in different conductors

Seeking for smaller resistivity



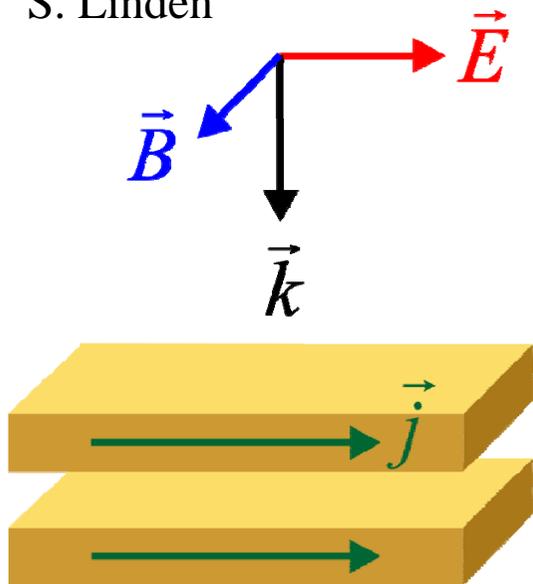
Blue: microwaves, Red: infrared, Green: visible

Still silver is best at IR and visible!

Slab-pair electric resonance

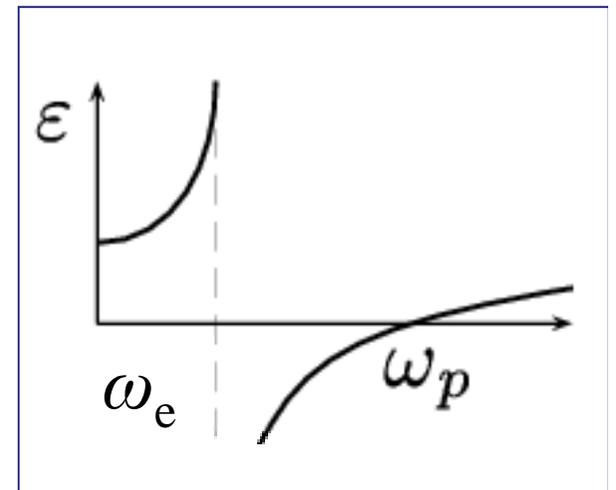
Apart of anti-symmetric current mode (magnetic dipole response)

Picture by
S. Linden



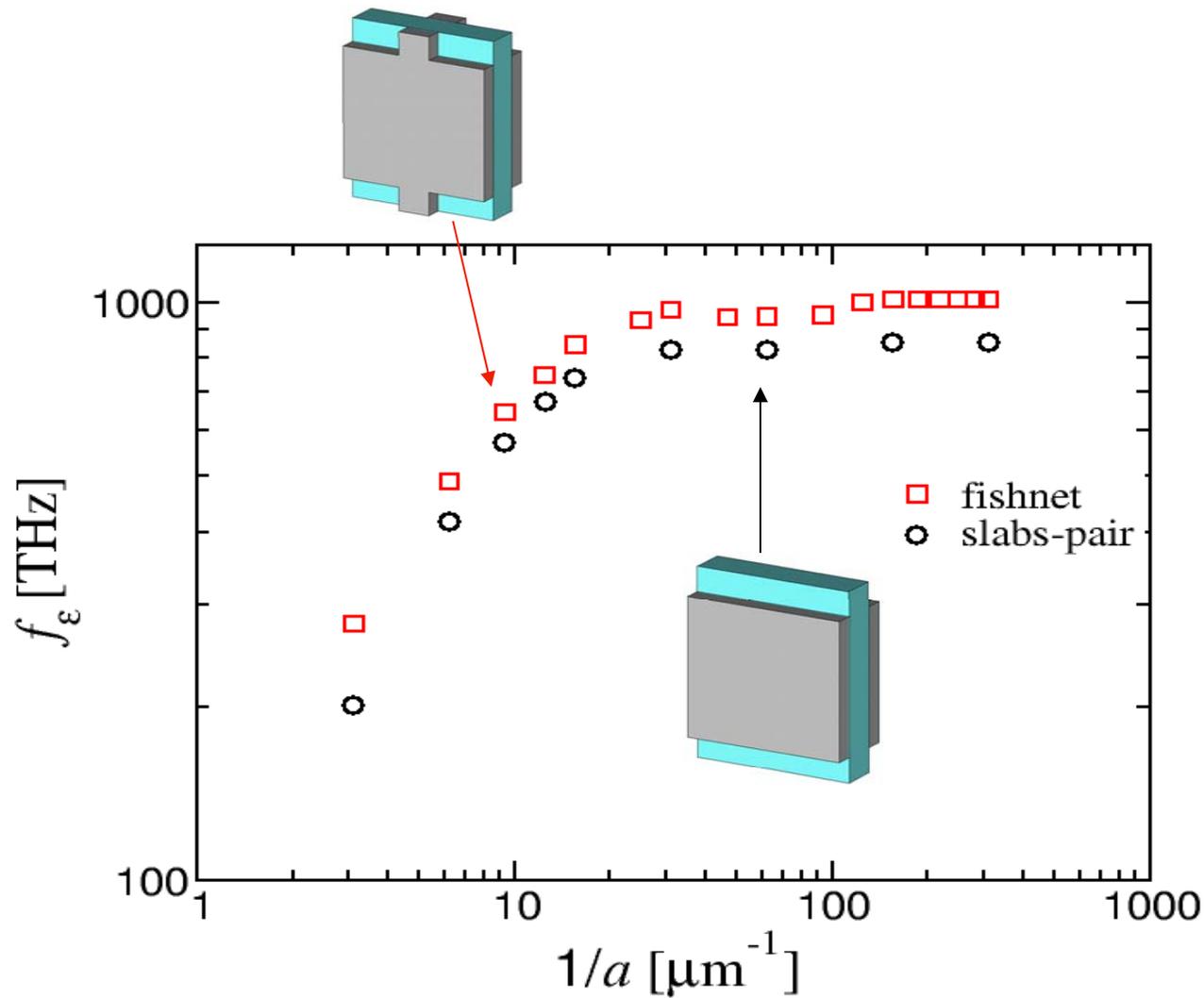
Also a
symmetric current mode
(electric dipole response)

$$\varepsilon(\omega) = 1 - \frac{\omega_{pe}^2}{\omega^2 - \omega_e^2 + i\omega\gamma}$$



$$\mathbf{E} \quad m \frac{\partial^2 \mathbf{x}}{\partial t^2} = -e\mathbf{E} + m\omega_e^2 \mathbf{x}$$

Electric dipole resonance in small length scales?

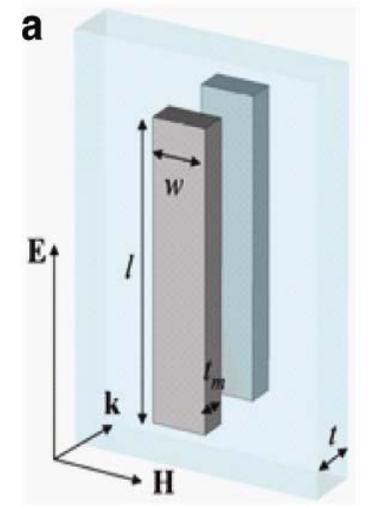


Resonance saturates in small scales

For high-frequency, low-loss quasistatic plasmon resonance

For high-frequency: **small kinetic inductance factor ξ**
(\sim small $\text{Im}(\rho)$) is required

For low-loss: **small dissipation factor ζ** (\sim small $\text{Re}(\rho)$) is required



Summary/Conclusions

- **Magnetic metamaterial behaviour towards optical regime (nm scale):**
 - **magnetic resonance frequency saturates**
 - **permeability resonance becomes weaker**
 - **negative permeability regime vanishes**
- **RLC circuit description and metal dispersive response can account for all the above effects and can lead to figures of merit and design rules for high frequency magnetic metamaterials**
- **Optimized high-frequency response requires metals with small Re and Im part of resistivity**



Thank you

...r than graphene and

...ng material for visible

**Funding
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cost